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Delayed chilling appears to counteract flowering advances of apricot in southern UK

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Abstract

Temperatures are rising across the globe, and the UK is no exception. Spring phenology of perennial fruit crops is to a large extent determined by temperature during effective chilling (endo-dormancy) and heat accumulation (eco-dormancy) periods. We used the apricot flowering records of the UK National Fruit Collections (NFC) to determine the influence of temperature trends over recent decades (1960 to 2014) on apricot (*Prunus armeniaca* L.) flowering time. Using Partial Least Squares (PLS) regression, we determined the respective periods for calculating chill and heat accumulation. Results suggested intervals between September 27th and February 26th and between December 31st and April 12th as the effective chilling and warming periods, respectively. Flowering time was correlated with temperature during both periods, with warming during chilling corresponding to flowering delays by 4.82 d°C^{-1}, while warming during heat accumulation was associated with bloom advances by 9.85 d°C^{-1}. Heat accumulation started after accumulating 62.7 ± 5.6 Chill Portions, and flowering occurred after a further 3744 ± 1538 Growing Degree Hours (above a base temperature of 4°C, with optimal growth at 26°C). When examining the time series, the increase in temperature during the chilling period did not appear to decrease overall chill accumulation during the chilling period but to delay the onset of chill accumulation and the completion of the average chill accumulation necessary to start heat accumulation. The resulting delay in heat responsiveness appeared to weaken the phenology-advancing effect of spring warming. These processes may explain why apricot flowering time remained relatively unchanged despite significant temperature increases. A consequence of this may be a reduction of frost risk for early flowering crops such as apricot in the UK.

Keywords: Climate warming; chilling; dormancy; spring phenology; frost risk; partial least squares regression

1. Introduction

Phenological records provide valuable data for characterizing the effect of variation in environmental conditions on plant development. Frequently, these records cover a longer time span than any defined experiment, making them one of the most valuable pieces of evidence of the impacts of global warming over past decades or even centuries (Fitchett et al., 2015; Menzel et al., 2008). Flowering time of fruit crops is one of the most widely used indicators of climate change, because of the availability of such records, but also because of the strong temperature dependence of the fruit crop life cycle (El Yaacoubi et al., 2014; Martínez-Lüscher et al., 2016). Although the vast majority of case studies have reported significant advances in flowering times over the years (Chmielewski et al., 2011; Chmielewski and Rotzer, 2001; Fitter and Fitter, 2002; Fu et al., 2015; Legave and Clauzel, 2006; Menzel et al., 2006; Parmesan, 2007; Parmesan and Yohe, 2003; Root et al., 2003; Wolfe et al., 2005), the literature includes a considerable number of records describing observations of flowering times that have remained unchanged or even experienced delays (Cook et al., 2012; Elloumi et al., 2013; Fitter and Fitter, 2002; Kozlov and Berlina, 2002; Legave et al., 2013; Menzel et al., 2006; Yu et al., 2010). Recent studies have indicated that the response of plant phenology to temperature is more complex than simply an advance due to warming (Andreini et al., 2014; Chuine et al., 2016; Cook et al., 2012; Guo et al., 2015; Pope et al., 2014).

Temperate deciduous trees enter a period of winter dormancy, during which they are leafless and their buds stay dormant awaiting suitable conditions to resume growth when temperatures rise. Apricots (*Prunus armeniaca* L.), like most temperate fruit crops, have an obligatory chilling requirement that must be fulfilled before trees can produce flowers (Campoy et al., 2011b; Luedeling, 2012). This means that they need a period of cool temperatures during the winter before normal budburst takes place (Campoy et al., 2011b; Campoy et al., 2013). The need to fulfil this chill requirement prevents these plants from flowering during short warm spells in winter, since flower

development is prevented even when temperatures are favourable. The time when the chill requirement has been met marks the end of endo-dormancy. Subsequently, during the eco-dormant period, budburst can be induced by warm temperatures (Lang et al., 1987). It occurs after sufficient accumulation of heat above a base temperature (measured in Growing Degree Days or Growing Degree Hours), which is often cultivar/species dependent (Campoy et al., 2011a; Luedeling, 2012).

For modelling purposes, chill and heat requirements have been conceptualized in different ways. The most common concept is one of sequential fulfilment of chilling and heat requirements, in which there is a period, before fulfilment of the chilling requirement, when buds are insensitive to heat accumulation, followed by the warming phase, when additional chilling has no effect. After sufficient heat has then accumulated to fulfil the heat requirement, bud burst occurs (Darbyshire et al., 2013; Guedon and Legave, 2008; Luedeling et al., 2009). A rather different concept proposes that chilling and heat accumulation start at a similar time and budburst is possible after a combination of chilling and heat accumulation. This indicates a trade-off between chill and heat accumulation, with abundant heat being able to compensate for low chilling, and vice versa (Harrington and Gould, 2015; Harrington et al., 2010; Murray et al., 1989). This model assumes that plants with an obligatory chill requirement must first receive a minimum chilling dose (critical chilling units). Subsequently, high heat accumulation might induce bud burst. However, this heat requirement is reduced by exposure to additional chilling. Other modelling efforts for almonds have described this as an overlap between chilling and warming period, with a model assuming a budbreak-advancing effect of additional chilling until fulfilment of 75% of the heat requirement being best supported by field data (Pope et al., 2014). Experiments under controlled environment conditions also suggest that additional chilling during the early warming period may decrease the heat requirement (Couvillon and Erez, 1985). Since previous delineations between chilling and warming periods through PLS regression have also indicated an overlap between the phases, it seems plausible to differentiate between three temperature-responsive phases between the onset of bud dormancy and flowering: 1) only chilling accumulation has a flowering-advancing effect, 2) both chilling and

94 heat accumulation are effective, and 3) only heat accumulation may promote bud development
95 (Guo et al., 2014; Guo et al., 2015; Luedeling et al., 2013).
96 Although the effects of temperature on the fulfilment of chilling and heat requirements have been
97 addressed by a large number of studies (e.g. Anzanello et al., 2014; Campoy et al., 2013; Carew et
98 al., 2001; Mahmood et al., 2000), at the mechanistic level, the process responsible for chill
99 accumulation is not fully understood (Campoy et al., 2011a).

100 In climates such as those encountered in the United Kingdom (145 Chill Portions [CP] accumulated
101 on average from 1st September to 31st April), chilling is not typically assumed to be a limiting factor
102 of flowering or crop yield of apricots (Guo et al., 2015; Viti et al., 2010). In addition, a calculation of
103 chilling according to the Dynamic Model for future climate projections did not forecast major
104 changes in the seasonal number of accumulated Chill Portions in the UK (Luedeling et al., 2011).
105 However, assuming sequential completion of chilling and heat requirements, the current trend of
106 climate warming may have an effect on the timing of the initiation of chilling, fulfilment of chilling,
107 initiation of heat accumulation and completion of heat requirement, with all these factors having
108 potential for major impacts on flowering dates (Luedeling et al., 2009). This leaves significant
109 uncertainty about future trends in spring flowering of fruit species in the UK. In fact, studies
110 conducted on wild species show a change in the order of flowering among species as temperatures
111 increase (Roberts et al., 2015). It is also worth considering that, at present, apricots in the UK already
112 flower relatively early, so that their timing often coincides with low activity of pollinators during
113 anthesis, whilst buds, flowers and fruitlets face substantial risk of frost damage (Else and Atkinson,
114 2010). Further advances in apricot flowering dates may reduce their suitability for commercial
115 plantings, whereas a delay in flowering may make them more suitable, particularly when associated
116 with an increase in temperatures around flowering time (Cannell and Smith, 1986).

117 The aim of this study was to determine the influence of fluctuations in chill and heat accumulation
118 on recorded flowering dates of apricots of the UK National Fruit Collections (NFC) in the SE England.

We used Partial Least Squares (PLS) regression to determine the start and end of the effective chill and warming periods and to give an outlook of how variation in daily chill and heat accumulation rates affect the flowering date.

2. Materials and Methods

2.1 Phenology and climate data

This study used a 35-year discontinuous record of the flowering dates of 14 apricot varieties at the UK NFC at Brogdale Farm, Faversham, UK (51.30° N, 0.87° E, 12 m a.s.l.) observed between 1960 and 2014 (Table A1). Trees were generally monitored at least twice weekly (more frequently during particularly warm spells) and time of flowering was determined as the date when 10% of the flowers had fully opened. Dates were converted to Julian days (day of the year) for further analysis. There were no signs of any variety flowering earlier than the others (average range of 5.9 days; Fig. A.1). During several years, all varieties flowered on the same day or over a very short period (Fig. 1). The order in which different varieties flowered was not consistent throughout the record. For instance, the two varieties creating the largest flowering spread in the record (13 days in 1967 between Alfred and Sun-Glo), flowered only 2 days apart in the previous year. Another example is that Sun-Glo was the latest variety in 1981 but also the earliest three years later. Therefore, average flowering time for all varieties was used for the analyses. Among the 14 varieties, 'Alfred', 'Early Moorpark' and 'Farmingdale' were represented in most of the 35 years when flowering was recorded (Table A1), allowing meaningful trend analysis for these varieties.

Daily minimum and maximum temperatures were collected from a meteorological station located near the apricot orchard. A gap in the meteorological data, from March 1st of 1990 to April 30th of 1998, was filled with data from the nearest weather station available (East Malling, 51.29^{o} N; 0.45^{o} E, 78 m a.s.l., ca. 30 km away). These data were corrected for the 0.19 and -0.19°C difference in mean daily minimum and maximum temperatures determined by analysing differences between the records for overlapping periods. Minor gaps in the meteorological data were filled by linear

interpolation. These gaps were 14 recordings of daily maximum temperature and 29 of daily minimum temperature scattered throughout the whole time series.

2.2 Chilling and heat accumulation models

Most common chill and heat models require hourly temperature data as inputs. These were constructed using recorded (and gap-filled) daily minimum and maximum temperature records and following the recommendations of Linvill (1990) that the daily temperature curve can be composed of a sine curve for daytime warming and a logarithmic decay function for night-time cooling. Since these equations require daily data on sunrise, sunset and day length as inputs, these were modelled based on geographic latitude (Almorox et al., 2005; Spencer, 1971).

Daily chill accumulation was calculated according to the Dynamic Model (Erez et al., 1990; Fishman et al., 1987b), as it has consistently shown a better performance than other chill models in a wide range of circumstances (Campoy et al., 2011a; Darbyshire et al., 2011; Erez et al., 1990; Guo et al., 2013; Luedeling et al., 2013; Luedeling et al., 2009). Daily heat accumulation was calculated according to the Growing Degree Hours (GDH) Model (Anderson et al., 1986), a curvilinear model using a base temperature of 4°C and an optimum temperature of 26°C. The equations used for these chill and heat accumulation models are given in Luedeling and Brown (2011) and Luedeling et al. (2009), respectively. To facilitate the visual interpretation of the results, daily chill and heat values were subjected to an 11-day running mean procedure (Luedeling and Gassner, 2012; Luedeling et al., 2013). We illustrated variance of chill or heat accumulation over the periods of responsiveness using the standard deviation.

2.3 Partial Least Squares regression

Daily chill and heat accumulation were related to flowering dates for each year from 1st June to 25th April (latest flowering date recorded), creating 35 datasets consisting of 328 records of daily chill and heat accumulation (ignoring 25th April in leap years) and one flowering date each. PLS regression was

then used to find correlations between daily chill and heat accumulation rates (656 independent variables – 328 daily chill and 328 daily heat values) and flowering date (1 dependent variable). The Variable Importance in the Projection (VIP) statistic of PLS regression was used to highlight the most important among the large number of predictor variables. In contrast to other regression methods, PLS regression can effectively analyse effects of a large number of highly autocorrelated variables, even when they greatly outnumber the observations for the independent variables. For PLS regression, two latent factors were constructed from all the independent variables and used to perform a regression with the dependent variable, in line with the methods of Wold et al. (2001). We used the PLS implementation in the chillR package for R programming language (R version 3.2.0) (Luedeling, 2013). PLS regression gives two major outputs for each predictor variable: the VIP and a model coefficient. The VIP indicates how important variation in the value of a particular variable is for explaining variation in the dependent variable. As in previous studies, 0.8 was assumed to signify importance of a variable (Luedeling et al., 2013; Wold et al., 2001). For those independent variables with a significant VIP score, positive or negative PLS model coefficients indicate that a positive or negative deviation in the independent variable is correlated to an increase or decrease in the dependent variable, respectively. For our purposes, a negative coefficient on daily chill or heat accumulation indicates that high heat or chill accumulation on that particular day of the year is correlated to early spring flowering. Positive coefficients indicate correlation of high heat or chill accumulation with late flowering dates.

2.4 Interpretation of PLS output

PLS regression highlights phases during which variation in independent variables (daily accumulation of chilling and heat) is correlated with variation in flowering date. Therefore, PLS regression analysis of a sufficiently long record of variable winter weather and the resulting flowering dates should allow delineation of relevant temperature response phases (Luedeling and Gassner, 2012; Luedeling et al., 2013). It should be kept in mind, however, that low VIP scores do not necessarily mean that

weather conditions on these days had no chilling or heat accumulation effect. It may simply mean that variation in weather conditions was not correlated with variation in flowering dates, which may also occur when, for example, temperatures varied only within a range that was optimal for chilling.

2.5 Response of fruit tree flowering to conditions during the chilling and warming periods

Once the chilling and warming periods were delineated, we determined heat accumulation during the warming phase (in GDH), chill accumulation during the chilling phase (in CP) and mean temperature during the chilling and warming periods. Other relevant parameters calculated were the day of the year when the first 5 CP (starting from 1st of July), the average chilling accumulation that enabled heat accumulation (first 62.7 CP starting from 1st of July) and the first 1000 GDH of the year (starting from 1st of January) had accumulated. The Kendall rank correlation coefficient (τ, tau) was calculated for time series evaluation, and linear regression lines were plotted for chilling and warming period mean temperatures, chill and heat accumulation, completion date of the first 1000 GDH, completion date of the first 5 CP and completion date of the average chill accumulation that enabled heat accumulation. Kendall's test is a non-parametric test where values are substituted by ranks, this means that no distribution or linear relationship is assumed. For this reasons Kendall's test is widely used for detecting trends in climatologic time series (Wilks, 2011). We used Pearson's correlation test for other data different from time series.

Through interpolation, we created a response surface illustrating the dependency of apricot flowering on chill and heat accumulation during the chilling and warming phases. For the interpolation, we used the Kriging technique, which is a Gaussian regression method commonly used in spatial statistics to estimate values at locations where no data are available (Oliver and Webster, 1990). This illustration facilitated the interpretation of responses of flowering dates to multiple climatic drivers. In this case, the plot illustrated the direction and magnitude of the effects of chilling and heat accumulation on flowering dates (Guo et al., 2015). Guo et al. (2015) showed that as temperatures increase, temperatures during the chilling period may gain in relative influence on

bloom dates, compared to temperatures during the heat phase. However, within any single site, the relative importance of temperatures during these two phases appears to be relatively constant (Guo et al. 2015), unless temperatures increase very strongly, or the study site is already marginal for the species in terms of chilling. The kriging surface can be approximated by a bivariate linear model: $y = a\ T_c + b\ T_h + c$; where T_c is temperature during the chilling period, a is the sensitivity of flowering date to chilling period temperature, T_h is temperature during the warming period, and b is the sensitivity of flowering date to warming period temperature. This method is often used with linear univariate models to estimate the sensitivity of phenology to changes in spring temperature (Fu et al., 2015).

3. Results

3.1 Evolution of apricot flowering over time

The average flowering date of apricots of the UK NFC showed a large degree of variability from year to year, being as early as 10th March in 1961 and 1989 and as late as 25th April in 1986 (Fig. 1), with 46 days between earliest and latest flowering dates. Flowering time showed a slight trend towards earlier dates over the recording period (average advance by 0.238 days per year [d yr^{-1}]), but this was not statistically significant (τ=-0.13; p=0.293). The same results were observed for the three most frequently observed varieties; 'Alfred' (-0.092 [d yr^{-1}]; τ=-0.07; p=0.621),'Early Moorpark' (-0.29 [d yr^{-1}]; τ=-0.20; p=0.149) and 'Farmingdale' (-0.141 [d yr^{-1}]; τ=-0.09; p=0.568).

3.2 Relevant periods for chill and heat accumulation

Results from the PLS regression procedure indicated a period between 27th September and 26th February, which was characterized by high VIP scores (>0.8) and negative model coefficients for the chill accumulation rate (Fig. 2). This phase was interrupted by a period between October and December, during which the correlation between variation in chill accumulation and flowering dates was not very pronounced. However, the daily chill accumulation rate during this time span was consistently high and varied relatively little, so that it seemed reasonable to us to interpret the

242 entire period between 27th September and 26th February as the chilling period. There were two
243 additional periods of significant VIP scores for chill accumulation, where coefficients were
244 alternating between positive and negative: 1) from 1st June to 4th July, and 2) from 10th March to 9th
245 April. The fact that coefficients were not consistently positive or negative suggests that this pattern
246 may not reflect the physiological processes commonly associated with dormancy progression.
247 However, PLS analyses of mean temperatures (rather than chilling and forcing rates; Fig A. 2) also
248 shows significant VIP scores and negative coefficients for both periods, which may indicate that
249 apricot buds are truly responsive to temperatures during these periods.

250

251 The delineation of the warming period was clearer, with an almost uninterrupted period from 31st
252 December to 12th April with negative model coefficients for daily heat accumulation rate, coupled
253 with high VIP values. Chill accumulation over the chilling period was 103.7 ± 7.2 CP, including 41.7 ±
254 4.3 CP in the period when chill and heat accumulation overlapped. This suggests that the difference,
255 62.7 ± 5.6 CP, accumulated from 27th September to 31st December, is the amount of chilling that
256 these apricot trees received before starting to accumulate thermal time. The GDH accumulation
257 during the overlapping period (from 1st January to 26th February) was 1269 ± 681, and this was
258 followed by another 2511 ± 1062 GDH between 26th February and 12th April, resulting in a total
259 accumulation of 3744 ± 1538 GDH accumulation in total (from 1st January to 12th April).

260 PLS results also indicated that the effects of heat accumulation depended strongly on when heat
261 accumulation occurred (Fig. 2). Daily GDH showed also two periods of positive coefficients, when
262 warm periods were correlated with late, rather than early, flowering dates: 1) from 4th to 16th of
263 October, and 2) from 9th to 31st of December. During the first period, daily chilling accumulation was
264 also correlated with flowering delays. This phase approximately coincided with the only period, for
265 which high mean temperatures where associated with late flowering (Fig. A.2). In fact, the date of

completion of the first 5 CP was correlated with the heat accumulation required up to flowering (Fig. A.3).

3.3 Relative importance of warming effects during the chilling and heat accumulation phases

Plotting flowering dates of apricot as a function of temperature during the chilling and warming periods predicted by PLS showed effects of both factors (Fig. 3A, R^2=0.74). Cooler temperatures during the chilling period and warmer temperatures during the warming period appeared to induce earlier flowering. Since mean temperatures during the chilling and warming periods were positively correlated (r=0.79; p<0.001; data not shown), our dataset did not contain years with very warm chilling and cool heat accumulation phases, or vice versa (Fig. 3A). Had the data included such records, the bloom-advancing effect of cool temperatures would likely have featured more prominently in our results. Given that contour lines are nearly straight and parallel, the kriging surface could be approximated by a bivariate linear model (R^2=0.99): $y = 4.82 * T_c - 9.85 * T_w + 109.5$; where y is the flowering date (Julian Day), T_c is the mean temperature during the chilling period, T_w is the mean temperature during the warming period, 4.82 days $°C^{-1}$ is the sensitivity to temperature during the warming period, -9.85 days $°C^{-1}$ is the sensitivity to temperature during the warming period and 109.5 days is the model intercept (see methods for details). The angle of the contour lines, closer to parallel with the X axis than the Y axis and the greater sensitivity to temperatures during the warming period than the chilling period (-9.85 vs 4.82 days $°C^{-1}$) suggests that apricot flowering dates were influenced more strongly by temperature during the warming period than by temperature during the chilling phase. The combined effect of chill and heat accumulation described apricot flowering dates well (Fig. 3B, R^2=0.67). The plot indicated a greater influence of variation in heat accumulation on flowering dates, but also showed a pronounced effect of chill accumulation rates, resulting in diagonal contour lines.

3.4 Evolution of temperature-related variables over time

Temperature during both chilling and warming periods increased significantly over time (τ=0.35; $p<0.001$; Fig. 4A and τ=0.36; $p<0.001$; Fig. 4D). However, throughout the temperature record, mean temperature showed a slightly greater increase during the warming period (0.039 °C yr^{-1}) than during the chilling period (0.026 °C yr^{-1}). In contrast, heat accumulation showed a positive and significant trend over the period recorded (τ=0.40; $p<0.001$; Fig 4B), but not chill accumulation (τ=0.02; $p=0.794$; Fig. 4E). Despite the lack of significant trend in chilling accumulation over time, the time to accumulate the first 5 CP of the season was significantly delayed over the years (0.28 d yr^{-1}; τ= 0.26; $p=0.005$; Fig. 4F), leading to a delay in the time to complete the average chilling accumulation that enabled heat accumulation (first 62.7 CP; 0.21 d yr^{-1}; τ= 0.25; $p=0.006$; Fig. 4G). The time to accumulate the first 1000 GDH of the year shortened over the record (-0.45 d yr^{-1}; τ =-0.26; $p=0.006$; Fig. 4C). Mean temperatures around flowering showed a significant positive correlation with time (0.022 °C y^{-1}; τ=0.28; $p=0.02$; Fig. 5).

4. Discussion and conclusions

4.1 Identification of chilling and warming periods by PLS regression

Chilling and warming periods are often designated arbitrarily, based on intuition, or counting from the first day that chilling starts to accumulate (Darbyshire et al., 2011; Harrington and Gould, 2015; Harrington et al., 2010; Luedeling and Brown, 2011). In previous studies, PLS regression has been able to identify clearly the days of the year when accumulation of chilling or heat had an impact on spring phenology, so that chilling and warming periods could be defined (Guo et al., 2014; Guo et al., 2015; Luedeling and Gassner, 2012; Luedeling et al., 2013). This approach can also identify the chilling period for crops and places where the chilling requirement is easily satisfied (Guo et al., 2014) and variation in chilling accumulation has a small influence on flowering dates. In the present study, effective chilling and warming periods for apricots in the UK National Fruit Collection occurred from 27th September to 26th February and from 31st December to 12th April, respectively. Thus, the identified chilling and warming periods had a significant overlap of 58 days. This is in agreement with

316 studies on apricot and peach, which showed 1) an initial phase when heat accumulation cannot
317 induce budburst, 2) a period when sufficient chill has accumulated to induce budburst by application
318 of warm conditions, with the amount of required heat decreasing with additional chill accumulation
319 and 3) a phase when additional chill has no effect and only heat is required for budburst (Campoy et
320 al., 2011b; Campoy et al., 2013; Okie and Blackburn, 2011).

321 Guo et al. (2015) identified chilling and warming periods of apricots by PLS regression for several
322 locations in China, among which results from our study were most similar to the analysis for Beijing,
323 which was reported to have a chilling period from 17th September to 26th February. Considering the
324 differences in temperature between locations at the start and end of the chilling period, this is a
325 remarkable match (starting 10 days earlier and ending on the same day). Mean temperatures at UK
326 NFC were approximately 5°C lower at the start of the chilling period and 4°C higher at the end of the
327 chilling period than in Beijing. In fact, neither daily chill nor daily heat accumulation at these
328 locations are within similar ranges or show a similar temporal pattern. However, both Beijing and
329 the UK NFC, had similar mean temperatures during both the chilling and the warming periods, and
330 PLS regression indicated similar chilling but also warming periods (from 9th January to 10th April in
331 Beijing). Both locations were also similar in terms of the relative contributions of temperatures
332 during the chilling and warming periods to variation in flowering dates (Fig. 3A). Therefore, in spite
333 of big differences between the climates of Beijing and SE England, our results support the hypothesis
334 of Guo et al. (2015), who proposed that, even though effects of spring warming have dominated
335 phenological responses of temperate trees so far, continued warming may increase the importance
336 of the phenology-delaying effects of warming in autumn/winter, which may slow and possibly
337 reverse the advances in spring events that have been observed in recent decades.

338 In a study comparing the flowering dates of late varieties of apricot in Italy (Fideghelli et al., 1978),
339 'Alfred' and 'Farmingdale' came out among the latest of the 146 varieties recorded, with the same
340 flowering date as 'Bergeron', which is a common reference for late flowering apricots. 'Early

341 Moorpark', the third variety constituting the core of the data used for the analyses, flowered just 5
342 days earlier than the other two. It must be noted that the late flowering of the varieties of the UK
343 NFC led to the identification of the given chilling and warming periods and the use of varieties with
344 lower chill requirements would have led to different periods (Guo et al., 2015).

345 The chilling accumulation before the period of heat accumulation at the study site (27th September
346 to 31st December) and the subsequent heat accumulation was 62.7 ± 5.6 CP and 3744 ± 1538 GDH,
347 respectively. This is similar to the requirements reported by Ruiz et al. (2007) for 'Bergeron' in a very
348 different climate (SW Spain) of 64.8 CP for breaking dormancy and 4101 GDH from breaking
349 dormancy to flowering. Similar accumulations were reported by Campoy et al. (2012) for 'Bergeron',
350 together with a relatively high interannual variation in the estimation of both chilling and heat
351 accumulations required to flower. The overlap between the chilling and warming periods found in
352 this study and Guo et al. (2015) may offer the key to understanding the variability in the estimation
353 of this important horticultural trait. In cold places, some years may not allow heat accumulation
354 soon after buds have received enough chilling to break endo-dormancy. In this case, additional
355 chilling may reduce the amount of heat required for flowering. In warmer places, however, in years
356 with slightly lower chilling accumulation than the optimal required, additional heat can still trigger
357 flowering (Harrington et al., 2010). This trade-off could be responsible for the interannual variability
358 often reported in chill and heat requirement estimations. Assuming an overlap between chilling and
359 warming periods has been shown to improve model fits (Darbyshire et al., 2016; Pope et al., 2014).
360 Our approach to determining climatic needs, however, is based on calculating mean accumulation
361 during the effective periods, so that interannual variation in the amount of chill and heat required,
362 or interactions between chill and heat cannot be captured.

363 **4.2 Impacts of chilling and heat accumulation conditions on flowering dates**

364 Apricot flowering dates were predominantly affected by mean temperature during the warming
365 period (Fig. 3). Similar findings have previously been reported for apricots in China, France, Italy and

366 Spain (Andreini et al., 2014; Guo et al., 2015), chestnut and jujube in China (Guo et al., 2014), and
367 apples and olives in France (El Yaacoubi et al., 2014). El Yaacoubi et al. (2014) analysed the spring
368 phenology of different tree crops in different locations and noted that late flowering crops were
369 more prone to earlier flowering in response to increasing temperature. The abundance of records
370 showing a trend towards earliness may also be linked to the growers choosing species and cultivars
371 with appropriate chilling requirements for their growing region. So far, it is only in very warm
372 production regions, where available chill is barely sufficient for growing certain temperate species,
373 that significant delays in spring flowering in response to warming have been observed (Elloumi et al.,
374 2013; Luedeling et al., 2013).

375 PLS regression showed two periods when flowering was delayed by heat accumulation: 1) at the
376 beginning of the chilling period, 2) and before the start of the warming period. For the first period,
377 this effect may be related to the delaying impact of high summer/autumn temperature on growth
378 cessation, which has been reported to potentially lead to delayed bud burst in the following year
379 (Heide, 2003; Heide and Prestrud, 2005). In addition, the timing of the onset of chill accumulation
380 (first 5 CP completion) appeared to increase the amount of heat required for flowering (Fig. A.3),
381 suggesting that the timing of the first chill accumulation is important for counteracting the
382 flowering-advancing effect of temperatures during the warming period. In the second case (i.e. the
383 period of significant positive correlation for heat accumulation before the warming period, in the
384 middle of the chilling period), controlled environment studies show that when heat is received too
385 early, before critical chilling completion, it may not advance or even delay flowering (Anzanello et
386 al., 2014). In the present study, the low heat accumulation during this period (i.e. right before the
387 warming period) suggests that even though VIP scores were high, heat accumulation during this
388 period probably had little effect. Chill accumulation also presented periods with high VIP that were
389 outside the delineated chilling period (e.g. from 1st June to 4th July). Given that buds for the next year
390 are not yet present at that time, this is probably not a biologically meaningful effect.

4.3 Impact of global warming on chilling and heat period conditions

In spite of global warming, in the UK, chill accumulation calculated using the Dynamic Model has decreased only slightly in recent decades, and no major decreases over the 21st century have been projected for future scenarios based on the CSIRO, HADCM3 and MIROC climate models (Luedeling et al., 2011). Our results show a significant increasing trend in mean temperatures during the chilling period of apricots, but no significant decrease in chill accumulation (Fig. 4D and E). It is worth noting that the Dynamic Model considers that, as long as temperatures during the day are not too high, 4 hours at low temperature (i.e. 6°C) and 20 hours at moderate temperature (i.e. 14°C) per day are 63% as effective as a regime with 24 hours at cold temperature (Fishman et al., 1987a). In consequence, the increase in mean temperature during the chilling period over the record did not correspond to a decrease in chill accumulation according to this model. In addition, for cold-temperate climates, increasing temperatures frequently shift temperatures to levels to which most chill models would assign higher–rather than lower–chilling effectiveness, resulting in no change or even increase in chilling (Luedeling et al., 2011). Even though according to the Dynamic Model, overall chill during the chilling period did not decrease (τ= 0.02, p=0.79), the time to accumulate the first 5 CP and 62.7 CP (average chill accumulation that enabled heat accumulation) increased over the years. This may be an indication of a gradual shift of the chilling period. This delayed start of chill accumulation is unlikely to induce a reduction of chill accumulation *per se* in the short term, as the date identified as the end of the chilling period (26th February) is still succeeded by many days with high daily chill accumulation. However, this delay in chilling may delay chill requirement fulfilment and subsequent events, such as the start of the warming period, budburst and flowering. Simultaneously, heat accumulation increased and the time to accumulate the first 1000 GDH decreased over the years. If the start of the chilling and warming period continues to be delayed, while the accumulation of heat occurs earlier in the year, the net effect on spring event timing is difficult to predict. Possibilities then include continued advances (if additional heat accumulation is the dominant driver), unchanged flowering times (if effects cancel each other out) or even delays (if

delayed chill accumulation becomes dominant; Guo et al., 2015). In addition to the influence of conditions during the chilling and warming periods, our results highlight the relevance of the timing of multiple events for explaining variation in spring phenology.

As for the future suitability of this crop, temperatures before and after flowering are important for successful production, because of the need to synchronize bloom with pollinator activity (Else and Atkinson, 2010). Cannell and Smith (1986) found that future warming could increase the incidence of frost damage to the flowers of trees in Britain, but it could also cause the opposite, depending on the extent to which trees' chilling requirements are currently met. In this work we showed that warming likely delays chill accumulation, partially compensating for advances in response to warmer springs, resulting in warmer temperatures in the dates before and after flowering. If this trend is maintained, further warming may therefore reduce the frost risk for apricots in SE England rather than the opposite.

4.4 Conclusions

In recent years, flowering in apricot has been experiencing an advancing effect related to increased temperature during the effective warming period. However, a delay in the onset of chill accumulation and completion of chill requirement necessary to start heat accumulation appear to moderate the effect of increasing heat during the warming period. Although the future trend in flowering dates of apricots in the UK is unclear, as temperature continues to increase during both chilling and warming periods, the trend to earliness in flowering induced by warming temperatures may continue being balanced by the apparent bloom-delaying effect of temperature during the chilling phase. Increases in temperatures around flowering time over the years, however, suggest that the southern UK many potentially become a more suitable region for apricots in the future due to the associated reduced risk of frost damage.

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646

647 **Figure captions**

648 Fig. 1. Average flowering date of apricots in the UK NFC between 1960 and 2014. Kendall's rank
649 correlation coefficient (τ) and p value. Julian days are days from 1st January of each year flowering
650 was analysed.

651

652 Fig. 2. Results of Partial Least Squares regression analysis for apricot flowering dates in the UK
653 National Fruit Collection, using the Dynamic Model and the GDH Model for quantifying chill and heat
654 accumulation, respectively. Colour bars in the figures indicate VIP above 0.8, the threshold for
655 considering variables important. Green and red bars represent, besides importance, a positive and
656 negative relationship, respectively, between flowering and daily chilling and heat accumulation. In
657 the lower graphs (chill accumulation and heat accumulation), bars represent the standard deviation
658 of daily chill and heat accumulation, with colours following the same pattern as for the VIP and
659 model coefficients. Shaded areas and dashed lines represent the range in flowering dates and
660 average flowering date, respectively. GDH stands for Growing Degree Hours; CP for Chill Portions;
661 VIP for variable importance in the projection.

662

663 Fig. 3. Response of the average flowering date of apricot to average temperatures during the chilling
664 and warming periods (27th Sep to 26th Feb and 31st Dec to 12th Apr, respectively (A); and response of
665 flowering dates to chill accumulation during the chilling period and heat accumulation during the
666 warming period (B). Colours and contour lines represent predicted flowering dates expressed in

667 Julian dates (days of the year) using observed data points of mean apricot flowering dates (black
668 dots). GDH stands for Growing Degree Hours; CP for Chill Portions.

669 Fig. 4. Time trends for mean temperature during the warming period (A), heat accumulation during
670 the warming period (B), completion date of the first 1000 GDH (C), mean temperature during the
671 chilling period (D), chill accumulation during the chilling period (E), completion date of the first 5 CP
672 (F) and completion date of average chill accumulation that enabled heat accumulation (i.e., first 62.7
673 CP; G). GDH stands for Growing Degree Hours; CP for Chill Portions. Kendall's rank correlation
674 coefficients (τ) and p values are also shown. Julian days are days from 1st January of each year
675 flowering was analysed.

676 Fig. 5. Time trend of mean temperature during the 40 days before and after flowering for each
677 season. Kendall's rank correlation coefficient (τ) and p value are given.

678

679 **Appendix A. Supplementary information**

680

681 Table A.1. Summary of the UK's NFC apricot flowering dates archive.
682

683 Fig. A.1. Averages and box plots of the flowering date of apricot varieties in the UK NFC between
684 1960 and 2014.

685 Fig A.2. Results of the partial squares regression of the average flowering dates of apricot varieties of
686 the UK using daily mean temperatures as the predictor variable.

687 Fig A.3. Correlation between the date of completion of the first 5 CP of the season and the heat
688 required for flowering.

689

Appendix A

Table A.1. Summary of the UK's NFC apricot flowering dates archive.

Season	Apricot varieties													
(end year)	Alfred	Bredase	Catherina	Early Moorpark	Farmingdale	Hemskirke	Kecskemeti	New Large Early	Old Cape	Puget Gold	Riland	Royale	Sun-Glo	Wilson's Delicious
1960	x													
1961	x												X	X
1962	x												X	X
1963	x												X	X
1964	x												X	X
1965	x												X	X
1966	x												X	X
1967														
1968														
1969														
1970														
1971														
1972														
1973														
1974			x	x	x		x		x		x			
1975														
1976				x	x		x		x		x			
1977														
1978														
1979				x	x		x		x		x		X	X
1980			x	x	x		x		x		x		X	
1981				x					x		x		X	
1982	x			x	x				x		x		X	
1983	x		x	x	x		x		x		x		X	X
1984	x		x	x	x		x		x		x		X	X
1985	x		x	x	x				x				X	
1986	x		x	x	x				x					X
1987	x		x	x	x									
1988	x		x	x	x									
1989	x		x	x	x									
1990														
1991	x		x	x	x									
1992														
1993	x			x	x									
1994	x			x	x									
1995														
1996	x			x	x							x		
1997														
1998														
1999	x			x	x					x		x		
2000														
2001	x			x	x					x		x		
2002														
2003				x						x				
2004														
2005	x			x										
2006	x			x	x					x		x		
2007		x		x	x	x						x		
2008														
2009														
2010	x	x		x	x	x		x				x		
2011	x	x		x	x	x		x				x		
2012	x	x		x	x	x		x				x		
2013	x	x		x	x	x		x				x		
2014	x	x		x		x		x				x		

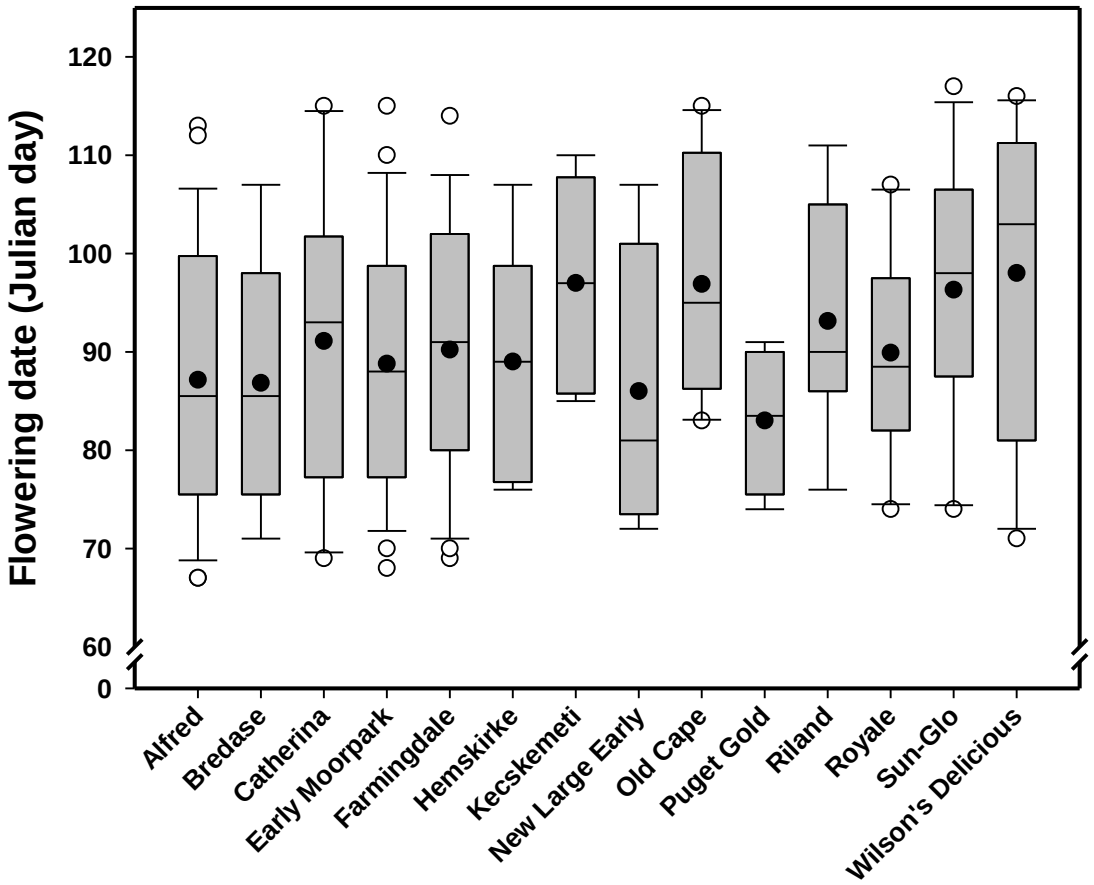


Fig. A.1. Averages (closed circles) and box plots of the flowering date of apricot varieties in the UK NFC between 1960 and 2014.

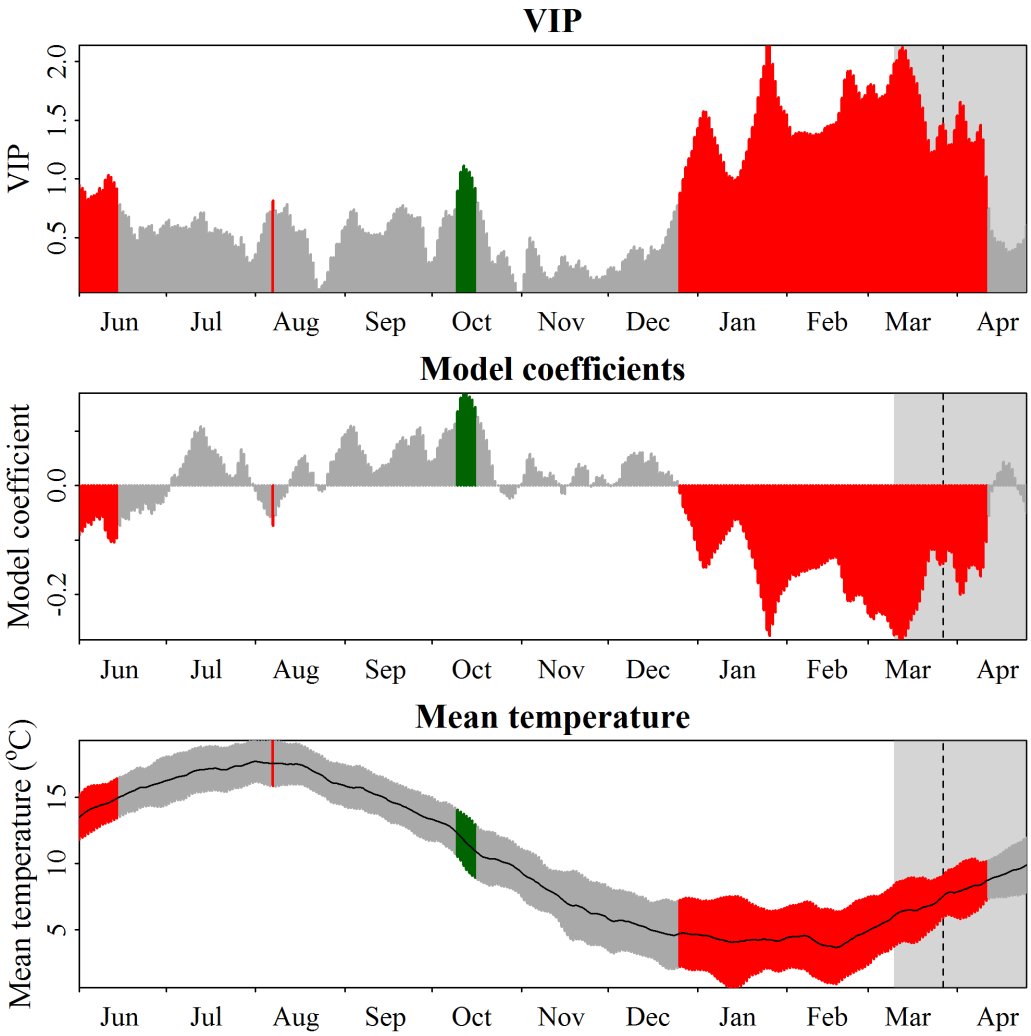


Figure A.2. Results of the PLS regression of the average flowering dates of apricot varieties of the UK using daily mean temperatures as the predictor variable. Colour bars in the figures indicate VIP above 0.8, the threshold for considering variables important. Green and red bars represent, besides importance, a positive and negative relationship, respectively, between flowering and temperatures. In the lower graphs (Mean temperature), bars represent the standard deviation of mean temperatures, with colours following the same pattern as for the VIP and model coefficients. Shaded areas and dashed lines represent the range in flowering dates and average flowering date, respectively. VIP stands for variable importance in the projection. Methods described in Luedeling et al., (2013).

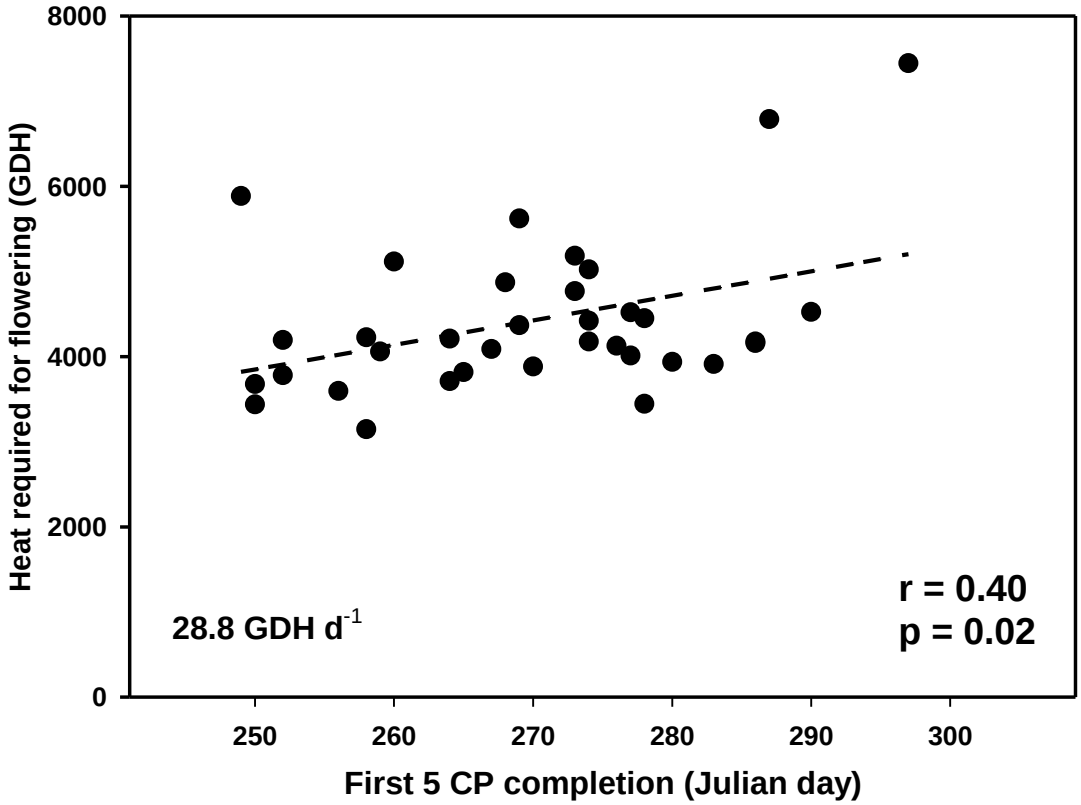


Figure A.3. Correlation between the date of completion of the first 5 CP of the season (starting the 1st July) and the heat required for flowering (GDH from onset of warming period to flowering) showing an increase in 28.8 GDH for each day the accumulation of chilling was delayed. GDH stands for Growing Degree Hours; CP for Chill Portions.

Figure 1

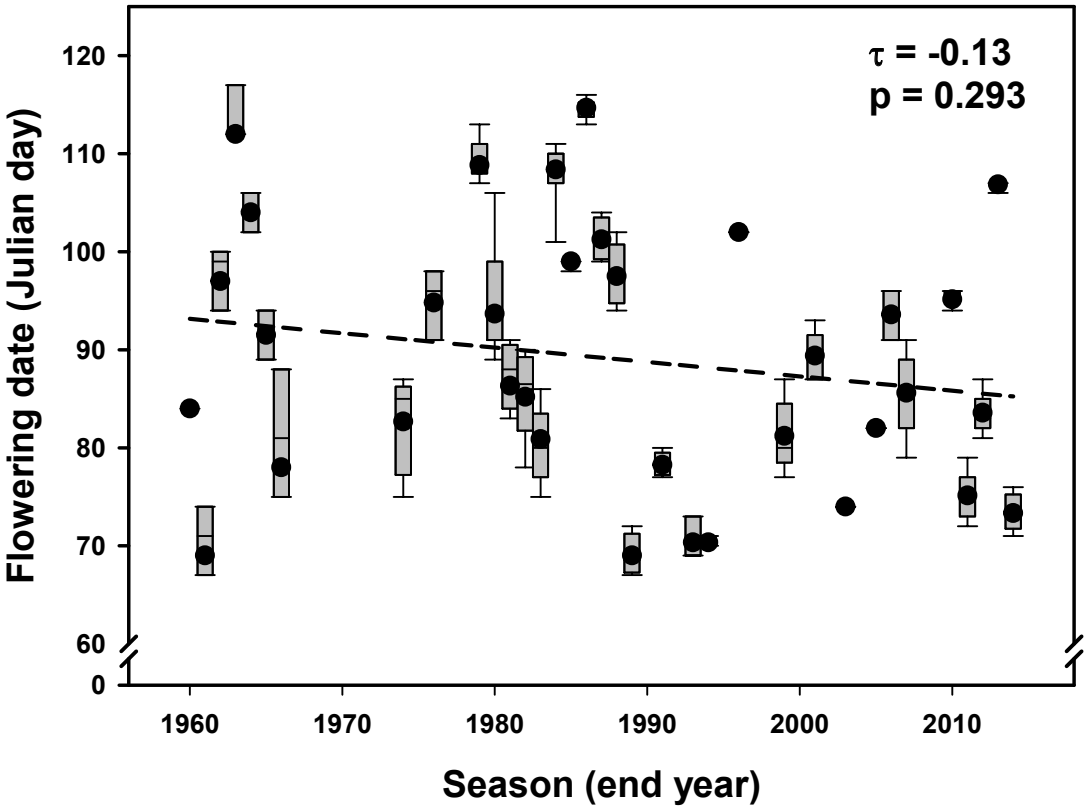

τ = -0.13
p = 0.293
Flowering date (Julian day)
120
110
100
90
80
70
60
0
1960
1970
1980
1990
2000
2010
Season (end year)

Figure 2

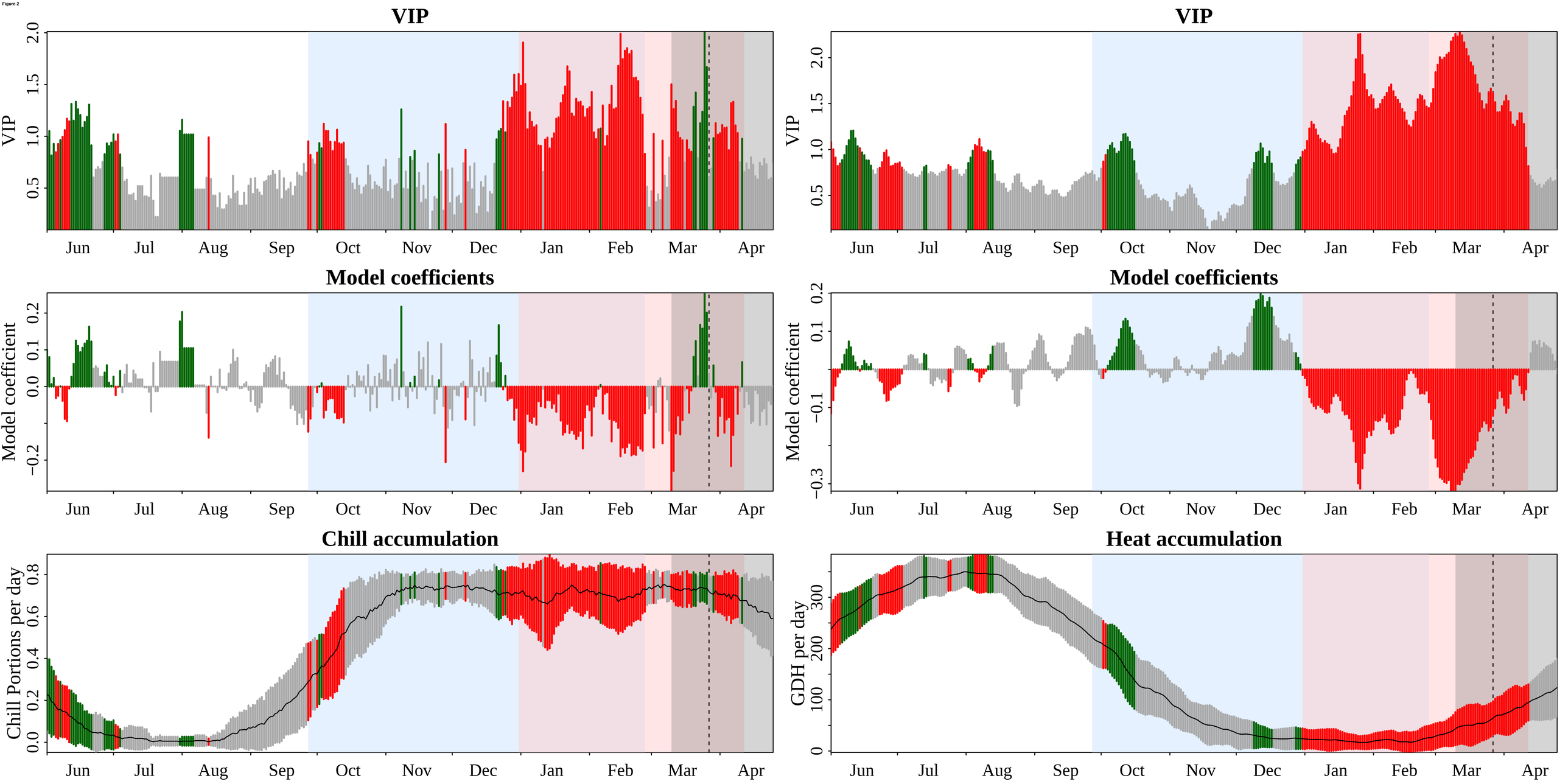
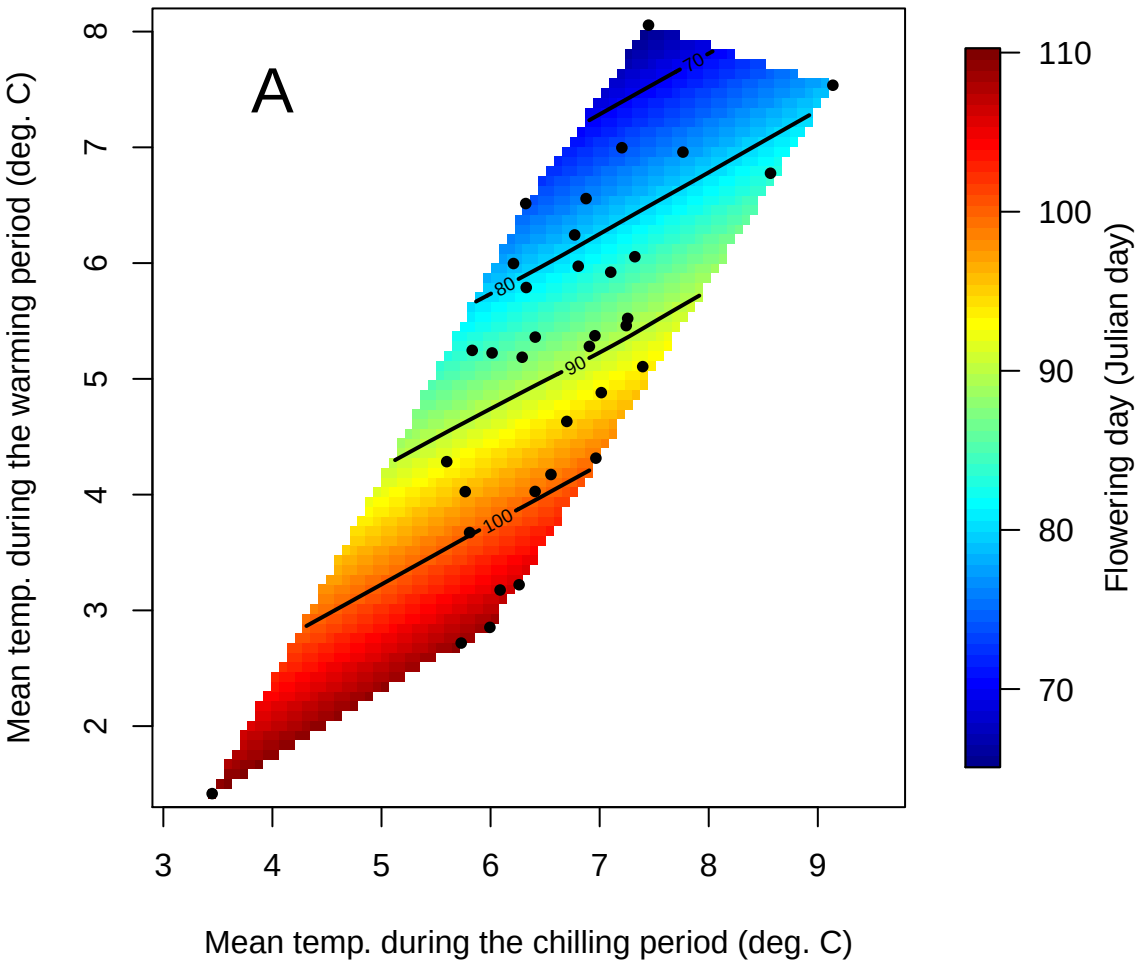

VIP
VIP
0.5
1.0
1.5
2.0
Jun
Jul
Aug
Sep
Oct
Nov
Dec
Jan
Feb
Mar
Apr
Model coefficients
Model coefficient
−0.2
0.0
0.1
0.2
−0.3
−0.1
Chill accumulation
Chill Portions per day
0.0
0.2
0.4
0.6
0.8
Heat accumulation
GDH per day
0
100
200
300

Figure 3


A
Mean temp. during the warming period (deg. C)
Mean temp. during the chilling period (deg. C)
Flowering day (Julian day)
70
80
90
100
110
2
3
4
5
6
7
8
3
4
5
6
7
8
9

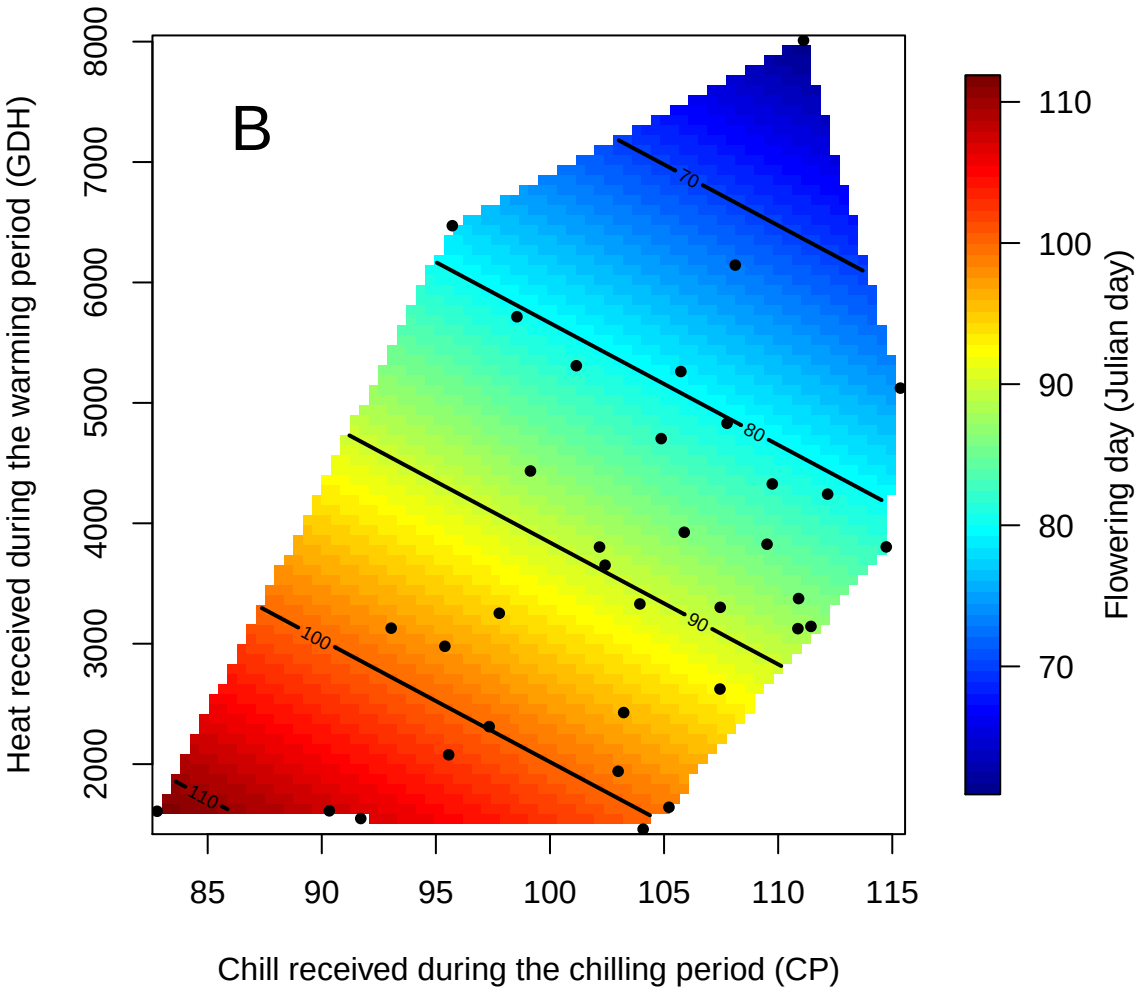
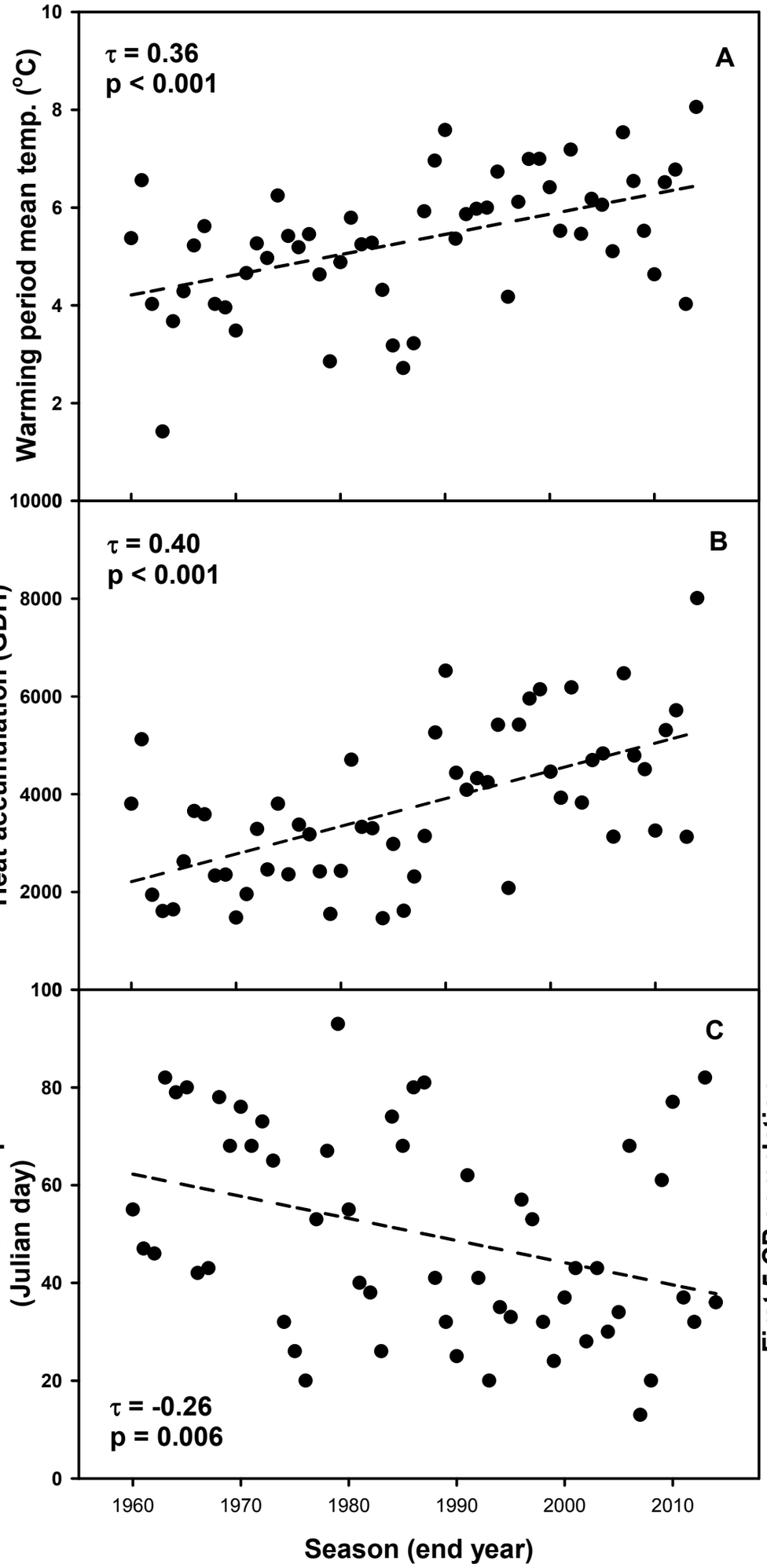

B
Heat received during the warming period (GDH)
Chill received during the chilling period (CP)
Flowering day (Julian day)
70
80
90
100
110
2000
3000
4000
5000
6000
7000
8000
85
90
95
100
105
110
115

Figure 4


A
τ = 0.36
p < 0.001
Warming period mean temp. (°C)
B
τ = 0.40
p < 0.001
Heat accumulation (GDH)
C
τ = -0.26
p = 0.006
First 1000 GDH completion (Julian day)
Season (end year)

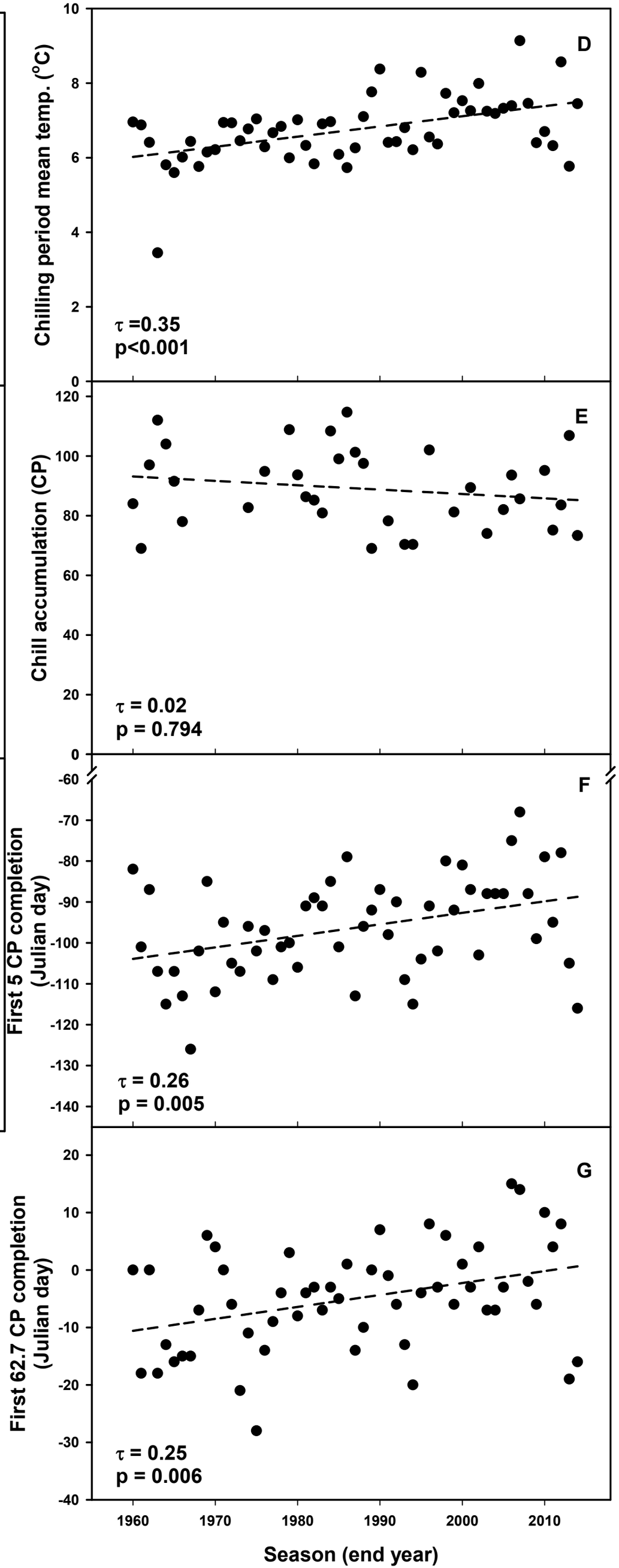
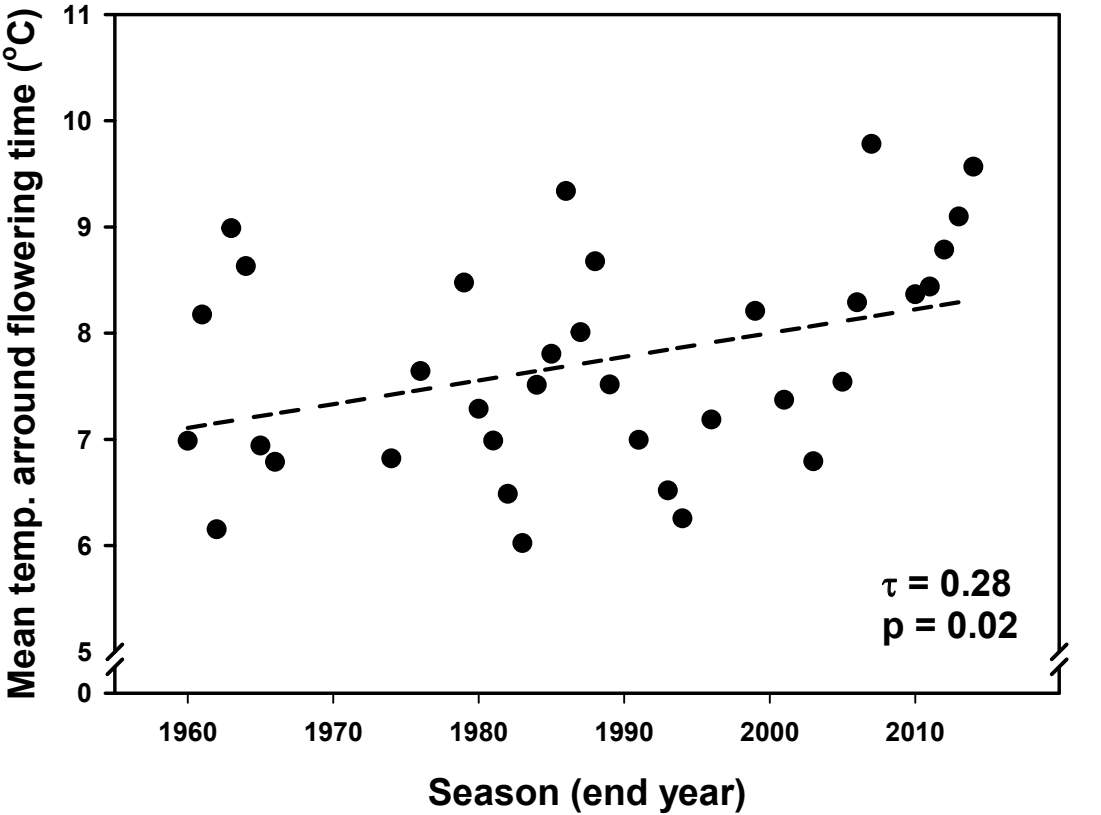

D
τ =0.35
p<0.001
Chilling period mean temp. (°C)
E
τ = 0.02
p = 0.794
Chill accumulation (CP)
F
τ = 0.26
p = 0.005
First 5 CP completion (Julian day)
G
τ = 0.25
p = 0.006
First 62.7 CP completion (Julian day)
Season (end year)

Figure 5


Mean temp. arround flowering time (°C)
11
10
9
8
7
6
5
0
1960
1970
1980
1990
2000
2010
Season (end year)
τ = 0.28
p = 0.02