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# **Tropical Cyclones and Associated Environmental Fields in CMIP6 models**

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11 ABSTRACT: The authors analyze the environmental fields associated with tropical cyclone (TC)  
12 activity in the Coupled Model Intercomparison Project Phase 6 (CMIP6) models, as well as the  
13 TC-like storms in those models. First, the model biases in the historical climatological means  
14 of chosen environmental fields are evaluated against the fifth generation of the European Centre  
15 for Medium-Range Weather Forecasting (ECMWF) atmospheric reanalysis (ERA5). Second, we  
16 show that the interannual variability of these fields is typically much smaller in models than in  
17 reanalysis. Applying a mean bias correction to these fields before calculating tropical cyclone  
18 genesis indices improves the variability of the modeled indices compared to those in reanalysis,  
19 as well as the means, due to the nonlinear dependence of the indices on these fields. The authors  
20 consider how these environmental fields change in the CMIP6 models, using three future scenarios  
21 separately as well as combining scenarios and times according to specific greenhouse warming  
22 levels. Multiple proxies for TC activity are considered and we show that the signs of the future  
23 changes are dependent on the choice of genesis index. The relationship between climate sensitivity  
24 and potential intensity change across the multi-model ensemble is examined. The statistics of the  
25 TC-like structures in the historical simulations are also examined, using the number of tropical  
26 cyclones (NTC) and accumulated cyclone energy (ACE) as diagnostics, including calculations of  
27 the percentage changes in NTC and ACE at the end of the 21C as compared with the 20C. Large  
28 decreases in both of these quantities are found in the highest emission scenario.

## 29 1. Introduction

30 Future projections of tropical cyclone activity have been explored and discussed numerous times  
31 in the literature over the last few decades (Knutson et al. 2010, 2020; Camargo and Wing 2016;  
32 Camargo et al. 2023). An intrinsic problem is that tropical cyclones (TCs) require high horizontal  
33 resolution to be well simulated in numerical models, especially in order to represent high-intensity  
34 storms. At the same time, large numbers of years, ensembles, scenarios, and models are required  
35 to represent the climate change signal and its uncertainties. These two objectives are difficult to  
36 satisfy simultaneously.

37 One common approach has been to compare historical and future TCs' characteristics (e.g., fre-  
38 quency, intensity, and duration) in standard global climate models (e.g., Camargo 2013; Tory et al.  
39 2013; Chand et al. 2017; Bell et al. 2019; Feng et al. 2024). Due to these models' low resolutions,  
40 among other reasons, such as systematic biases associated with convection parametrization, the  
41 resulting TC climatologies are not very realistic, with simulated TCs weaker and larger than those  
42 observed being a particularly obvious bias (Camargo and Wing 2016; Camargo 2013; Shaevitz  
43 et al. 2014).

44 A second approach is to analyze model TCs in high-resolution climate models (25 - 50 km grid  
45 spacings), as was done recently in the HighResMIP project (Haarsma et al. 2016; Roberts et al.  
46 2020a,b). These studies show improved representation of TCs with increased resolution, consistent  
47 with other studies (Zhao and Held 2012; Manganello et al. 2012; Wehner et al. 2014; Roberts et al.  
48 2015; Vidale et al. 2021; Russotto et al. 2022; Moon et al. 2020, 2022), in particular TC intensity,  
49 though there remain biases in multiple aspects of TC activity, such as track characteristics and  
50 landfall locations. However, the computational cost of these high-resolution climate simulations  
51 limits the number of ensemble members, number of future scenarios, and simulation duration.  
52 While the representation of TCs in convection-permitting models such as those in Judt et al. (2021)  
53 (2.5 - 8km) are much more realistic than in models with lower resolution, these simulations are  
54 typically too short (a few years or months) to provide robust TC projections. Currently, these  
55 high-resolution simulations are too computationally expensive to be performed using multiple  
56 ensembles and for many years, however the situation is changing fast (Schär et al. 2020).

57 Another common approach is to downscale global climate models to basin-scale using high-  
58 resolution regional models (Knutson et al. 2013, 2022; Patricola et al. 2014, 2017; Fu et al. 2019).

59 Drawbacks to this approach include that it does not produce consistent global (i.e., multi-basin)  
60 TC projections and that it has issues at basin boundaries (Landman et al. 2005; Zick and Matyas  
61 2015; Baudouin et al. 2019). Global climate models with variable-resolution grids avoid boundary  
62 issues (Zarzycki et al. 2014; Stansfield et al. 2020), but only TCs over the region of high resolution  
63 are well resolved.

64 An alternative methodology is to generate synthetic storms, either using statistical (Vickery 2005;  
65 Hall and Jewson 2007; Nakamura et al. 2015; Bloemendaal et al. 2020) or statistical-dynamical  
66 (Emanuel et al. 2006; Lee et al. 2018; Jing and Lin 2020; Lin et al. 2023b; Xu et al. 2024) models.  
67 One appealing feature of these models is the low computational cost and consequent large sample  
68 number of TCs that can be easily simulated, though a drawback is the limited representation of  
69 physical processes.

70 An approach that bypasses model TCs is to infer the projection of TC characteristics based on  
71 environmental proxies (genesis indices, potential intensity, ventilation index, etc.) for TC activity  
72 (e.g. Emanuel 1988; Emanuel and Nolan 2004; Emanuel 2010; Tang and Emanuel 2010, 2012).  
73 However, the behavior of TC proxies in projections sometimes disagrees with TC projections from  
74 high-resolution models (Camargo et al. 2014; Wehner et al. 2015). Furthermore, genesis indices  
75 are based on statistical relationships, derived from reanalysis data and TC “best track” data sets  
76 from the recent historical period, rather than physical theory. Good performance of a genesis  
77 index in a particular basin, period, or time scale, using reanalysis fields does not guarantee good  
78 performance in models or in future climates.

79 Finally, all approaches used to make TC projections are impacted, indirectly or directly, by model  
80 biases. One well-known issue in Coupled Model Intercomparison Project Phase 5 and 6 (CMIP5  
81 and CMIP6) models is their failure to match the observed strengthening of the tropical Pacific  
82 sea surface temperature (SST) zonal gradient over the historical period, possibly a consequence  
83 of model bias (Seager et al. 2019). Given the important role of the El Niño-Southern Oscillation  
84 (ENSO) in modulating TC activity, and the similarity of sea surface temperature (SST) trend  
85 patterns to El Niño (in models) or La Niña (in observations) this disagreement with observations  
86 may be expected to have important consequences for TC projections (Sobel et al. 2023).

87 This manuscript addresses the following topics in a large number of CMIP6 models: (i) biases  
88 in environmental fields associated with TC activity; (ii) the relationship between model TCs

89 and environmental fields; changes in (iii) TC-related environmental fields and (iv) model TC  
90 activity with anthropogenic climate change. Once we examine the climatological and interannual  
91 variability biases across the CMIP6 models, we show their impact on genesis indices and propose  
92 a bias correction to address this issue. Next, we analyze the main characteristics of the TCs in the  
93 CMIP6 models and how they relate to model resolution and environmental fields. The response of  
94 environmental fields and TCs to greenhouse gases forcing is then discussed, including the role of  
95 aerosols in the different future scenarios.

96 Section 2 describes the environmental fields and proxies considered. The models, datasets and  
97 methods used are given in Section 3. The historical simulations are analyzed in Section 4 and  
98 future simulations in Section 5. The conclusions are presented in Section 6.

## 99 **2. Environmental Field Proxies**

100 We analyze the biases in the spatial climatological patterns and interannual variability of key  
101 environmental variables associated with TCs, including potential intensity (PI), vertical wind shear  
102 (VSH), column relative humidity (CRH), and absolute vorticity at 850hPa.

103 Potential Intensity (PI) is the theoretical maximum intensity that a TC could reach under given  
104 environmental conditions (Emanuel 1988). PI computed from reanalysis data explains both ob-  
105 served TC intensity trends and interannual variability to some degree (Emanuel 2000; Wing et al.  
106 2007, 2015). Since Emanuel (1987) first showed that PI is expected to increase with anthropogenic  
107 climate change, projections of PI have been extensively discussed in the literature, including in  
108 previous generations of CMIP models, such as CMIP3 (Vecchi and Soden 2007a,b), and CMIP5  
109 (Camargo 2013). Here PI is calculated following the Bister and Emanuel (2002) algorithm.

110 Deep-layer vertical wind shear (VSH), the difference between horizontal winds at 200 and 850  
111 hPa, influences TC genesis and intensification (e.g., Rios-Berrios et al. 2023, and references  
112 therein). Overall, strong VSH tends to weaken TCs, as the TC vortex becomes vertically tilted.  
113 However, this response is sensitive to other factors, such as winds at other levels and the presence  
114 of dry environmental air. Earlier VSH projections under anthropogenic climate change have  
115 been widely discussed in the literature (Vecchi and Soden 2007b; Camargo 2013), as have recent  
116 observed trends (Kossin 2017) and the impact of decadal variability on Atlantic VSH projections

117 (Ting et al. 2019). Here we will analyze only the VSH magnitude, defined as the magnitude of the  
118 vector difference of winds at 200 hPa and 850 hPa.

119 The absolute vorticity at 850 hPa is calculated by adding the planetary vorticity to the relative  
120 vorticity at 850 hPa. The column relative humidity (CRH) is the ratio between the column integrated  
121 water vapor and the saturated water vapor, and the saturation deficit (SD) is their difference. The  
122 saturated water vapor is calculated following Bretherton et al. (2004). We were not able to calculate  
123 CRH, SD, and indices that used these variables for a few models that did not provide column water  
124 vapor as one of model outputs in the CMIP6 database.

125 We consider three genesis index formulations. The first is the Emanuel’s genesis potential  
126 index(GPI Emanuel and Nolan 2004; Camargo et al. 2007), a function of absolute vorticity at 850  
127 hPa, vertical wind shear, potential intensity, and relative humidity at 600 hPa. Emanuel (2010)  
128 introduced a new version of GPI in which the humidity variable is a non-dimensional parameter  $\chi$ ,  
129 a measure of the entropy deficit of the middle troposphere. To differentiate from the original GPI,  
130 we refer to this new version here as “GPI-Xi”.

131 The tropical cyclone genesis index (TCGI) comes from a Poisson regression of TC numbers  
132 with four environmental fields, as described in Tippett et al. (2011) and modified in Camargo  
133 et al. (2014). Similarly to Lee et al. (2018) and Lee et al. (2020a), we consider two versions  
134 of the TCGI. The first uses as humidity variable CRH (TCGI-CRH), and the second uses SD as  
135 the humidity variable (TCGI-SD). As initially discussed in Camargo et al. (2014) for a single  
136 climate model (HiRAM) and further explored in Lee et al. (2018, 2020a, 2022, 2023); Sobel  
137 et al. (2021) for reanalysis and CMIP5 models downscaling, these two TCGI versions have almost  
138 indistinguishable behavior in the historical climate, but differ in future projections. In addition  
139 to CRH or SD, TCGI includes PI, VSH and a “clipped” vorticity (maximum absolute value of  
140  $3.7 \times 10^{-5}$  1/s), as described in Tippett et al. (2011). The TCGI coefficients here are based on the  
141 European Centre for Medium-Range Weather Forecasts (ECMWF) 5th generation global reanalysis  
142 (ERA5; Hersbach et al. (2020)) reanalysis fields are given in the Supplemental Material and are  
143 an update of the version used in Lee et al. (2018, 2020a), which was based on the ECMWF ERA-  
144 Interim reanalysis (Dee et al. 2011). Dirkes et al. (2023) compared the characteristics of TCGI  
145 with Poisson fits based on different reanalyses, as well as GPI. They found that the differences



in the representation of large-scale environmental variables relevant for TC development do not explain the differences and spread in TC climatologies across reanalyses.

Another proxy used is the ventilation index (VI) developed by Tang and Emanuel, which is a function of VSH, PI and  $\chi$ , obtained based solely on theoretical concepts and is associated with both tropical cyclogenesis and TC intensification (Tang and Emanuel 2010, 2012).

Since most genesis indices include the environmental variables listed above, environmental biases will be translated into biases in these genesis indices as well.

### 3. Data, Models and Methods

#### *a. Data*

The environmental fields are taken from ERA5 reanalysis (Hersbach et al. 2020), regridded to a  $2^\circ \times 2^\circ$  grid for comparison with the CMIP6 model data.

The observed tropical cyclone data are from the International Best Track Archive for Climate Stewardship (IBTrACS), version 4 from USA centers, with data from the National Hurricane Center or the Joint Typhoon Warning Center for all basins (Kruk et al. 2010; Knapp et al. 2010; Schreck et al. 2014).

The monthly CMIP6 (Eyring et al. 2016) data output were used for the calculation of the environmental fields and the CMIP6 6-hourly data for tracking the models' TCs. The models included in our analysis are listed in Tables 1 and 2, with additional information on the ensembles for environmental fields and TC tracking provided on Supplementary Tables S1–S4.

#### *b. Models - Environmental Fields*

We focus here on 45 CMIP6 global climate models (Eyring et al. 2016). The CMIP6 historical simulations are forced by common datasets that are largely based on the best estimates of observations in the period 1850–2014. We consider three future scenarios, or socio-economic pathways for the period 2015–2100: SSP2-4.5, SSP3-7.0, and SSP5-8.5 (O'Neill et al. 2016). These correspond to middle of the road, regional rivalry and high emission scenarios, respectively.

The 45 models were chosen based on the availability of their environmental fields and scenarios necessary for our analysis and are listed in Tables 1 and 2, together with the number of ensemble members considered. Not all 45 models have all variables for all scenarios analyzed. Therefore, we

Number	Model	Resolution	Historical	SSP2-4.5	SSP3-7.0	SSP5-8.5	References
1	ACCESS-CM2★	$1.9^\circ \times 1.3^\circ$	3	3	3	5	Bi et al. (2020)
2	<u>ACCESS-ESM1-5</u> ■	$1.9^\circ \times 1.2^\circ$	20	11	10	40	Ziehn et al. (2020)
3	AWI-CM-1-1-MR	$1.9^\circ \times 1.9^\circ$	5	1	5	1	Semmler et al. (2020)
4	BCC-CSM2-MR★	$1.1^\circ \times 1.1^\circ$	3	1	1	1	Wu et al. (2019)
5	CAMS-CSM1-0	$1.1^\circ \times 1.1^\circ$	3	2	2	2	Rong et al. (2018, 2021)
6	CanESM5 <sup>△</sup>	$2.8^\circ \times 2.8^\circ$	65	50	50	50	Swart et al. (2019)
7	CanESM5-CanOE	$2.8^\circ \times 2.8^\circ$	3	3	3	3	Swart et al. (2019)
8	CAS-ESM2-0	$1.4^\circ \times 1.4^\circ$	4	2	1	2	Zhang et al. (2020)
9	<u>CESM2</u>	$1.3^\circ \times 0.9^\circ$	11	6	6	5	Danabasoglu et al. (2020)
10	CESM2-WACCM	$1.3^\circ \times 0.9^\circ$	3	5	3	5	Danabasoglu et al. (2020)
11	CIESM	$0.9^\circ \times 0.9^\circ$	3	1	—	1	Lin et al. (2020)
12	CMCC-CM2-SR5★	$1.25^\circ \times 0.9^\circ$	1	1	1	1	Lovato et al. (2022)
13	CMCC-ESM2★	$1.25^\circ \times 0.9^\circ$	1	1	1	1	Lovato et al. (2022)
14	<u>CNRM-CM6-1</u>	$1.4^\circ \times 1.4^\circ$	28	10	6	6	Voltaire et al. (2019)
15	CNRM-CM6-1-HR★	$0.5^\circ \times 0.5^\circ$	1	1	1	1	Voltaire et al. (2019)
16	CNRM-ESM2-1 <sup>△</sup>	$1.4^\circ \times 0.4^\circ$	9	10	5	5	Séférian et al. (2019)
17	E3SM-1-1	$1.0^\circ \times 1.0^\circ$	1	—	—	1	Golaz et al. (2019)
18	<u>EC-Earth3</u> ★	$0.5^\circ \times 0.5^\circ$	18	22	7	8	Döscher et al. (2022)
19	EC-Earth3-CC	$0.5^\circ \times 0.5^\circ$	1	1	—	1	Döscher et al. (2022)
20	EC-Earth3-Veg■	$0.5^\circ \times 0.5^\circ$	9	8	6	8	Döscher et al. (2022)
21	EC-Earth3-Veg-LR■	$0.7^\circ \times 0.7^\circ$	3	3	3	3	Döscher et al. (2022)
22	FGOALS-f3-L	$1.3^\circ \times 1.0^\circ$	3	1	1	1	He et al. (2019)
23	FGOALS-g3	$2.0^\circ \times 2.3^\circ$	6	4	5	4	Li et al. (2020)

TABLE 1. List of CMIP6 models (part 1) used in this manuscript, their nominal approximate grid resolutions in degrees (longitude  $\times$  latitude) their assigned number in this manuscript and the number of ensembles used in each case. The specific ensembles and model centers are given in the Supplementary material. Models underlined have been selected for downscaling. Models with a ★ have had TCs tracked for the historical and SSP5-8.5 simulations, though not necessarily for all ensembles available for the environmental fields. Similarly, models marked with a ■ ( $\Delta$ ) are tracked only for the historical (SSP5-8.5) simulations. The models underlined have been selected for downscaling.

included models with all environmental fields available for the historical and SSP5-8.5 simulations, and this leads to the 45 models chosen. The exceptions are the variables CRH and SD, which could not be calculated for the FGOALS-f3-L or FIO-ESM2-0 models, as the column water vapor from these models was not available. Only 40 models and 36 models have the necessary data available

Number	Model	Resolution	Historical	SSP2-4.5	SSP3-7.0	SSP5-8.5	References
24	FIO-ESM-2-0	$1.3^{\circ} \times 0.9^{\circ}$	3	3	—	3	Bao et al. (2020)
25	GFDL-CM4■	$1.3^{\circ} \times 1.0^{\circ}$	1	1	—	1	Held et al. (2019)
26	<u>GFDL-ESM4</u>	$1.3^{\circ} \times 1.0^{\circ}$	3	1	1	1	Dunne et al. (2020)
27	<u>GISS-E2-1-G</u> ★	$2.5^{\circ} \times 2.0^{\circ}$	47	20	18	11	Kelley et al. (2020); Miller et al. (2021)
28	GISS-E2-1-H	$2.5^{\circ} \times 2.0^{\circ}$	25	—	—	5	Kelley et al. (2020); Miller et al. (2021)
29	<u>HadGEM3-GC31-LL</u> ■	$1.9^{\circ} \times 1.25^{\circ}$	5	4	—	4	Kuhlbrodt et al. (2018); Andrews et al. (2019)
30	HadGEM3-GC31-MM★	$0.8^{\circ} \times 0.6^{\circ}$	2	—	—	4	Kuhlbrodt et al. (2018); Andrews et al. (2019)
31	INM-CM4-8	$2.0^{\circ} \times 1.5^{\circ}$	1	1	1	1	Volodin et al. (2010)
32	INM-CM5-0	$2.0^{\circ} \times 1.5^{\circ}$	10	1	5	1	Volodin et al. (2017)
33	<u>IPSL-CM6A-LR</u> ★	$2.5^{\circ} \times 1.3^{\circ}$	30	8	11	6	Boucher et al. (2020)
34	KACE-1-0-G	$1.9^{\circ} \times 1.25^{\circ}$	3	3	3	3	Lee et al. (2020b)
35	KIOST-ESM2-0★	$2.0^{\circ} \times 2.0^{\circ}$	1	—	—	1	Pak et al. (2021)
36	<u>MIROC6</u> ★	$1.4^{\circ} \times 1.4^{\circ}$	50	3	3	50	Tatebe et al. (2019)
37	MIROC-ES2L★	$2.8^{\circ} \times 2.8^{\circ}$	30	30	10	10	Hajima et al. (2020)
38	<u>MPI-ESM1-2-HR</u> ★	$0.9^{\circ} \times 0.9^{\circ}$	10	2	10	1	Müller et al. (2018); Gutjahr et al. (2019)
39	MPI-ESM1-2-LR★	$1.9^{\circ} \times 1.9^{\circ}$	10	10	10	10	Mauritsen et al. (2019)
40	<u>MRI-ESM2-0</u> ★	$1.1^{\circ} \times 1.1^{\circ}$	6	2	5	6	Yukimoto et al. (2019)
41	NESM3★	$1.9^{\circ} \times 1.9^{\circ}$	5	2	—	2	Cao et al. (2018)
42	NorESM2-LM★	$2.0^{\circ} \times 2.0^{\circ}$	3	3	3	1	Seland et al. (2020)
43	NorESM2-MM★	$1.0^{\circ} \times 1.0^{\circ}$	2	2	1	1	Seland et al. (2020)
44	TaiESM1★	$1.25^{\circ} \times 0.9^{\circ}$	2	1	1	1	Wang et al. (2021)
45	<u>UKESM1-0-LL</u> ★	$1.9^{\circ} \times 1.3^{\circ}$	18	5	10	5	Sellar et al. (2019)

TABLE 2. List of CMIP6 models (part 2) used in this manuscript, their nominal approximate grid resolutions in degrees (longitude  $\times$  latitude), assigned number in this manuscript and the number of ensembles used in each case. The specific ensembles, and model centers are given in the Supplementary material. Models with a ★ have had TCs tracked for the historical and SSP5-8.5 simulations, though not necessarily for all ensembles available for the environmental fields. Similarly, models marked with a ■ (△) are tracked only for the historical (SSP5-8.5) simulations. The models underlined have been selected for downscaling.

for the SSP2-4.5 and SSP3-7.0 scenarios. The environmental fields for all models were regridded to a common grid of  $2^{\circ} \times 2^{\circ}$  in longitude and latitude.

For each model and scenario, all ensemble members available are included in the model ensemble mean and spread. The specific ensembles used in the environmental analysis are given in the supplementary material, Tables S1 and S2. We have also analyzed the environmental fields from

196 the historical simulations of additional seven models (Table S3), and these will be used in a few  
197 specific figures that include model TCs statistics, as discussed below.

### 198 *c. Models - Tropical Cyclones*

199 We also discuss the characteristics of models' TCs, which were tracked using TRACK (Hodges  
200 1994; Hodges et al. 2017) for the historical and SSP5-8.5 simulations of a subset of models that  
201 have the necessary 6-hourly output variables. The models with TCs tracked are marked in Tables  
202 1 and 2. The TCs were tracked in the historical simulations for the period 1950–2014 and in  
203 the SSP5-8.5 for the full period (2015–2100). To increase the sample size of model TCs in the  
204 historical simulations, we calculated and included the number of TCs (NTC), accumulated cyclone  
205 energy (ACE), and environmental fields from a few models that had the necessary fields to track  
206 TCs in the historical but not the SSP5-8.5 simulations (see Table S3). The full list of models and  
207 number of ensembles in which TCs were tracked are given in Table S4.

### 208 *d. Methods*

209 All maps below show the August to October (ASO) seasonal mean in the northern hemisphere  
210 and January to March (JFM) seasonal mean in the southern hemisphere. These choices are not  
211 optimal for all basins, as the peak season varies from basin to basin, but we judged them optimal  
212 for the global collection of all basins. The choice is particularly poor in the case of the North Indian  
213 Ocean, however, where TCs occur almost exclusively in the pre- and post- monsoon seasons.

214 In cases when the environmental variables are integrated spatially, the tropical region over oceans  
215 between 0–40°N is considered for the northern hemisphere and similarly 40°S–0 in the southern  
216 hemisphere.

217 When defining model biases the reference is the climatology of ERA5 reanalysis fields in the  
218 period 1981 - 2010. For instance, the climatology of the ERA5 reanalysis is subtracted from the  
219 ensemble mean climatology of each model and that difference is defined as the climatological bias.

220 One standard diagnostic to analyze model biases is the Taylor diagram (Taylor 2001), which  
221 concisely describes how well model spatial patterns compare with observations and other models  
222 using correlation, root-mean-square difference, and the ratio of their variances. We use Taylor dia-

grams to compare the climatological environmental fields of CMIP6 historical model simulations with each other and with ERA5 climatological fields.

Two standard diagnostics are used to characterize the TCs tracked in the climate models: the number of TCs (NTC), and the accumulated cyclone energy (ACE) (Bell et al. 2000). The latter is defined as the sum of the squares of the TCs' maximum sustained wind speeds every six hours, for snapshots in which they exceed 34kt. Both these quantities have been regularly used to characterize TC climatologies in observations and models (e.g., Camargo and Sobel 2005; Camargo et al. 2005; Camargo 2013; Shaevitz et al. 2014). We examine the relationships between the environmental fields and these two TC quantities (NTC and ACE) as was done in Camargo et al. (2020) for models and Dirkes et al. (2023) for reanalyses.

When exploring the differences in the environmental fields between the 20C and 21C, we focus on the last 30 years of each century i.e., the difference between the multi-model means in 1971–2000 and 2071–2100. We consider the three future scenarios SSP2-4.5, SSP3-7.0, and SSP5-8.5 separately. The multi-model mean in the historical simulation subtracted from each future scenario is calculated using the same models in the historical and each of the future scenarios.

We also examine how the differences between the 20C and 21C depend on the models chosen. In that case, we follow Hausfather et al. (2022), who examined the properties of the CMIP6 models and separated them in two groups, the models that are “too hot” and the ones that are “likely”. The distinction between these two groups is based on the transient climate response (TCR) metric (Zelinka et al. 2020; Nijssen et al. 2020). A model with a TCR in the range of 1.4–2.2°C is considered likely, while one with a larger TCR is labeled “too hot”. TCR is defined as the amount of global warming in the year in which atmospheric CO<sub>2</sub> concentrations have doubled after having increased steadily by 1% each year relative to the 1850–1899 baseline, based on global mean surface temperature for each model.

An alternative way to look at how the environmental fields change in the future is based on global warming level (GWL), which combines multiple future scenarios and takes into account that models have different climate sensitivities. This approach is widely used in the Intergovernmental Panel on Climate Change (IPCC) Sixth Assessment Report (Arias et al. 2021). The calculations here follow the procedure developed by Seneviratne and Hauser (2020) for each model and future scenario:

1. The global annual ensemble mean temperature is calculated (latitude weighted).
2. The historical and future scenario temperature data are concatenated.
3. The mean of the temperature in the period 1850–1900 is subtracted from the concatenated data.
4. The 20-year centered running mean temperature is calculated.
5. Find the first year in which the running mean exceeds the desired GWL, or “central year”.
6. The period for each GWL is defined as starting 10 years prior to the “central year” and ending 9 years after that year.

This calculation is performed for three GWLs: 1.5°C, 3.0°C and 4.0°C. Once the years for each GWL are defined, the multi-model mean change by GWL is obtained by subtracting the 19C climatology (1850–1900). Note that one model can contribute with more than one ensemble mean field data for each GWL (Seneviratne and Hauser 2020).

Time-series of the global mean temperature are also constructed for each model ensemble mean, for both historical and future scenarios, using the procedure described above. For each model, a polynomial fit is then obtained for the temperature time-series, for the concatenated historical and future scenario. The same procedure is used for the mean PI in each hemisphere (tropics) to explore the relationship between global warming and PI.

## **4. Results - Historical Simulations**

### *a. Model Biases - Climatology*

In this section we analyze the biases in key environmental variables typically associated with tropical cyclone activity. We first examine the spatial biases in the variables’ mean climatologies, then in their interannual variability.

Figure 1 shows the differences between the CMIP6 models’ PI climatologies and that of ERA5 reanalysis for the period 1981–2010. Many models show a negative bias in PI in the deep tropics and positive bias in more subtropical regions. There is a clear consistency in the model biases across model families. This consistency is particularly striking for the CNRM models (CNRM-CM6-1, CNRM-CM6-1-HR, and CNRM-ESM2-1) which have predominantly larger values of PI than does

ERA5 in both hemispheres, particularly the southern. The EC-Earth3 models (EC-Earth3, EC-Earth3-CC, EC-Earth3-Veg, EC-Earth3-Veg-L4) also have similar patterns in their biases, with a bimodal pattern in the North Pacific (positive close to the Equator, negative around 20°N), a negative bias in most of the North Atlantic, and a strong positive bias in the southern hemisphere. For pairs of models with different model resolutions, namely CNRM-CM6-1 and CNRM-CM6-1-HR; HadGEM3-GC31-LL and HadGEM3-GC31-MM, MPI-ESM1-2-LR and MPI-ESM1-2-HR; NorESM2-LM and NorESM2-MM, the patterns of the biases are extremely similar between the two model resolutions, indicating that higher model resolution without other changes in the model configuration does not necessarily lead to improvement.

The biases in the models' VSH climatologies as compared with ERA5 are shown in Fig. 2. Many models have too high values of VSH in the northern hemisphere, centered around 20°N, and too low values closer to the tropics, particularly over the Pacific Ocean. There is less consistency in the biases in the southern hemisphere. Again, we notice very similar patterns in models from the same family, e.g., CanESM5 and CanESM5-CanOE or INM-CM4-8 and INM-CM5-0. In some models the magnitudes of the VSH biases are quite large, reaching values of the order of 20 m/s in some regions that are important for TC intensification (e.g., CAS-ESM2-0, MIROC-ES2L, NESM3). While most models have low shear close to the Equatorial region, that is not the case for the CESM2 and CESM2-WACCM models.

Biases in the CRH are shown in Fig. 3. CRH values are typically too low in most models. Some models have high values of CRH in the southern hemisphere, in particular in the Southeast Pacific, a region which typically has very little TC activity. High values of CRH are also present in many models in the South Atlantic and in the South Indian Ocean close to Australia.

Fig. 4 shows the Taylor diagrams for PI (top left), VSH (top right), CRH (bottom left) and absolute vorticity at 850 hPa (bottom right) in the northern tropics (Equator to 40°N) in ASO. For all variables, the multi-model mean (MMM) has better skill than most individual models. The correlation coefficient between each model and ERA5 is shown in the blue azimuthal angle. The smallest range in correlations occurs for the vorticity, with values close to 0.95 for all models, while in the case of PI, they range from 0.9 to 0.55. The centered root-mean-square error, shown in the green circles as the distance from ERA5, also has the smallest spread for the vorticity, and the largest for PI, probably due to the fact that the PI calculation includes multiple environmental

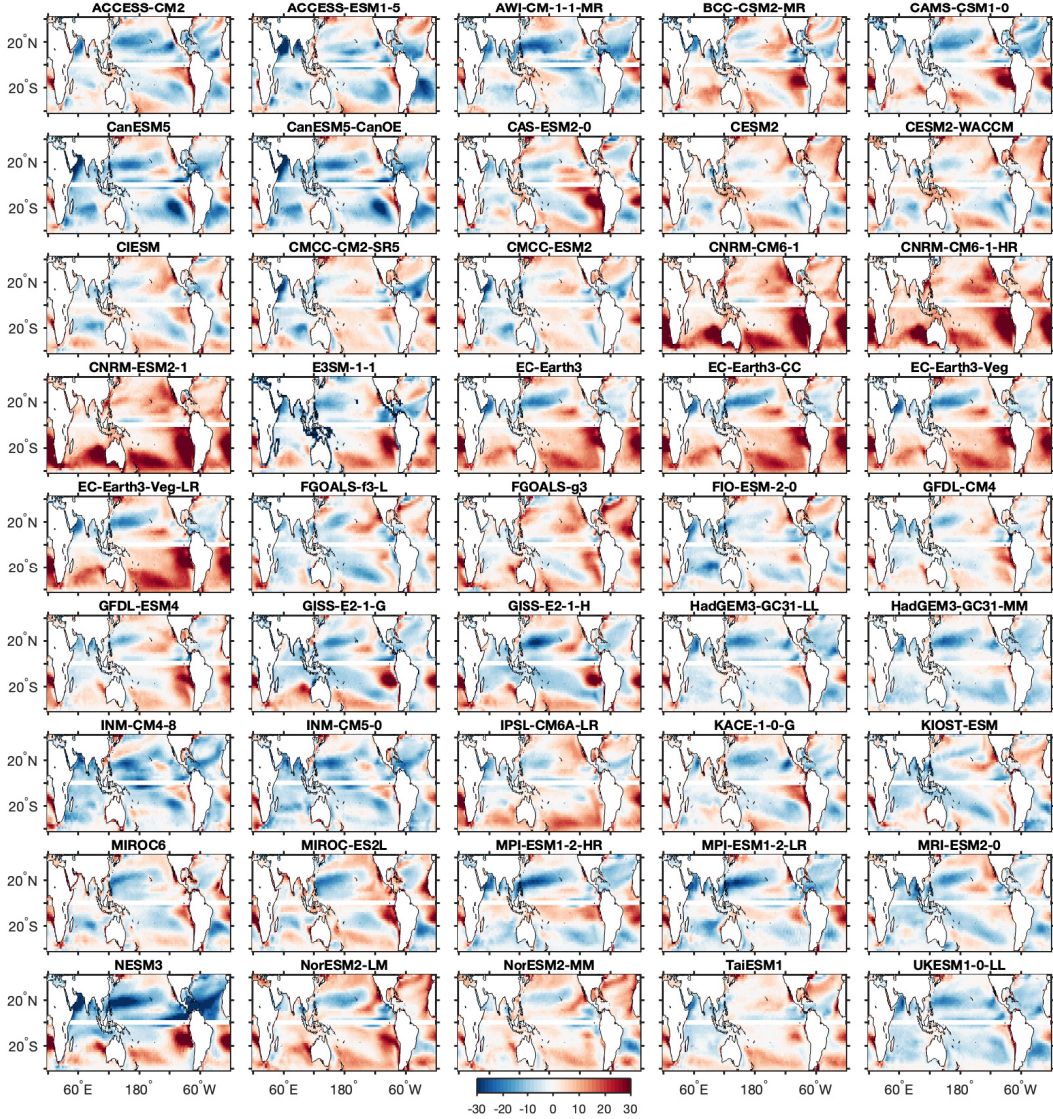


FIG. 1. Difference between the PI climatology (m/s) between CMIP6 models (ensemble mean) and ERA5 reanalysis for the period 1981–2010 for the August–October (ASO) season in the northern hemisphere and the January–March (JFM) season in the southern hemisphere.

variables. The standard deviation of the simulated pattern is given in the black circles. PI and VSH have a large spread across models, and vorticity has the smallest. Some model outliers have been identified by their numbers for PI and VSH. Models that are outliers in one variable are not



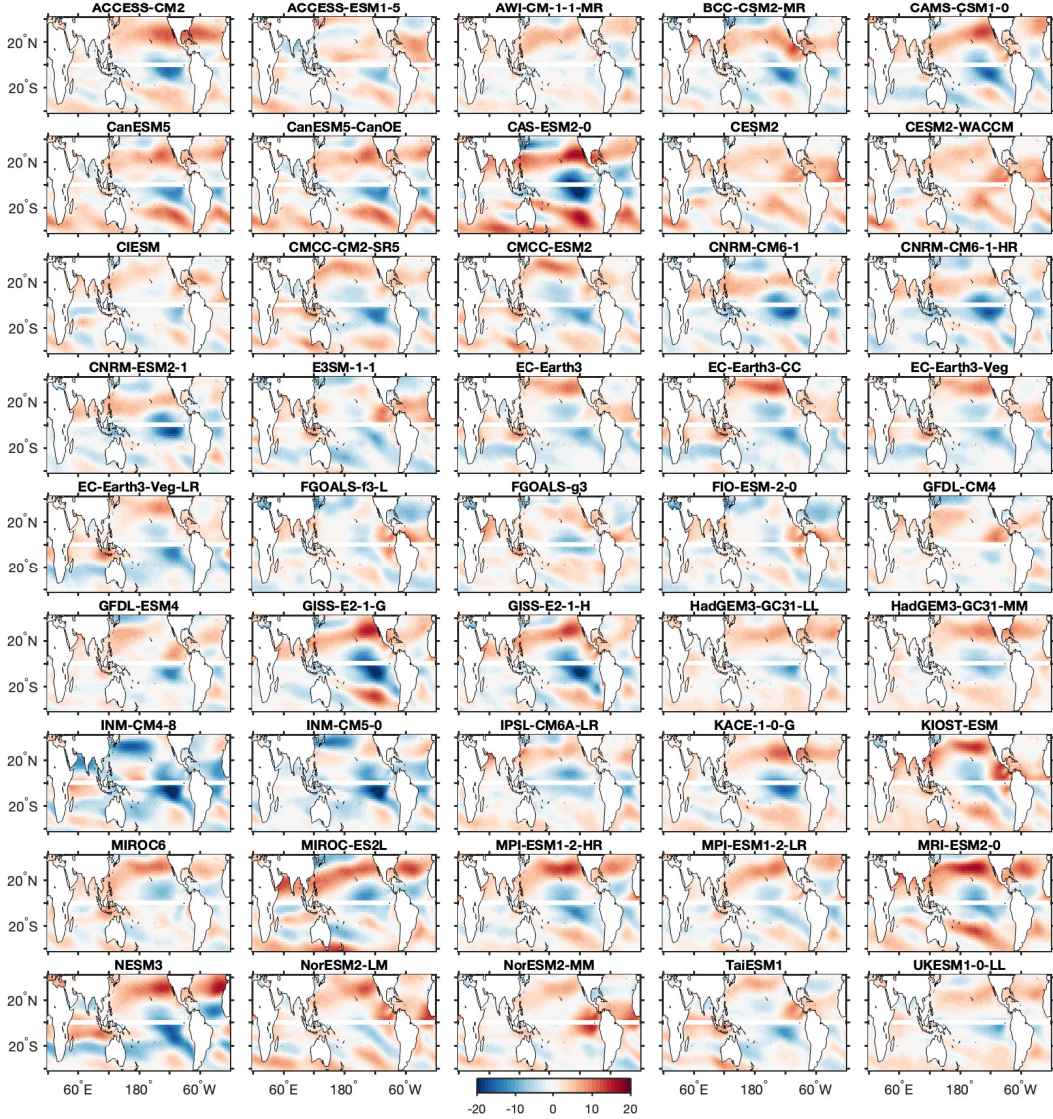


FIG. 2. Difference between the VSH climatology (m/s) between CMIP6 models (ensemble mean) and ERA5 reanalysis for the period 1981–2010, for ASO (JFM) in the northern (southern) hemisphere.

necessarily outliers in other variables, such that it would be inappropriate to identify the “best” or “worst” models overall using an individual Taylor diagram.

Interestingly, models tend to have higher correlation values and smaller root-mean square errors in the southern hemisphere tropics in JFM (not shown) when compared to the northern hemisphere tropics in ASO.

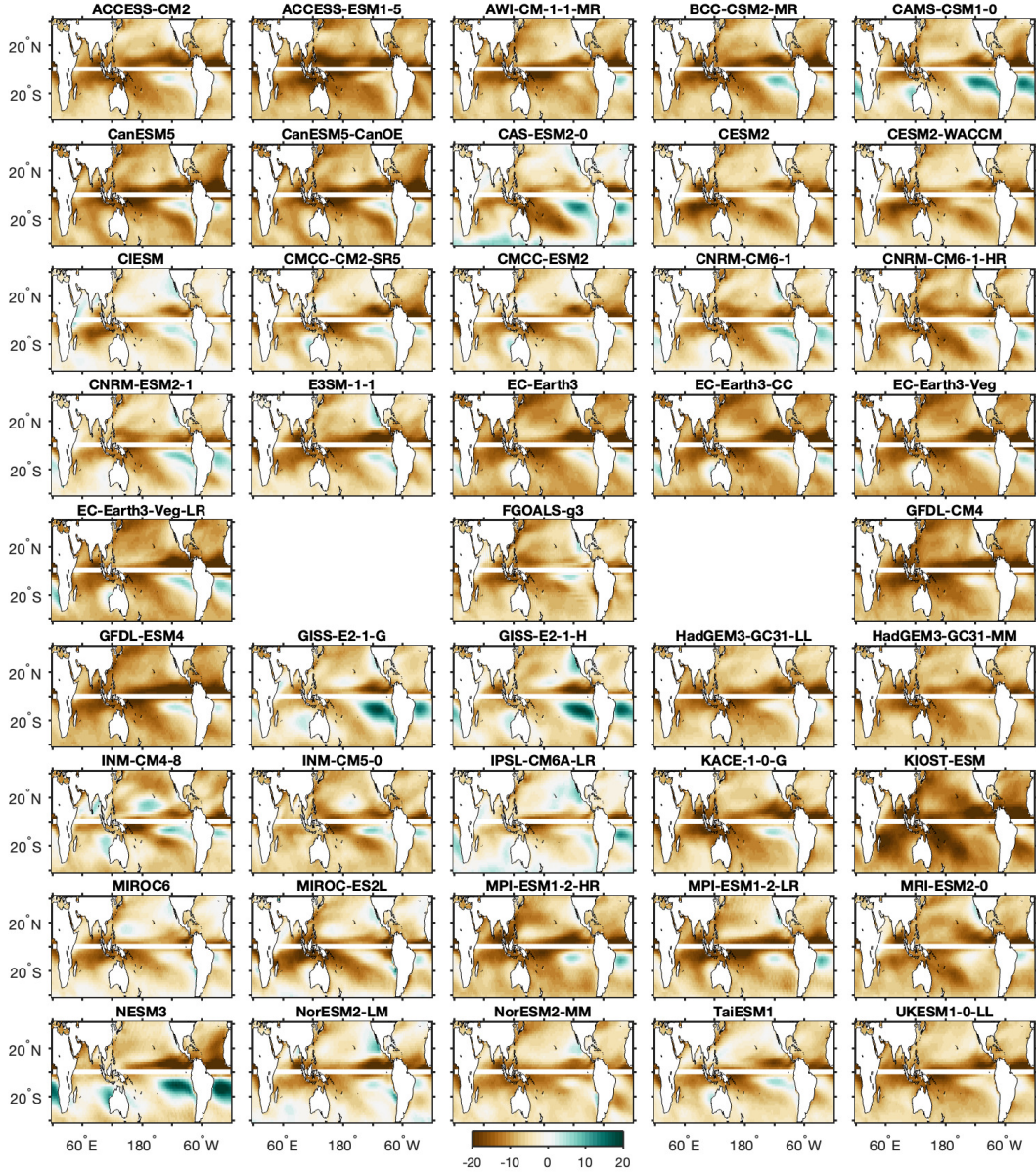


FIG. 3. Difference between the column relative humidity (CRH) climatology (in %) between CMIP6 models (ensemble mean) and ERA5 reanalysis for the period 1981–2010, for ASO (JFM) in the northern (southern) hemisphere. Models with missing variables to calculate CRH, SD and TCGI: FGOALS-f3-L and FIO-ESM-2-0.

### *b. Model Biases - Variability*

Besides mean values, we examine how the models simulate the interannual variability of the environmental variables. We do this by examining the standard deviation (STD) of the seasonal

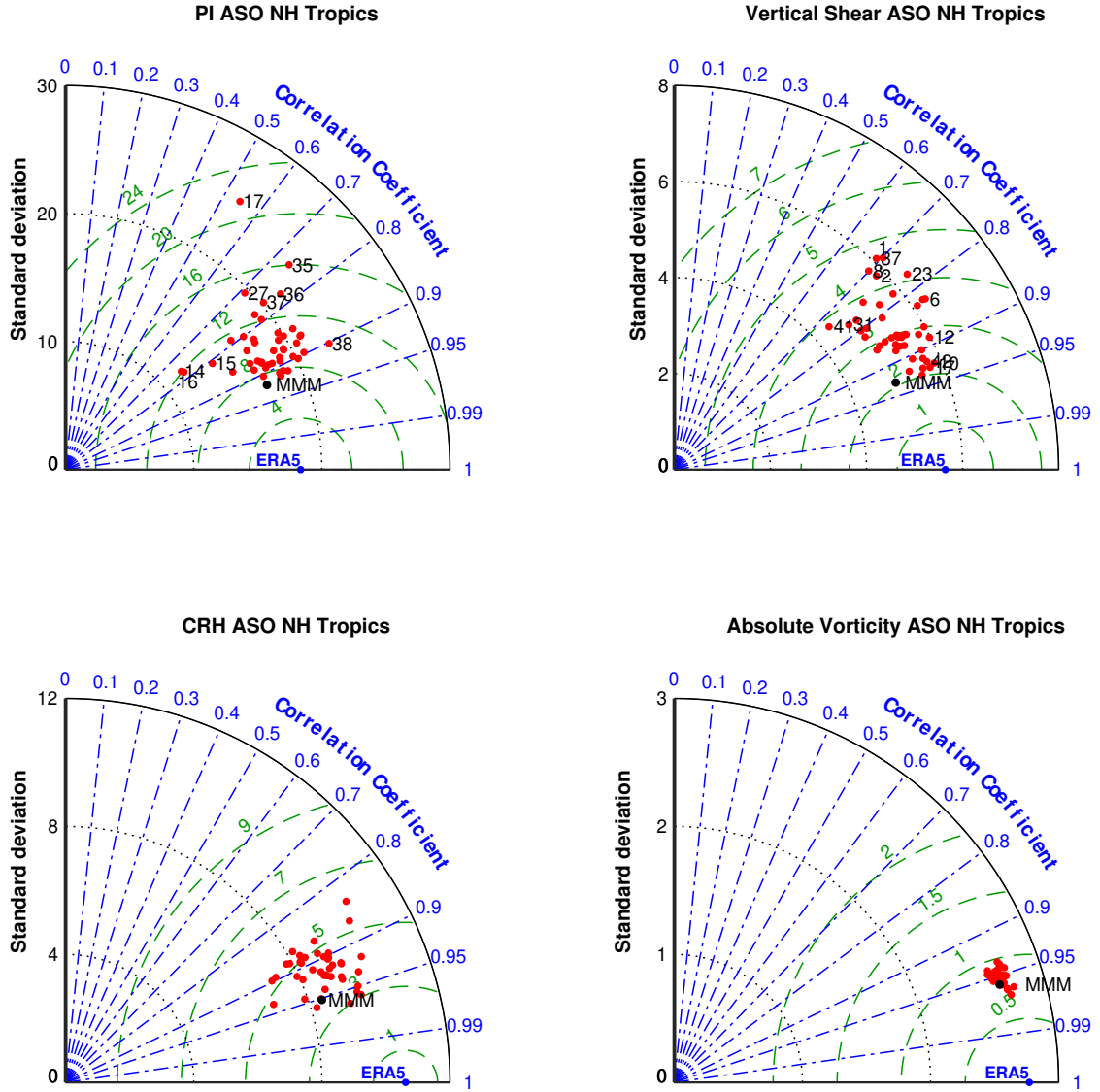


FIG. 4. Taylor diagram for the climatologies of PI (m/s), VSH (m/s), CRH (%) and absolute vorticity at 850 hPa (1/s) in the northern hemisphere tropics during ASO.

mean variables in each hemisphere for each model and compare that with the same quantity in the ERA5 reanalysis.

In Fig. 5 we show histograms of the standard deviation of the spatial mean of PI, VSH, CRH, and absolute vorticity in the northern hemisphere tropics during ASO for all models. For comparison, the ERA5 standard deviation for each variable is shown by the vertical red line. For all four variables the interannual variability in most models is much lower than is the case of ERA5. Only

337 a few models have higher STD values than ERA5. In the case of PI, only EC-Earth3, for VSH:  
 338 CAS-ESM2-0, EC-Earth3, GISS-E2-1-G, KIOST-ESM, for CRH: EC-Earth3 and GISS-E2-1-G  
 339 and for vorticity: only CAS-ESM2-0, i.e. EC-Earth3 has high STD values for the 3 of the variables  
 340 and GISS-E2-1-G and CAS-ESM2-0 for two of them. We also identify the models with lowest  
 341 STD values in each case. The only models that have low STD values for three variables (VSH,  
 342 CRH and vorticity) were INM-CM4-8 and INM-CM5-0, while CNRM-CM6-1-HR has low STD  
 343 values for both CRH and vorticity. Similar behavior is noted in the southern hemisphere for the  
 344 JFM season.

### 348 *c. TCGI Bias Correction*

349 One the consequences of the biases in the individual variables is that they lead to biases in proxies  
 350 for TC activity that are functions of these variables. As an example, we show the impact of biases  
 351 in the individual variables shown above on TCGI.

352 We calculate the spatially integrated annual mean TCGI in the historical simulations for a subset  
 353 of 13 CMIP6 models and compare each with both the same quantity computed from ERA5 and the  
 354 observed number of TCs (see Fig. 6 (a) and (c)). While there is a large spread in the magnitude  
 355 of this bias across the 13 CMIP6 models, all of them have low values of integrated TCGI. This is  
 356 true for both versions of the TCGI, TCGI-CRH-PI (Fig. 6 (a)) and TCGI-SD-PI (Fig. 6 (c)).



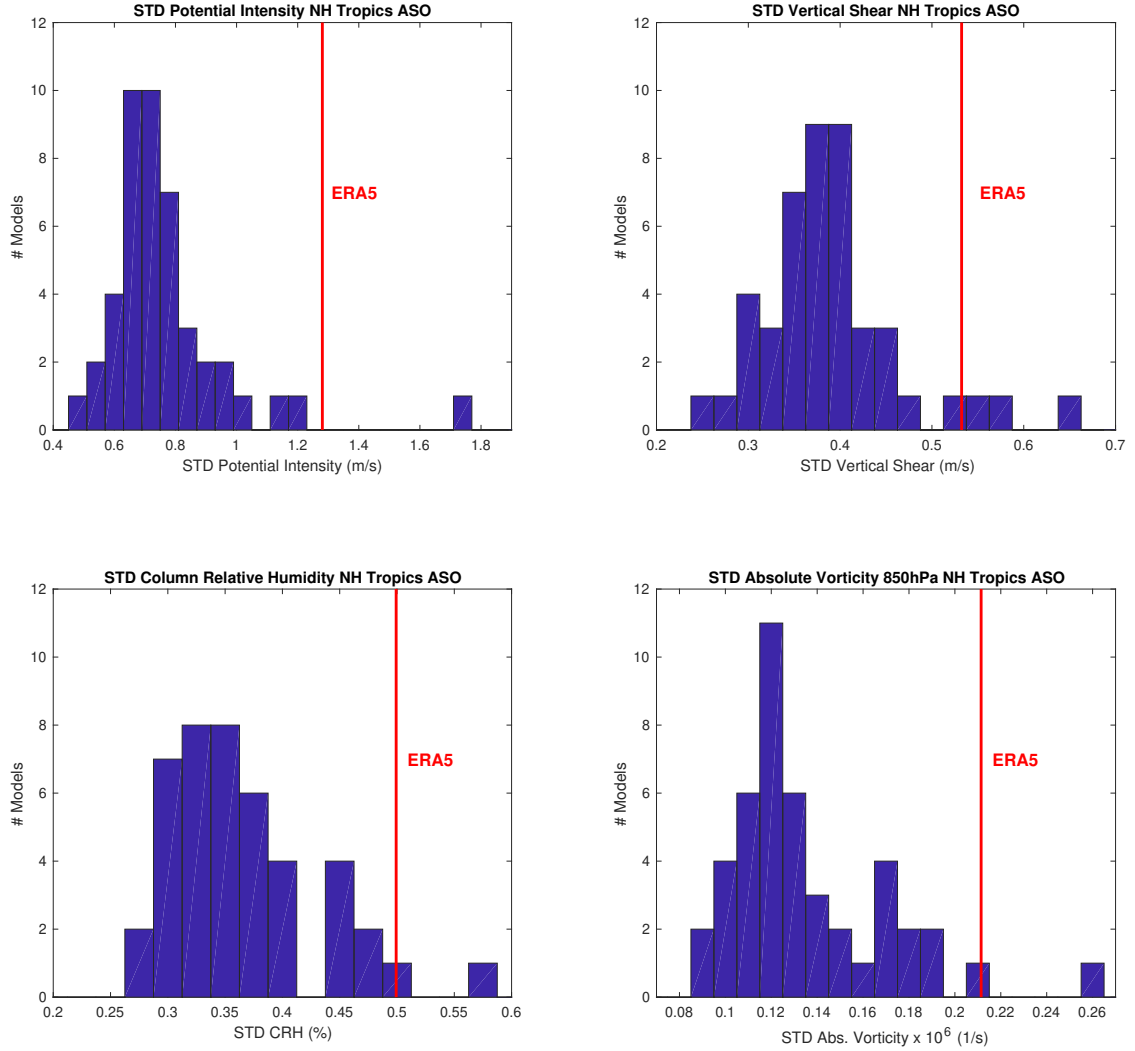
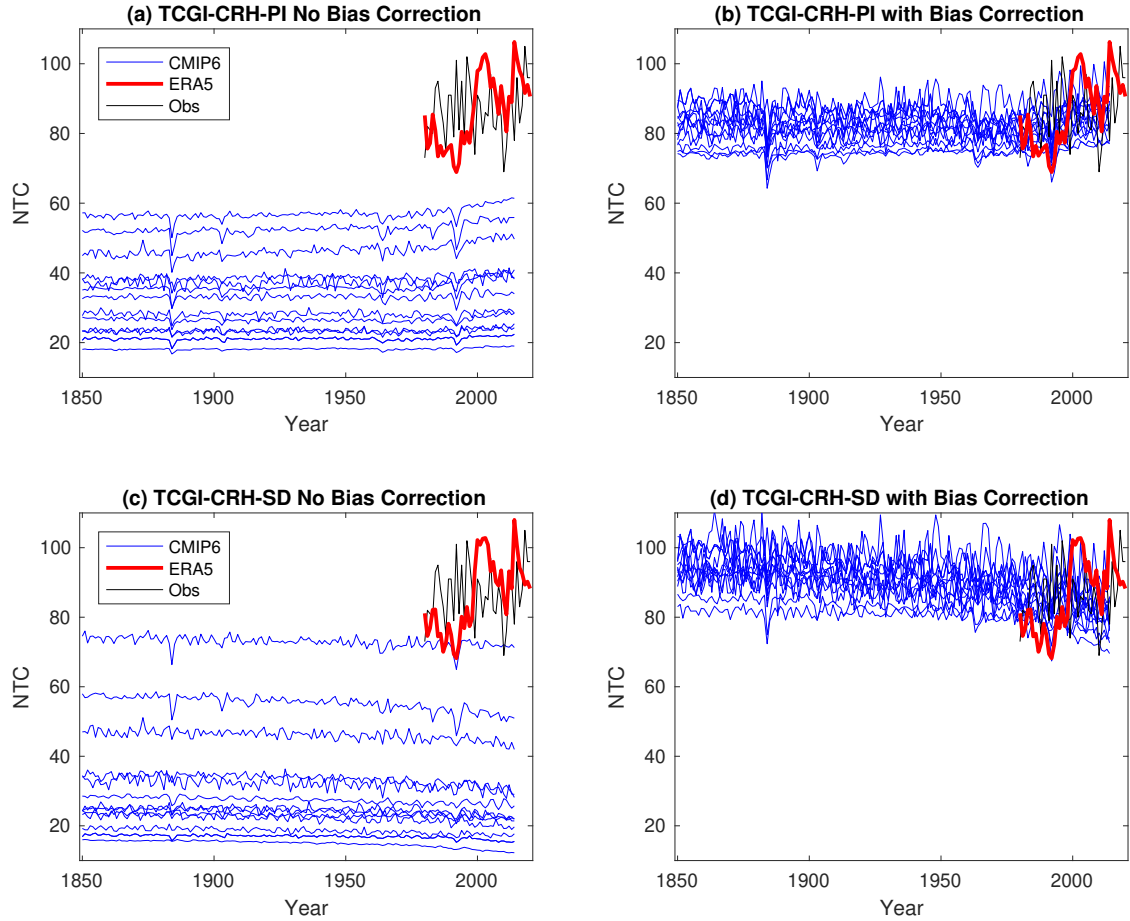


FIG. 5. Histograms of the standard deviation of interannual variability PI (m/s), VSH (m/s), CRH (%) and absolute vorticity at 850 hPa (1/s) climatology in the northern hemisphere tropics during ASO for CMIP6 models and ERA5 in the period 1950-2014. All ensembles available were considered for the models.



357 FIG. 6. Integrated TCGI time-series (in TC counts) for a subset of CMIP6 models (ensemble mean of each  
 358 model) using CRH and SD, without bias correction ((a) and (c))) and with bias correction ((b) and (d)). Also  
 359 shown are the values of the corresponding integrated TCGI for ERA5 and the observed number of TCs. The 13  
 360 selected CMIP6 models are underlined in Tables 1 and 2.

361 The low values in model TCGI has consequences for simulated TCGI variability and change.  
 362 Since TCGI depends exponentially on environmental fields, additive biases in environmental  
 363 fields result in multiplicative biases in TCGI. To see this fact, suppose for simplicity that TCGI  
 364 depends on a single (unitless) environmental field  $X$  and that  $\text{TCGI}_{\text{obs}} = \exp(X_{\text{obs}})$ . Then for  
 365 a biased model environmental field  $X_{\text{model}} = X_{\text{obs}} + \text{bias}$ , the corresponding (biased)  $\text{TCGI}_{\text{model}}$   
 366 is  $\exp(\text{bias}) \exp(X_{\text{obs}}) = \exp(\text{bias}) \text{TCGI}_{\text{obs}}$ . Suppose the environmental field is changed by  $\Delta X$   
 367 so that  $X'_{\text{model}} = X_{\text{obs}} + \text{bias} + \Delta X$  where  $\Delta X$  might represent either year-to-year variability or  
 368 climate change. Since  $X'_{\text{model}} - X_{\text{model}} = \Delta X$ , the change in the environmental field is recovered by  
 369 simply subtracting, which cancels the additive bias. On the other hand,  $\text{TCGI}'_{\text{model}} - \text{TCGI}_{\text{model}} \approx$   
 370  $\Delta X \exp(\text{bias}) \text{TCGI}_{\text{obs}}$ , for small  $\Delta X$ , while  $\text{TCGI}'_{\text{obs}} - \text{TCGI}_{\text{obs}} \approx \Delta X \text{TCGI}_{\text{obs}}$ . These relations  
 371 show that (i) a change in  $X$  results in a percent change in TCGI, (ii) those percent changes will also  
 372 be biased low if  $\text{TCGI}_{\text{model}}$  is biased low, and (iii) bias correcting  $X_{\text{model}}$  and  $X'_{\text{model}}$  will give the  
 373 correct change in TCGI due to  $\Delta X$ .

374 We bias correct the four environmental fields that appear in the two versions of TCGI. The bias  
 375 correction consists of subtracting the 1981–2010 model climatology to form an anomaly  $\delta$ , and  
 376 then adding the 1981–2010 ERA5 climatology to this  $\delta$ . The CMIP6 monthly climatology is  
 377 calculated from the ensemble mean for each model.

378 The bias-corrected fields are then used to calculate the bias-corrected TCGIs. The integrated  
 379 bias-corrected TCGI time-series are shown in Figs. 6 (b) and (d). The mean integrated values  
 380 of the bias-corrected TCGI are much closer to the ERA5 and observed TC values, even though  
 381 there is still a spread across models in the possible range of values. Note as well the year to year  
 382 variability, which is clearly too small for all models, improves with the bias correction, even though  
 383 only the mean values of each field are used in the bias correction.

384 To determine if one of the four variables in the TCGI versions was primarily responsible for the  
 385 TCGI bias, we recalculate the TCGI, using one bias-corrected variable at a time and the remaining  
 386 variables without bias correction. For all 13 CMIP6 models examined, the CRH (or SD) variable  
 387 is primarily responsible for the TCGI biases. Bias correcting CRH (or SD) alone is sufficient to  
 388 obtain values of the integrated TCGI close to the ones from ERA5, which does not happen with  
 389 the other 3 variables. Therefore, the humidity variables (CRH and SD) are responsible for the very  
 390 low biases of TCGI in the CMIP6 models.

391 We use the bias-corrected version of the TCGI in the rest of this manuscript for all models and  
392 scenarios, using bias-corrected values for the four components of TCGI. However, we do not apply  
393 the bias correction to the other genesis indices or other environmental fields or proxies. In most  
394 climate model papers which did a similar analysis no bias-correction is applied (e.g., Camargo  
395 2013; Camargo et al. 2014; Wehner et al. 2015; Cavicchia et al. 2023). One exception is the recent  
396 manuscript of Wehner and Kossin (2024), which bias-corrected the PI based on ERA5.

#### 397 *d. TC activity*

398 Given the typical low resolution of the CMIP6 models (100 km), it is expected that the climato-  
399 logical features of their TC-like storms will have large biases — typically too few, weak and large  
400 storms — similarly to CMIP5 models (Camargo 2013; Camargo and Wing 2016). The biases in  
401 TC frequency can be noticed in the historical track density climatology (1981–2010) shown in  
402 Fig. 7. While the spatial distributions of the CMIP6 models’ track densities somewhat resemble  
403 those found in observations and ERA5, there are clear spatial biases in the models. In the North  
404 Pacific, most models do not have a clear separation between the eastern and western basins, but  
405 rather they are overactive in the Central North Pacific such that they show a continuous band of  
406 activity. Most models also do not reproduce the higher TC activity in the northern hemisphere  
407 compared with the southern hemisphere. The low TC activity in the North Atlantic is a common  
408 bias in climate models (Shaevitz et al. 2014; Roberts et al. 2020a) and there is some improvement  
409 in the higher resolution versions of the same models (Roberts et al. 2020a).



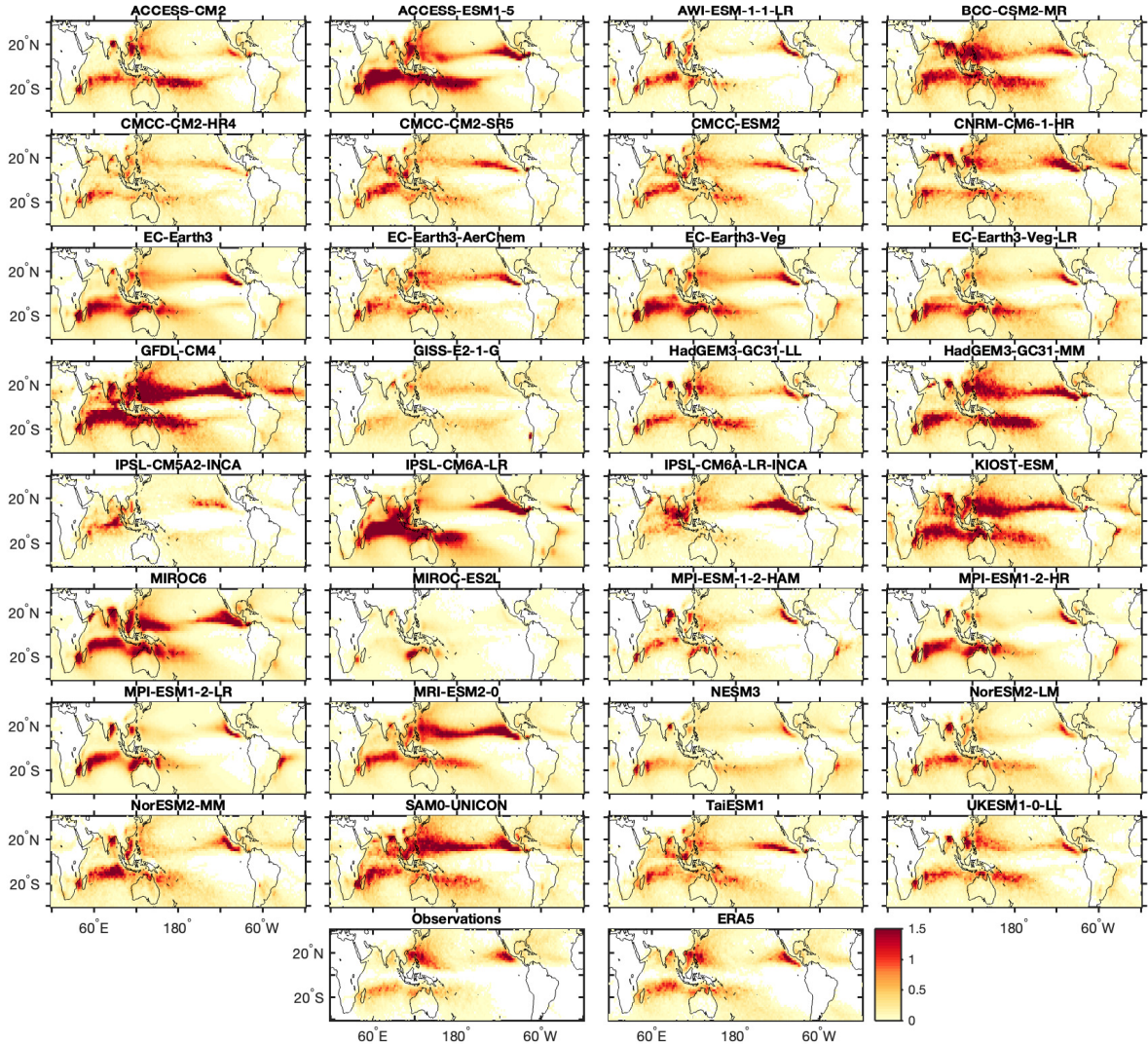


FIG. 7. Track density ensemble mean climatology for 1981–2010 for 32 CMIP6 models, observations and ERA5, shown in units of number of TCs per year.

Typically, as resolution increases, model performance in simulating TC characteristics improves (Shaevitz et al. 2014; Roberts et al. 2020a), though that is not necessarily always the case (Camargo et al. 2020; Moon et al. 2020). In Fig. 8 the relationship between the models' mean NTC and ACE and resolution is shown. While both the TC numbers and their intensities tend to increase as grid spacing becomes smaller, this is not always the case, with models with the same resolution having different values of NTC and ACE, reflecting the importance of other model characteristics, such as physical parametrizations (Vidale et al. 2021; Russotto et al. 2022). There is a stronger correlation between ACE and model resolution than between NTC and resolution, showing the impact of resolution in simulating TC intensity (Murakami et al. 2015; Davis 2018).

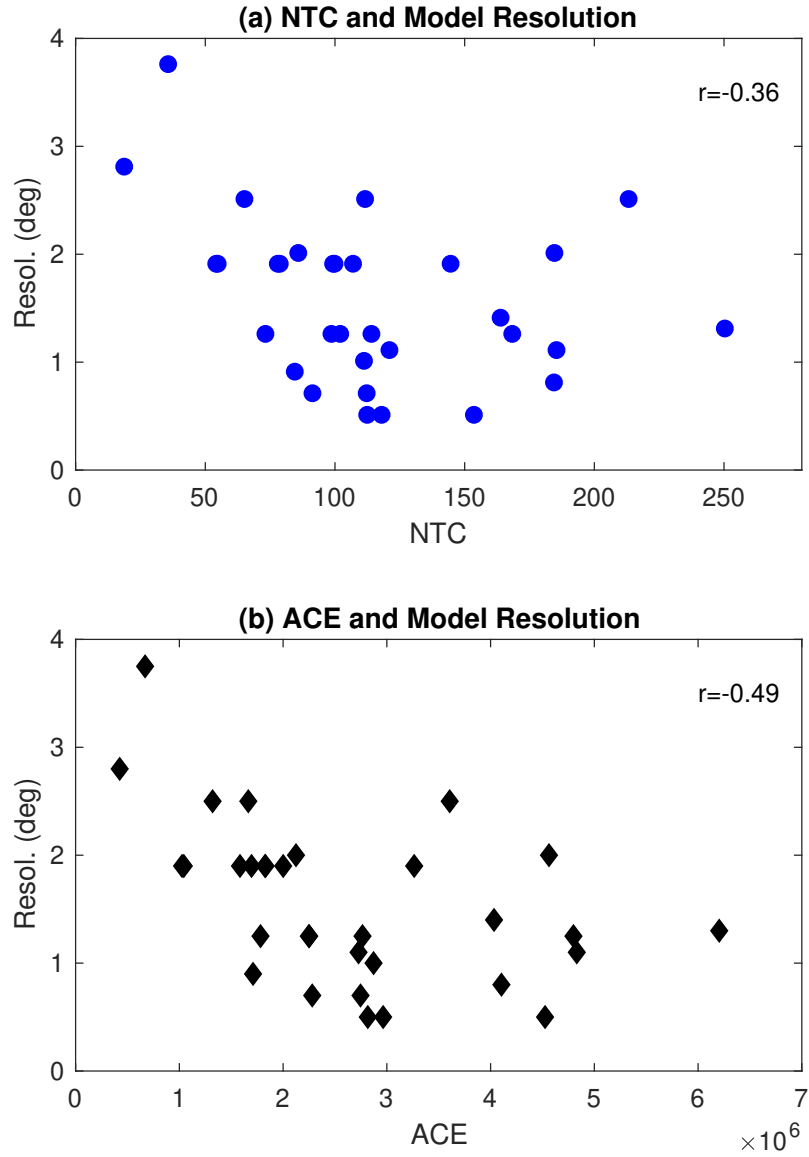


FIG. 8. Scatter plots of the historical climatological mean number of TCs (NTC) per year and climatological mean ACE ( $\text{m/s}^2$ ) per year as a function of model resolution, as listed in Tables 1 and 2, using the largest grid spacing. Values of the Spear correlations are given in the top left of each panel.

424 *e. Environmental fields and TC activity*

425 There is little evidence that climatological environmental conditions can explain differences in  
426 climatological TC characteristics across models and reanalyses (Camargo et al. 2020; Dirkes et al.  
427 2023). Here we examine whether there is a relation between climatological model fields and the  
428 mean TC climatology in the CMIP6 models, as this has not yet been done. Furthermore, both  
429 versions of TCGI are bias-corrected, which was not the case in our CMIP5 analysis, and we want  
430 to determine if this leads to different results. In contrast to Camargo et al. (2020) here we consider  
431 multiple genesis indices (two versions of TCGI, GPI, GPI-Xi) and the ventilation index in our  
432 analysis.

433 The relationship between NTC and four genesis indices and the ventilation index is shown in  
434 Fig. 9 (a)–(d). Similarly to Camargo et al. (2020) and Dirkes et al. (2023) there is no relationship  
435 between NTC and the indices. Importantly, this result hold for all the genesis indices considered.  
436 While for both TCGI versions, the models’ climatological fields have been bias corrected, that was  
437 not done for the other indices (GPI, GPI-Xi, VI), and our results are not sensitive to that. GPI and  
438 GPI-Xi were normalized, in order to be compatible with TCGI, but that normalization does not  
439 affect the correlations.

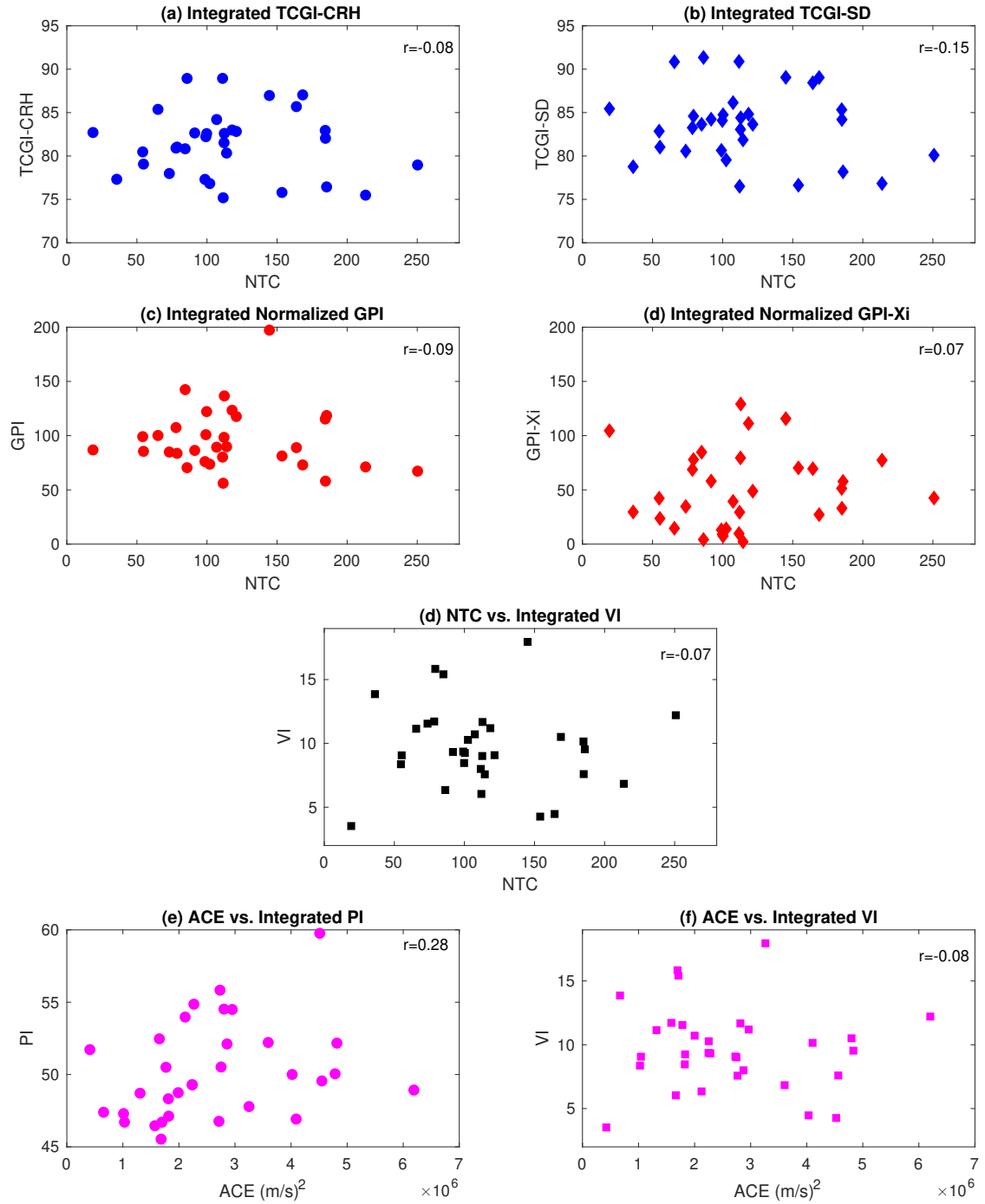


FIG. 9. Scatter plots of the historical climatological mean number of TCs (NTC) and integrated (a) TCGI-CRH, (b) TCGI-SD, normalized integrated (c) GPI, (d) GPI-Xi, and integrated (e) VI. Scatter plots of the historical climatological mean ACE and integrated (f) PI, and (g) VI. The values of the Spear correlations in each case are given in the top left of each panel.

444 The relationship between ACE and PI, as well as between ACE and the ventilation index, are  
445 shown in Figs. 9(e) and (f). The strongest relationship is between PI and ACE, discussed in Ting  
446 et al. (2015, 2019); Sobel et al. (2016), but this relationship does not explain the differences in  
447 models' TC intensities.

448 Differences in climatological environmental fields across CMIP6 models do not explain the  
449 differences in the models' TC climatology. Other model characteristics, such as physical pa-  
450 rameterizations and dynamical core, determine TC climatology in models (e.g. Reed et al. 2015;  
451 Russotto et al. 2022)

## 452 **5. Results - Future Projections**

### 453 *a. Spatial patterns - differences 21C & 20C*

454 We next examine how the environmental fields change between the end of the 21st (2071-2100)  
455 projections and the end of the 20th century (1971-2000) historical simulations. Figure 10 shows  
456 the differences in the multi-model means for the three future scenarios and five environmental  
457 fields. The top panels show the difference between the SSP2-4.5 scenario and historical, while the  
458 mid panels and bottom panels represent the SSP3-7.0 and SSP5-8.5 differences, respectively.

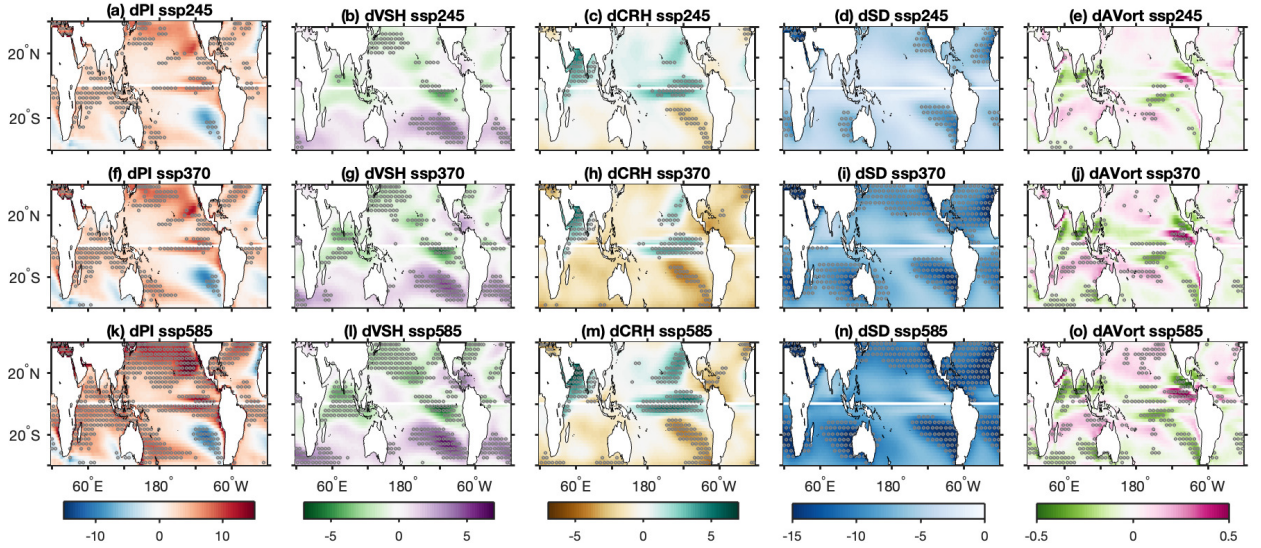


FIG. 10. Difference ("d") between the multi-model mean climatology in the end of the 21C (2071-2100) and the end of 20C (1971-2000) for the 3 future scenarios (SSP2-4.5, SSP3-7.0, SSP5-8.5) for the variables used in TCGI: PI (panels (a),(f), and (k) in m/s), VSH (panels (b), (g) and (l) in m/s), CRH (panels (c), (h) and (m), in %), SD (panels ((d), (i), and (n) in  $\text{kg/m}^2$ ), and absolute vorticity at 850 hPa (AVort, panels (e), (j), and (o) in  $10^{-4}$  1/s). Stippled regions are statistically significant at the 99% level using a the Kolmogorov-Smirnov significance test.

465 The potential intensity (Fig. 10 (a), (f) and (k)) increases in most of the tropics, except some  
466 regions in the eastern North Atlantic, South Pacific and South Atlantic. As expected, the PI  
467 magnitude change is larger the higher the emission scenario, with the largest increases (subtropical  
468 North Pacific) and decreases (South Pacific) occurring in the SSP5-8.5 scenario. The number  
469 of grid points in which the changes are statistically significant also increases with the emission  
470 scenario. In the North Atlantic, North Pacific and South Pacific, the PI increases in large regions in  
471 the subtropics, which have been associated with the poleward shift in lifetime maximum intensity  
472 (Kossin et al. 2014, 2016; Lin et al. 2023a). Furthermore the patterns in the PI change for the  
473 CMIP6 models are very similar to those of CMIP5 (Camargo 2013) and CMIP3 models (Vecchi  
474 and Soden 2007a,b).

475 In the northern hemisphere, there are statistically significant decreases in VSH in many regions  
476 (Fig. 10 (b), (g), (l)), in particular in the North Pacific and North Atlantic and in SSP5-8.5. The  
477 exception is the Gulf of Mexico and Caribbean region, where the VSH increases. In the southern  
478 hemisphere, the magnitude of the VSH increases, but mostly in regions not prone to the occurrence  
479 of TCs, such as the South Atlantic, southeast Pacific, and the near South Africa, though hybrid  
480 and sub-tropical storms do occur in these regions. Close to the equator in both hemispheres, the  
481 magnitude of the VSH decreases, in particular in the tropical equatorial eastern Pacific and in the  
482 Indian Ocean. The pattern of the VSH changes in CMIP6 are very similar to those from CMIP5  
483 Camargo (2013); Ting et al. (2019)) and CMIP3 (Vecchi and Soden 2007a,b)

484 In the tropical Pacific, the CRH increases in both hemispheres (Fig. 10 (c), (h), (m)), as well as in  
485 the eastern North Pacific poleward of 20°N. There are also significant increases in the CRH in the  
486 North Indian Ocean. Regions with a decrease in the CRH are the Gulf of Mexico and Caribbean,  
487 as well as the southeast Pacific, especially for the SSP5-8.5 scenario.

488 In contrast, the SD decreases globally (Fig. 10 (d), (i), (n)), as was the case for the CMIP5 models  
489 (Lee et al. 2020a). Statistically significant decreases in the SD occur in most of the North Atlantic,  
490 the subtropical North Pacific and the eastern parts of the southern hemisphere oceans.

491 The relative vorticity has a bimodal pattern in changes in the eastern tropical Pacific, with an  
492 increase close to the tropics and an increase north of that, as well as in the Caribbean. The vorticity  
493 also increases in regions of active TC activity in a zonal band near Australia, as well as in the  
494 subtropical North Pacific. Regions in which the vorticity decreases coincide with increases in



495 VSH, such as the southeast Pacific, south of Australia and South Africa and in the North Indian  
496 Ocean, but these are not regions in which genesis tends to occur, as it is only necessary for the  
497 vorticity to be above certain threshold for genesis to be possible Tippett et al. (2011).

498 We analyzed the change of the same five environmental fields using the increase per global  
499 warming level following Seneviratne and Hauser (2020). The resulting changes are shown in  
500 Fig. 11 for 1.5°C, 3°C and 4°C differences from the 19C climatology shown in each row. The  
501 number of models used in each composite depends on the warming rate of each model. The patterns  
502 are exactly the same as those obtained at the end of the 21C for the three emission scenarios. The  
503 changes for 1.5°C are weaker than those in SSP2-4.5 at the end of the 21C, those for 3°C are similar  
504 to the latter, and the 4° warming changes are similar to those in SSP5-8.5 at the end of the 21C. The  
505 close similarity between Figs. 10 and 11 is remarkable and emphasizes the robustness of changes  
506 in these environmental fields. This does not mean the changes need be correct as representations of  
507 the response to radiative forcings, however, since some of these responses are likely attributable to  
508 the patterns of change in the tropical Pacific, which have been questioned due to their inconsistency  
509 with recent historical trends (Sobel et al. 2023).

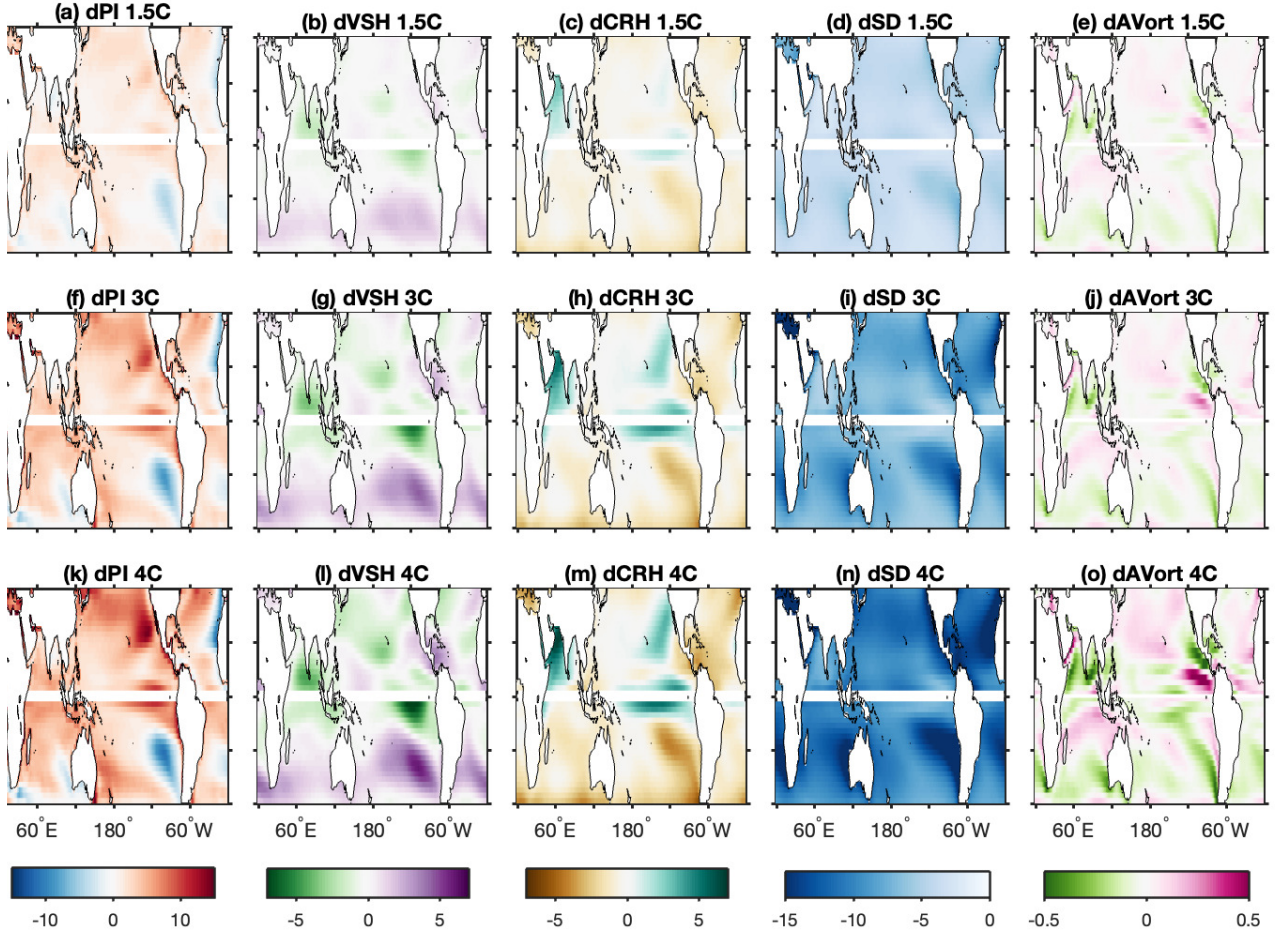


FIG. 11. Difference ("d") between the multi-model mean per global warming level and the 19C climatology (1850–1900) for 1.5°C, 3°C and 4°C for the variables used in TCGI: PI (panels (a),(f), and (k) in m/s), VSH (panels (b), (g) and (l) in m/s), CRH (panels (c), (h) and (m), in %), SD (panels ((d), (i), and (n) in kg/m<sup>2</sup>), and absolute vorticity at 850 hPa (AVort, panels (e), (j), and (o) in 10<sup>-4</sup> 1/s).

514 Next we analyze changes in the genesis indices by global warming level in Fig. 12. TCGI-CRH  
515 and TCGI-SD are shown in Fig. 12 left column and second column from the left). As discussed  
516 in Camargo et al. (2014) and Lee et al. (2020b) for the HiRAM and CMIP5 models, TCGI-CRH  
517 increases with global warming, while TCGI-SD decreases, and the same behavior is found here  
518 for the CMIP6 models. Similar to other environmental fields, the changes in TCGI increase with  
519 the amount of warming. The only region in which TCGI-CRH decreases is in the Gulf of Mexico  
520 and both coasts of Central America, where the VSH increases with warming. GPI (Fig. 12, second  
521 to right column) values increase with global warming for CMIP6 models, as was the case for the  
522 CMIP5 (Camargo 2013) and CMIP3 models (Vecchi et al. 2013), indicating a more conducive  
523 environment for genesis. GPI-Xi (Emanuel 2010) also increases in the CMIP5 (Emanuel 2013)  
524 and CMIP6 simulations (Emanuel 2021). Note that changes in genesis indices are restricted to  
525 narrow bands associated with each basin's main development region.

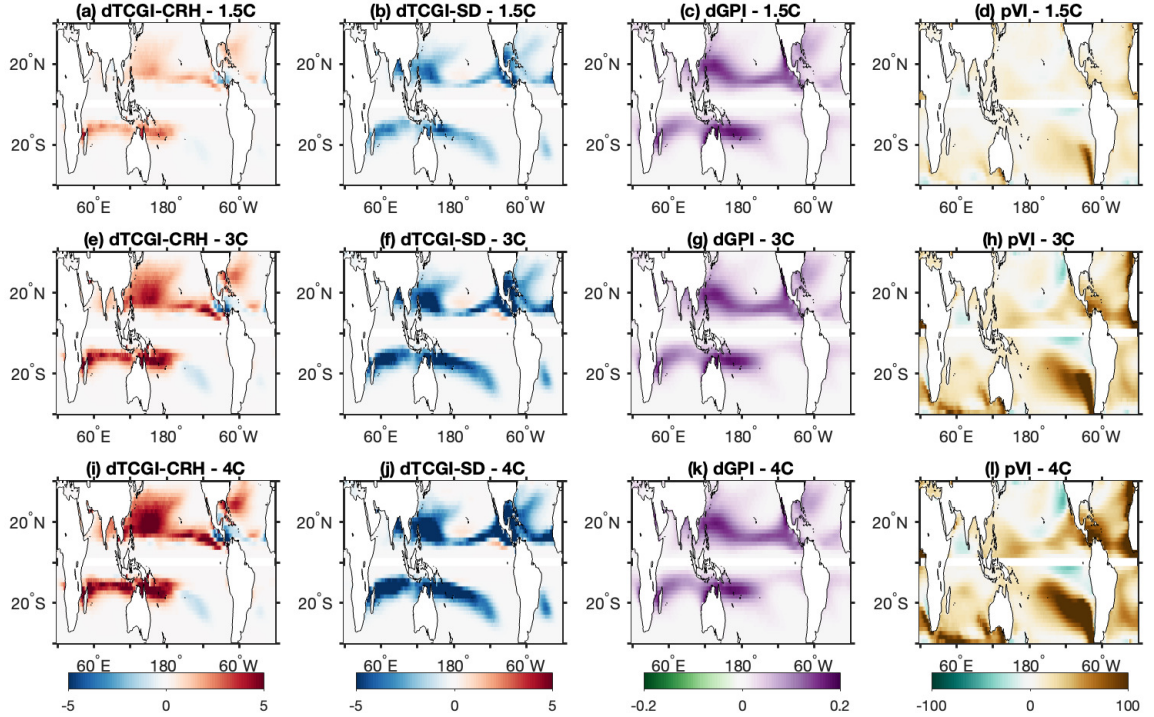


FIG. 12. Difference “d” between the multi-model mean per global warming level and the 19C climatology (1850–1900) for 1.5°C, 3°C and 4°C for TCGI-CRH (panels (a), (e), and (i), NTC per area per year  $\times 10^{-3}$ ), (b) TCGI-SD (panels (b), (f), and (h), NTC per area per year  $\times 10^{-3}$ ), and GPI (panels (i), (j), and (k), unitless). (d) Percentage change per global warming level for the Ventilation Index (pVI).

The final variable that we examine in Fig. 12 (right panels) is the VI (Tang and Emanuel 2010, 2012). The pattern of the percentages changes in the VI by degree warming for the CMIP6 models are very similar to those found previously in Tang and Camargo (2014) for eight CMIP5 models in the RCP5.85 scenario. In most locations the VI increases, with decreases occurring in very few locations, in particular near the equatorial region, near Hawaii, subtropical regions of the eastern North Pacific and the Arabian Sea. Higher values of the VI are characterized by more hostile conditions for TC genesis and intensification. Therefore according to this measure, globally the environment is becoming more hostile for TCs, but the largest changes occur in regions that typically do not have TC formation, as the southeast Pacific, Northeast Atlantic, south of Australia and South Africa. Regions with an increase in the VI are the Gulf of Mexico and Caribbean, where the VSH, a component of VI, increases. These changes extend through the whole Atlantic basin, toward the African coast, until the equatorial region. Note that the changes of the genesis indices in the North Atlantic tend to be very small for GPI and TCGI-CRH.

While in the literature a single genesis index or the ventilation index is regularly used to make TC projections, Fig. 12 indicates that the choice of the index is the main determinant of the future projections of TC activity. Since there is no consensus regarding which index is best, we conclude that choosing a single index to make TC future projections severely underestimates the uncertainty of future TC projections.

#### *b. Potential Intensity Projections and Aerosol Forcing*

The global annual mean temperature increase ( $\Delta T$ ) from the 1981–2010 climatology for the historical and the three future scenarios is shown in Fig. 13(a). The thick lines are the MMM of the polynomial fit of the ensemble mean of each model, with the colors indicating the standard deviation across models. A similar calculation was performed showing the increase in the PI ( $\Delta PI$ ) in the northern hemisphere in ASO (Fig. 13(b)) and in the southern hemisphere in JFM (Fig. 13(c)). The PI increase is much larger in the northern hemisphere than the southern hemisphere, for all scenarios. For instance, by the end of the 21C the mean tropical PI increase in the northern hemisphere is slightly above 4 m/s for the SSP5-8.5 scenario, while it is around 1.5 m/s in the southern hemisphere. This difference was also present in the CMIP5 models (Sobel et al. 2016).

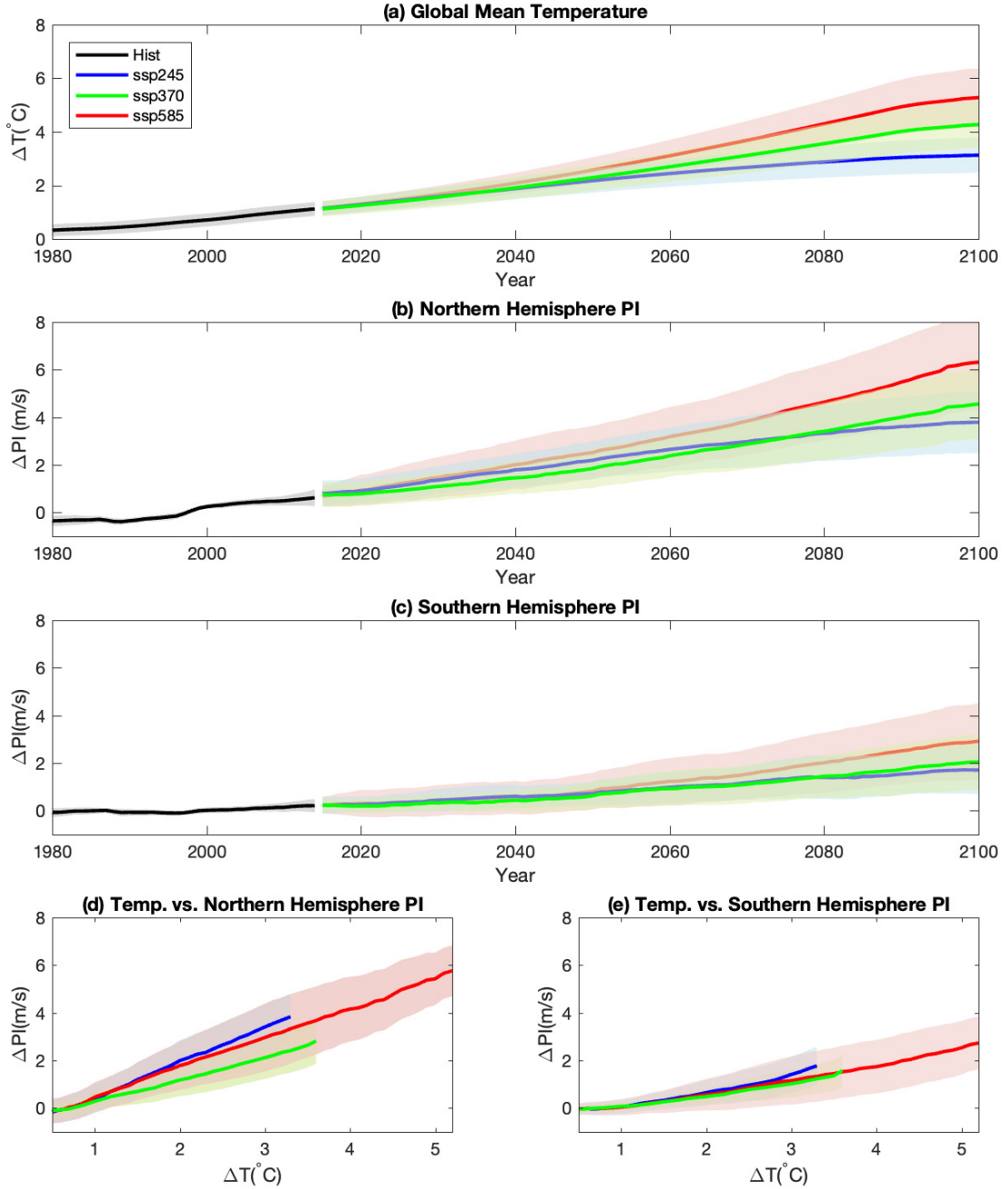


FIG. 13. (a) Global annual mean temperature increase ( $\Delta T$  in  $^{\circ}\text{C}$ ) as compared with the 1981-2010 climatology.  
 (b) Northern hemisphere tropics PI increase ( $\Delta PI$  in  $\text{m/s}$ ) in ASO. (c) Southern hemisphere tropics potential  
 increase ( $\Delta PI$  in  $\text{m/s}$ ) in JFM. Lines are the multi-model mean of the polynomial fit of the ensemble mean of  
 each model, colors indicate the standard deviation across models in each scenario. Relationship between  $\Delta T$  and  
 $\Delta PI$  in the (d) northern and (e) southern hemisphere tropics in ASO and JFM respectively. Only  $\Delta T$  values with  
 at least 15 models are shown.

Another interesting feature, is that while the MMM global mean temperature for the SSP3-7.0 scenario is always above that in the SSP2-4.5 scenario, this is not the case for PI. This is clearer in the northern hemisphere, where the values of PI in SSP3-7.0 are below those in SSP2-4.5 until after 2070, while in the southern hemisphere this does not occur until close to 2080.

Another way to visualize the difference between temperature and PI is to plot the relationship between  $\Delta T$  and  $\Delta PI$  for both hemispheres (Fig.13(d),(e)). Only cases in which  $\Delta T$  values include at least 15 models are plotted.  $\Delta PI$  increases faster with  $\Delta T$  in both hemispheres for the SSP2-4.5 scenario than for SSP3-7.0 scenario, in spite of the SSP3-7.0 having higher  $CO_2$  concentrations than SSP2-4.5. This difference is due to the fact that the SSP3-7.0 has a higher aerosol forcing than the SSP2-4.5 scenario. As described in Rao et al. (2017), SSP3-7.0 has a weak pollution control scenario, while SSP2-4.5 scenario has a medium pollution control scenario and SSP5-8.5 has a strong pollution control scenario. Therefore SSP3-7.0 has a stronger aerosol forcing than both other future scenarios considered here. Lund et al. (2019) estimated that the total radiative forcing of aerosols relative to 1750 was around  $-0.5 \text{ W/m}^2$  for the SSP3-7.0 scenario, compared with  $-0.2 \text{ W/m}^2$  for the SSP2-4.5 scenario.

As shown in Sobel et al. (2019) and in Ting et al. (2015) using single forcing CMIP5 model simulations, aerosol cooling reduces PI more strongly than greenhouse gas warming increases PI by approximately a factor of two. Given that the aerosol forcing in SSP3-7.0 is relatively stronger than that in SSP2-4.5, we infer that the aerosol cooling in the SSP3-7.0 simulations is compensating for the warming due to the greenhouse gases to yield a PI increase slower than in the case of SSP2-4.5. In the case of the global mean surface temperature, however, aerosol and greenhouse gas forcings are equivalent, and all that matters is the net radiative forcing rather than its partitioning into shortwave and longwave components. As shown in Sobel et al. (2019) the greater effect of aerosol forcing is due to the fact that the shortwave forcing has a greater direct, temperature-independent component at the surface than longwave forcing for the same SST change, the latter of which was also shown using a single column model by Emanuel et al. (2013). It is interesting that this effect is clearly noticeable in simple time-series of  $\Delta PI$  when comparing these two future scenarios.

### c. *Potential Intensity Projections and Model Sensitivity*

It is well known that the amount of warming for the same amount of greenhouse forcing varies across models, including the CMIP6 models. In particular, Hausfather et al. (2022) argued that when performing MMM averages, one should exclude models that overestimate the future warming. Based on evidence from paleoclimate, observations and modeling, they concluded that the equilibrium climate sensitivity (ECS) is very likely to be in the range 2.6 – 3.9°C and that models with high climate sensitivity (ECS of at least 5°C) are considered “too hot”. Here we examine how PI projections vary depending on whether we consider the MMM of all CMIP6 models, or only the models that have the “right” model sensitivity, i.e., if we exclude the models that are “too hot”. Following Hausfather et al. (2022)’s classification of the CMIP6 models, we calculated the mean increase in tropical PI in each hemisphere for ASO (northern hemisphere) and JFM (southern hemisphere) at the end of the 21C (2091 – 2100) compared with the 1981 – 2010 climatology (Fig. 14). In the northern hemisphere, the  $\Delta PI$  is statistically significantly different at the end of the 21C for the SSP3-7.0 and SSP5-8.5 scenarios, between the two multi-model averages, with the median of the multi-model of the “right models” slightly smaller than that of all models, and the difference increasing with the warming scenario, i.e., lowest for SSP2-4.5, highest for SSP5-8.5. The same occurs in the southern hemisphere, but as the  $\Delta PI$  is smaller in that hemisphere, the differences between the multi-model mean are smaller and are not statistically significant. Note that even in the case with the largest difference between the two multi-model means, i.e., northern hemisphere for SSP5-8.5, these differences are still small. The effect of considering the “too hot” models is not large for the seasonal mean PI.



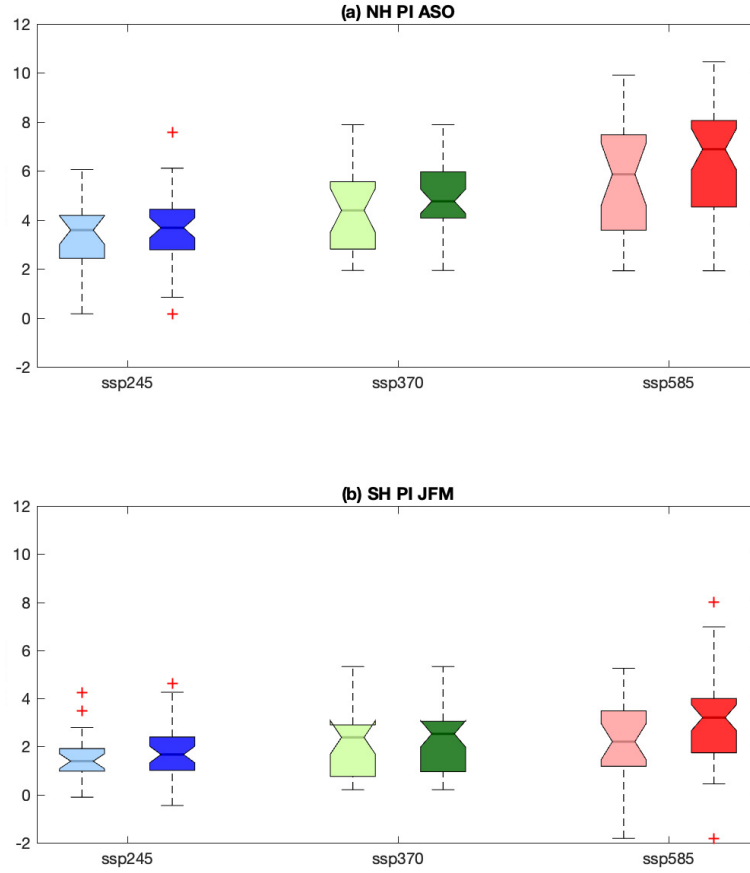


FIG. 14. PI increase (in m/s) at the end of the 21C (2091 – 2100) compared with the 1981 – 2010 climatology for all models (dark colored boxplots on the right) and models with the “right” climate sensitivity (lighter colored boxplots on the left) in the northern hemisphere for ASO (top panel) and southern hemisphere for JFM (bottom panel), for 3 future scenarios SSP2-4.5 (blue), SSP3-7.0 (green), SSP5-8.5 (red). Not all models considered here have ECS values available.

Figure 15 shows the scatter plots of the increase in PI (dPI) at the end of the 21C (2091 – 2100) from the end of the 20C (1981 – 2010) and the models' ECS in the northern (a) and southern (b) hemisphere, using the ECS values from Hausfather et al. (2022). Only models with ECS listed in Hausfather et al. (2022) were included. The correlation between dPI and ECS varies between 0.38 and 0.50 depending on the future scenario and hemisphere considered, and it is statistically significant in all cases. While there is a clear relationship between these two quantities for all cases, in both hemisphere the lowest correlation occurs for SSP3-7.0, the scenario with the highest aerosols concentration, which should not be surprising, as ECS only considers changes in CO<sub>2</sub>. However, it is important to note that there is large spread in dPI values for models with the same ECS and scenario. In the northern hemisphere the highest dPI values per ECS value are typically from SSP5-8.5 and the lowest from SSP2-4.5, with SSP3-7.0 in between them. This separation does not work as well in the southern hemisphere, with the dPI values from the 3 future scenarios more mixed.

#### *d. NTC and ACE projections*

We now examine how the characteristics of the models' TCs changed between the end of the 20C and the 21C. There are only 20 models which have the TCs tracked for both historical and SSP5-8.5, marked with a star in Tables 1 and 2.

The percentage change in the median global NTC per year between the two 30-year periods for each model is shown in Fig. 16(a). In most models there is a reduction in the global annual frequency of TCs, similar to past studies e.g., Camargo (2013); Roberts et al. (2020b). Again this is in contrast with the increase in most genesis indices shown in Fig. 12, except TCGI-SD, as discussed in Camargo et al. (2014); Lee et al. (2020a, 2023).

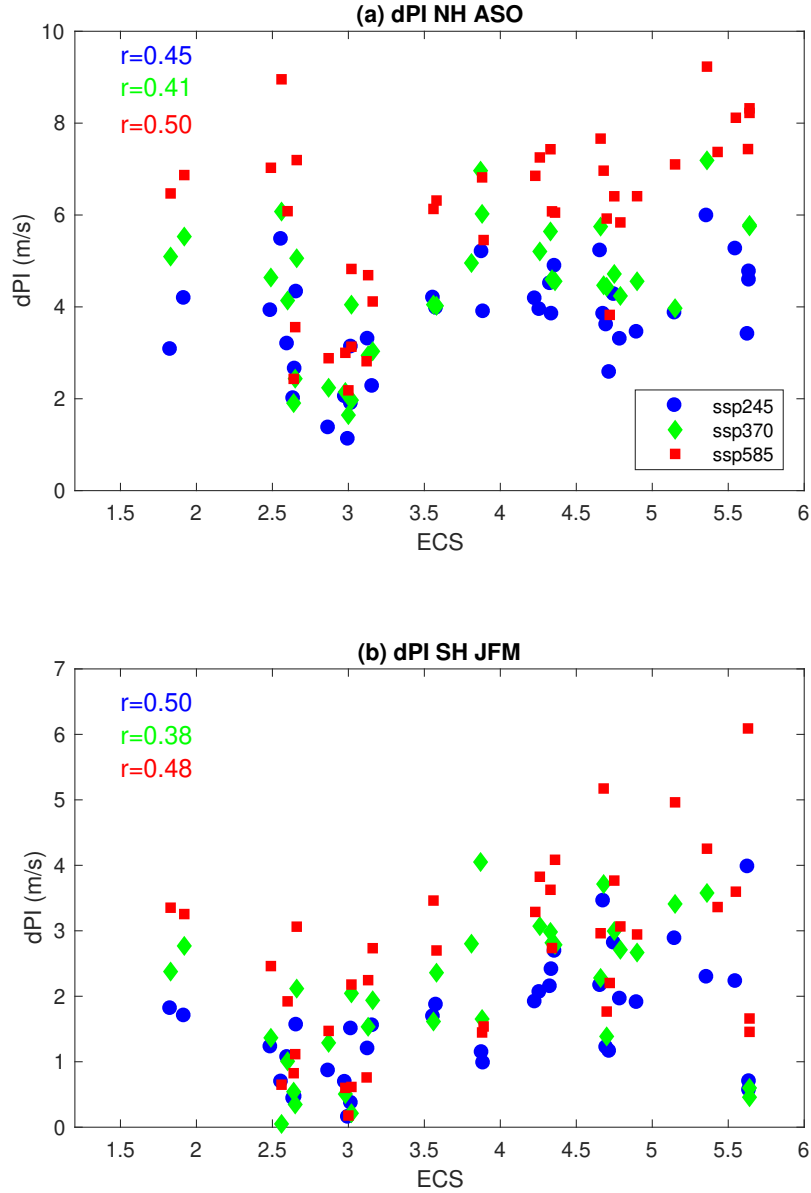


FIG. 15. Scatter plots of increase in PI in m/s at the end of 21C (2091-2100) from the end of the 20C climatology (1981-2010) versus ECS values from Hausfather et al. (2022) for the (a) northern hemisphere in ASO and (b) southern hemisphere in JFM, for 3 future scenarios SSP2-4.5 (blue), SSP3-7.0 (green), SSP5-8.5 (red). The correlation values for each scenario and hemisphere are given in each panel. Not all models considered here have ECS values available.

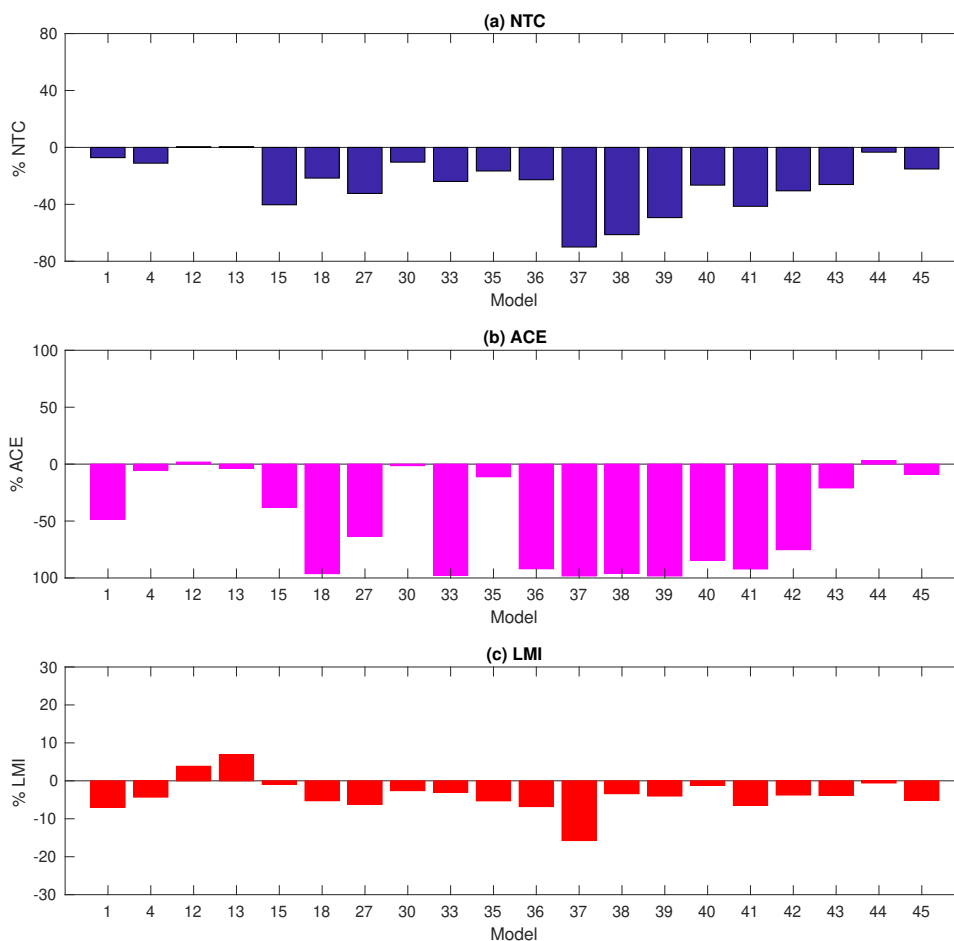


FIG. 16. Percentage change between the models ensemble mean in 2071-2100 compared with 1971-2000 for

(a) Median global NTC, (b) Median global ACE, (c) 75 percentile of Lifetime Maximum Intensity (LMI).

646 The percentage change in the median ACE per year between future and historical scenarios is  
647 shown in Fig. 16(b). Similar to NTC, there is a large decrease in ACE across most models. Given  
648 that ACE is an integrated measure of frequency (NTC), duration and intensity, we further examined  
649 the change in the storms lifetime maximum intensity (LMI). The percentage change in the 75th  
650 percentile values of storms LMI is shown in Fig. 16(c). The LMI percentage changes are minimal  
651 between the two scenarios. Therefore, the changes in ACE are dominated by changes in the TC  
652 frequency, given that the changes in TC duration are not noticeable (not shown).

## 653 **6. Conclusions**

654 In this manuscript, we examined the characteristics of environmental fields associated with TCs  
655 in CMIP6 historical and future simulations, as well as the TC-like storms tracked in these models.  
656 We found that TC-associated environmental fields in the CMIP6 models have large biases in their  
657 historical climatological patterns, magnitude, and interannual variability compared with ERA5.  
658 These biases are present in thermodynamic and dynamic variables. There are clear systematic  
659 biases across models from the same modeling centers, as the patterns of the biases are extremely  
660 similar. The largest differences among environmental variables climatology across reanalyses are  
661 in the humidity fields. Similarly, there is a large spread across models in the climatological values  
662 of environmental fields associated with humidity, such as PI and CRH. Furthermore, the variance  
663 of all environmental variables examined is much smaller than in reanalysis.

664 The biases in the individual environmental variables lead to biases in TC proxies such as genesis  
665 indices. By bias-correcting the individual components of these indices, before computing them,  
666 one can obtain values much closer values to the ERA5 ones. Because of the nonlinearity of the  
667 indices' dependences on the individual variables, correcting mean biases in the individual variables  
668 improves the biases not just in the mean indices, but in their variability as well. Column humidity  
669 is the variable primarily responsible for the bias in the indices, and thus the one whose correction  
670 has the greatest impact.

671 Similarly to CMIP5 and reanalyses products, there is no clear relationship between the mean  
672 TC activity (NTC and ACE) and the climatological values of the environmental fields and proxies  
673 across CMIP6 models. Furthermore, models with the same horizontal resolution have a large range

674 of NTC and ACE values, even if increased the model resolution leads in general to higher NTC  
675 and ACE values in CMIP6 models.

676 The patterns of the environmental fields in the CMIP6 model projections are very similar to those  
677 in CMIP5. These patterns are robust and similar across projection scenarios and GWL. Models  
678 with greater climate sensitivity have larger increases in PI, but the differences are only significant  
679 for high emissions scenarios and at the end of 21C. Furthermore, the compensation between aerosol  
680 and GHG forcings in the PI increase is important, such that the scenarios with high aerosol loading  
681 exhibit slower increases in PI.

682 Projections based on genesis indices are strongly dependent on the formulation of the genesis  
683 index used, and projections based on a single genesis index should be taken with extreme caution,  
684 as there is no way to determine which genesis index formulation is the “correct” one. In particular,  
685 while some formulations of the genesis indices project an increase in TC activity, others project a  
686 decrease, in agreement with what is obtained from the diagnostics of TC activity in CMIP6 models  
687 (NTC and ACE). Recently, Chavas et al. (2024) developed a new genesis index more heavily based  
688 in theory than previous indices. While there is no complete theory for genesis our recommendation  
689 is to improve genesis indices using robust theoretical principles. Furthermore, the addition of seeds  
690 survival rate into genesis indices, as done in Hsieh et al. (2020) could potentially lead to more  
691 robust projections from genesis indices, though there are still conflicting perspectives about this  
692 issue (e.g. Emanuel (2022)).

693 The climatology of TC-like storms in the CMIP6 has significantly improved compared with  
694 CMIP5, probably associated with the increase in horizontal resolution across the multi-model  
695 ensemble. Other model changes from CMIP5 to CMIP6, such as convective parametrization, are  
696 model dependent and could affect TC activity in ways that are not coherent across models. In spite  
697 of the increased model resolution, TC activity still shows substantial biases, as the model resolution  
698 is still not high enough to resolve TCs. However, models with similar resolution can have a large  
699 range of skill in reproducing TC climatology. Similar to previous generations, there is a decline in  
700 NTC in the future for most models at the end of the 21C under a high emission scenario.

701 Systematic biases in the CMIP6 models, such as the trend in the spatial pattern of tropical Pacific  
702 SST, need to be addressed in order to obtain a better estimate of the uncertainty range of TC  
703 projections, independently of the methodology used.

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711 *Data availability statement.* The publicly available CMIP6 multi-model ensemble dataset was  
712 used in this paper. CMIP6 ensemble model outputs can be downloaded from the different  
713 Earth System Grid Federation (ESGF) nodes. The NOAA's International Best Track Archive  
714 for Climate Stewardship (IBTrACS) dataset version 4 was used for tropical cyclones observa-  
715 tions (<http://doi.org/0.25921/82ty-9e16>; Knapp et al. (2010, 2018)). Reanalysis data from  
716 ERA5 (Hersbach et al. 2020, 2023a,b) were provided by the Copernicus Climate Change Service  
717 Climate Data Store (CDS) and are available at <https://cds.climate.copernicus.eu>.

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