

# *Polymer-protected gold nanoparticles for photothermal treatment of Ehrlich Adenocarcinoma: in vitro and in vivo studies*

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1 **Polymer-protected gold nanoparticles for photothermal treatment**  
2 **of Ehrlich adenocarcinoma: *In vitro* and *in vivo* studies**

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25 **Keywords:** gold nanoparticles, gold nanospheres, gold nanorods, surface plasmon resonance  
26 (SPR), photothermal therapy (PTT), Ehrlich cancer cells.

27  
28  
29 **Abstract**

30 Photothermal therapy (PTT) has been recognized as an effective tool for the treatment  
31 of cancer and it has attracted considerable attention of scientists. In this work, gold nanospheres  
32 (AuNSs) and gold nanorods (AuNRs) stabilized using poly(*N*-vinylpyrrolidone) (PVP), pristine  
33 gellan gum (PGG), and poly(2-ethyl-2-oxazoline)-grafted gellan gum (GG-*g*-PEtOx) were  
34 synthesized and evaluated as PTT agents in Ehrlich cancer cells. The physicochemical

35 characteristics of these AuNSs and AuNRs, including their surface plasmon resonance  
36 absorption spectra, size, zeta potential, and aspect ratio have been studied using UV/Vis-  
37 spectroscopy, dynamic light scattering, zeta potential, transmission electron microscopy, and  
38 optical microscopy techniques. The polymer-protected AuNSs exhibited light-to-heat  
39 conversion, raising the temperature from 37 to 43 °C when irradiated using a visible light  
40 source. In the case of AuNSs, considerable damage to Ehrlich cancer cells was observed  
41 following irradiation and 40 days of examination. However, with regards to AuNSs, the damage  
42 to Ehrlich cancer cells was slightly lower than observed in AuNRs. *In vivo* experiments  
43 demonstrated that laser irradiation of tumors in mice after injecting AuNSs led to a statistically  
44 significant decrease in tumor size as compared to those not irradiated and the control samples.  
45

## 46 1. Introduction

47 Cancer is a multifaceted disease characterized by uncontrolled growth and spread of  
48 abnormal cells in the body and is one of the leading causes of human morbidity and mortality  
49 worldwide.<sup>[1-4]</sup> Unfortunately, due to the heterogeneous nature of cancer, which poses a  
50 significant public health challenge, there are currently no fully inclusive approaches to  
51 effectively treat this condition.<sup>[5]</sup> The primary modalities currently employed for cancer  
52 treatment include chemotherapy, radiation therapy, immunotherapy, and surgery. These  
53 methods have become widely used in clinical practice for decades. However, cancer patients  
54 undergoing these therapies often experience significant adverse effects.<sup>[6]</sup> For this reason, the  
55 majority of studies in cancer therapy are focused on the development of alternative therapies  
56 that can complement or even substitute the existing therapies. The goal is to improve their  
57 efficacy and minimize any potential side effects they may have on patients. These methods  
58 ideally should selectively eliminate cancerous cells only, without damaging healthy cells.<sup>[7,8]</sup>  
59 Among the advanced phototherapy methods for treating cancer, photothermal therapy (PTT)  
60 offers a great advantage due to its non-invasive nature and selective therapeutic potential for  
61 different cancers. PTT has several advantages including the ability to externally irradiate  
62 tumors, which means the therapy can be applied from outside the body. PTT is also associated  
63 with limited complications, meaning it has a lower risk of side effects compared to other cancer  
64 therapies. Additionally, PTT offers enhanced selectivity, meaning it can specifically target  
65 cancer cells while minimizing the damage to normal cells. Another benefit of PTT is a relatively  
66 quick patient recovery, implying that they may experience a faster recuperation period after  
67 undergoing PTT. In phototherapy, certain wavelengths of light within the visible and near-  
68 infrared resonance (NIR) bands are utilized to heat photothermal agents such as nanoparticles.

69 This leads to a localized increase in the temperature of specific tissues resulting in the  
70 elimination of malignant cells in those tissues. The higher sensitivity of cancer cells to  
71 temperature elevation results in their increased susceptibility to the effects of heat compared to  
72 normal cells. Moreover, an exposure to an external laser with adjustable dosing facilitates the  
73 selective eradication of various types of cancer cells while minimizing the damage to the  
74 surrounding healthy tissues.<sup>[9–14]</sup>

75 Gold nanoparticles (AuNPs) are considered to be the foremost photothermal agents used  
76 in PTT treatment. These nanoparticles have an important attribute such as a high light-to-heat  
77 conversion efficiency, which makes them particularly effective in converting light energy into  
78 heat. In PTT, visible and NIR laser lights are employed to activate and stimulate AuNPs, which  
79 cause the nanoparticles to resonate and generate heat, thus enabling localized heating of the  
80 targeted tumor tissues. The application of AuNPs as photothermal agents provides enhanced  
81 therapeutic outcomes due to the possibility of their direct injection into the tumor, while  
82 minimizing non-specific distribution in the body. Furthermore, these nanoparticles can be safely  
83 eliminated from the body after completing the therapy.<sup>[15–17]</sup>

84 AuNPs exhibit distinctive physicochemical properties such as a possibility for localized  
85 surface plasmon resonance (LSPR). The LSPR phenomenon facilitates interactions between  
86 incident light and the electrons in the conduction band on the surface of AuNPs.<sup>[18–20]</sup> The  
87 optical properties of AuNPs are dependent on their dimensions. The LSPR properties can be  
88 altered by modifying the shape and size of AuNPs. This enables the use of different wavelengths  
89 of light, including those in the NIR and visible spectra for achieving localized heating  
90 effects.<sup>[21–24]</sup> Therefore, the ability to tune the LSPR properties of AuNPs through size and  
91 shape manipulation offers flexibility in designing AuNPs for specific applications that require  
92 interaction with different wavelengths of light. Visible light has limited penetration ability into  
93 biological tissues compared to NIR light. This property makes visible light more suitable for  
94 certain medical procedures that require higher precision.<sup>[25–28]</sup>

95 Small spherical gold nanoparticles are easy to produce.<sup>[12]</sup> They are less toxic than  
96 particles of large size and show improved photoconversion capability that may profit from the  
97 irradiation with the visible light using standard surgical green lasers. In particular, the efficiency  
98 of light-to-heat conversion (photoconversion at 530 nm) of 14 nm AuNSs irradiated in the  
99 visible region and its application to selectively obliterate cancer cells using breast cancer as  
100 model was shown. Thus, the AuNSs with diameters ranging from 10 to 30 nm were considered  
101 non-toxic due to such properties, as stability, cellular uptake efficiency, and favourable  
102 clearance mechanism. They were recognized as ideal photothermal agents for biomedical

103 applications<sup>[29,30]</sup> and demonstrated a characteristic LSPR band around 520 nm, *i.e.* in the  
104 visible region of the spectrum, with an efficient light-to-heat conversion.<sup>[31,32]</sup> As such, AuNSs  
105 as photothermal agents, are an efficient way to induce precise heating leading to less damage  
106 to surrounding tissues, while destroying malignant, which are thermosensitive cells<sup>[33,34]</sup>.

107 Many synthetic and natural polymers can function as both reducing and capping agents  
108 to form AuNPs.<sup>[35,36]</sup> According to literature, the efficacy of PTT with regards to the antitumor  
109 activity of AuNSs and AuNRs, among other noble and transition metal nanoparticles stabilized  
110 with natural and/or synthetic polymers, has been studied with respect to melanoma,<sup>[37]</sup> 4T1 and  
111 HeLa cells,<sup>[38]</sup> 4T1 murine breast tumor cells,<sup>[39]</sup> U87 MG human glioblastoma cell,<sup>[40]</sup> and  
112 transplanted liver tumor.<sup>[41]</sup>

113 In the present work, AuNSs and AuNRs stabilized using poly(*N*-vinylpyrrolidone) (PVP),  
114 pristine gellan gum (PGG), and poly(2-ethyl-2-oxazoline)-grafted gellan gum (GG-g-PEtOx)  
115 were prepared and characterized for their size and morphology using dynamic light scattering  
116 (DLS) and transmission electron microscopy (TEM). Coating and stabilization of AuNPs and  
117 AuNRs by biocompatible, non-toxic, and biodegradable polymers, such as poly(*N*-  
118 vinylpyrrolidone) and gellan, allows to preserve the colloidal gold nanoparticles in aqueous  
119 solution for an extended period and to prepare effective photothermal agent for the treatment  
120 of cancer cells.<sup>[42-50]</sup> Polymer-protected gold nanoparticles were produced through one-pot and  
121 growth seeding methods in aqueous solutions. These particles were observed to exhibit  
122 temperature-dependent changes and high stability over a period of 36 days, thus making them  
123 suitable for application in photothermal therapy. The protocols have been developed herein for  
124 the evaluation of the photothermal conversion ability of AuNSs and AuNRs when irradiated  
125 using visible and NIR laser light sources. The potential use of AuNSs and AuNRs in PTT  
126 treatment was tested both *in vitro* and *in vivo* against Ehrlich cancer cells. Ehrlich ascites  
127 carcinoma is a well-established murine model used for studying breast cancer.<sup>[51,52]</sup> It represents  
128 hyperdiploid and an undifferentiated carcinoma with 100% malignancy, short life span, high  
129 transplantable capability, and rapid proliferation. From 2010 until now, publications that  
130 mention Ehrlich tumors increases. This is due to the systematic alterations induced by the  
131 tumors, the sensitivity of the tumor cells to chemotherapies and the antitumor potential of  
132 synthetic and natural products.

133 It is known<sup>[53]</sup> that malignant cells require large amounts of monosaccharide uptake in  
134 order to sustain their accelerated growth and division in comparison to that of healthy cells. We  
135 hypothesize that gellan gum composed of tetrasaccharide repeating units (1,3- $\beta$ -D-glucose, 1,4-  
136  $\beta$ -D-glucuronic acid, 1,4- $\beta$ -D-glucose, and 1,4- $\alpha$ -L-rhamnose) may function as a “food” for

137 cancer cells to support their accelerated growth. It is supposed that the cancer cells, feeding on  
138 tetrasaccharides, consume them and thereby “bare” gold nanoparticles that have been stabilized  
139 by the polysaccharide – gellan. Gold nanoparticles lacking a protective shell consisting of gellan  
140 will be forced to adsorb on cancer cells in order to minimize the free energy of the nanoparticles.  
141 Irradiation of these gold nanoparticles, attached to the cancerous cells, with appropriate laser  
142 light can induce local heating due to the localized surface plasmon resonance (LSPR) and  
143 hyperthermia causing apoptosis of the cancer cells. In addition, gellan gum grafted with poly(2-  
144 ethyl-2-oxazoline) (GG-g-PEtOx) could act as a bioadhesive polymer<sup>[54]</sup> and enhance its  
145 mucus-penetrating properties.<sup>[55]</sup> Therefore, coating or conjugating gold particles with these  
146 polymers could facilitate the adhesion of gold nanoparticles to the mucosal surface of tumors  
147 or their penetration into tumors. This process may prolong the efficacy of photothermal therapy  
148 (PTT) by ensuring better localization of gold nanoparticles within the tumor tissue.

149

## 150 **2. Experimental Part**

151 **2.1. Materials**

152 A standard aqueous solution of tetrachloroauric acid (HAuCl<sub>4</sub>) with a concentration of  
153 100 mg/mL, cetyltrimethylammonium bromide (CTAB, 99%), sodium borohydride (NaBH<sub>4</sub>,  
154 98.5%), ascorbic acid, poly(N-vinylpyrrolidone) (PVP) with Mn = 10 and 40 kDa, and Hanks’  
155 balanced salt solution (HBSS buffer) were purchased from Sigma-Aldrich (Germany). Gellan  
156 gum with Mw 500 kDa was purchased from Zhejiang DSM Zhongken Biotechnology Co., Ltd.  
157 (China). Poly(2-ethyl-2-oxazoline)-grafted gellan gum (GG-g-PEtOx) was kindly provided by  
158 the authors.<sup>[56]</sup> Depending on the grafting density, the GG-g-PEtOx samples are abbreviated as  
159 G2, G3, and G12. Grafting density is given as the number of repeating units, where each gellan  
160 gum consists of four sugar units, per one PEtOx grafted chain. Thus, on average, every 12th  
161 repeating unit (equivalent to 12×4 sugar units) in G12 copolymer contains one PEtOx grafted  
162 chain. Potassium hydroxide, silver nitrate, and all other chemicals were of analytical grade and  
163 used as received.

164

## 165 **2.2. Methods**

166 **2.2.1. Synthesis of AuNSs and AuNRs**

167 Spherical AuNPs (or AuNSs) stabilized by PVP, PGG, and GG-g-PEtOx were prepared  
168 using a “one-pot” synthetic method as described previously.<sup>[57]</sup> Briefly, a mixture consisting of  
169 polymer solutions with different concentrations (either 4% PVPs; 0.5% PGG; or 2% of each  
170 G12, G3, G2), 5 mL of HAuCl<sub>4</sub> (100 mg/mL) and 4 mL of 0.5 M KOH was combined, agitated,

171 and heated up to 100 °C for 3–5 min in an Anton Paar Monowave 50 microwave reactor (Graz,  
172 Austria) equipped with a temperature and time controller. Consequently, tinted solutions,  
173 varying in color from yellow to dark red or purple, were produced due to the formation of  
174 AuNSs. The initial solution with pH 12 decreased down to pH 8 following the dialysis (cellulose  
175 membrane with molecular weight cut-off 12-14 kDa) against deionized water.

176 In order to prepare AuNRs, the seed-mediated growth technique was employed.<sup>[57]</sup>  
177 Initially, a solution comprising 5 mL of 0.2 M cetyltrimethylammonium bromide (CTAB) was  
178 combined with 5 mL of 0.5 mM HAuCl<sub>4</sub> and stirred. Subsequently, 0.6 mL of cold 0.01 M  
179 NaBH<sub>4</sub> was introduced, resulting in the formation of a brownish-yellow solution containing  
180 AuNSs. Concurrently, a mixture composed of CTAB (0.2 M; 30 mL), AgNO<sub>3</sub> (4 mM; 1.5 mL),  
181 and HAuCl<sub>4</sub> (1 mM; 30 mL) was gently mixed, and 78.8 M (0.42 mL) ascorbic acid was added.  
182 Ascorbic acid was acting as a mild reducing agent, causing the color of the growth solution to  
183 change from dark yellow to colorless. Finally, the first seed solution (72 µL) was added to the  
184 second growth solution, and the mixture was allowed to incubate at 30 °C overnight.  
185 Consequently, a crimson solution containing AuNRs was generated. To eliminate by-products  
186 and CTAB from the AuNRs, the solution underwent centrifugation at 10650 rpm for 30 min  
187 using an Eppendorf 5810R centrifuge (Tuttlingen, Germany). The resulting precipitate was re-  
188 dispersed by adding 3 mL of deionized water and centrifuged again at 10650 rpm for 15 min.  
189 After undergoing washing procedure thrice, the AuNRs were re-dispersed and stabilized in 5  
190 mL of designated polymer solutions and then dialyzed using a cellulose membrane (molecular  
191 weight cut-off 12-14 kDa) against deionized water.

### 192 193 2.2.2. *Characterization*

194 Absorption spectra of AuNSs and AuNRs were recorded using a Specord 210 plus BU  
195 UV/Vis-spectrophotometer (Jena, Germany). The mean hydrodynamic size of gold  
196 nanoparticles in solution, their polydispersity index (PDI) and zeta potential values were  
197 determined using dynamic light scattering (DLS) and electrophoretic measurements with a  
198 Malvern Zetasizer Nano ZS90 (Malvern Instruments, UK) at 25 °C. Gold nanoparticles were  
199 imaged using a JEOL JEM-1400Plus (JOEL Ltd., Japan) transmission electron microscope  
200 (TEM) operated at an acceleration voltage of 120 kV. TEM grids were prepared by placing 10  
201 µL of the diluted sample solutions on a carbon-coated copper grid and evaporating the solution  
202 at room temperature completely. The concentrations of AuNSs and AuNRs solutions stabilized  
203 with the polymers were quantified using an Agilent 7500 ICP-MS inductively coupled plasma  
204 mass spectrometer (Agilent Technologies, USA).

205 2.2.3. *Study of ex vivo photothermal effect of AuNSs induced by visible light irradiation*

206 The porcine stomach tissue was used as a model of skin to study *ex vivo* photothermal  
207 effect of AuNSs when exposed to irradiation. Porcine stomach tissues were received from  
208 Altyn-Orda Abattoirs (Almaty, Kazakhstan) immediately after animal slaughter, carefully  
209 packed, transported to the laboratory in cold plastic containers, and used within 24 h of retrieval.  
210 Tissue samples (cut into  $\approx 3 \times 3$  cm) were placed in Petri dishes, and with the help of a marker  
211 pen, an area to be exposed to irradiation was highlighted. Using a sterile syringe, 0.5 mL of  
212 polymer-coated AuNSs dispersion was injected interstitially in the porcine stomach tissue. The  
213 concentration of AuNSs in the colloidal solution was determined to be  $\sim 45$   $\mu$ g/mL. Irradiation  
214 of AuNSs was carried out using a physiotherapeutic Lasmik<sup>®</sup> laser apparatus (Lazmik Ltd.,  
215 Russia) (Figure S1A). Matrix LED heads operated at 530 and 780 nm wavelengths were used.  
216 Experiments with irradiation were performed within an incubator at 37 °C with a relative  
217 humidity of 80% (Figure S1B). The temperature was measured every 5 min using a VT04 visual  
218 infrared thermometer (Fluke VT02 Visual IR Thermometer, Everett, WA, USA). The  
219 irradiation was carried out for 60 min. All experiments were performed in triplicate and the  
220 mean  $\pm$  standard deviation (SD) values were calculated.

221  
222 2.2.4. *Irradiation of Ehrlich cancer cells*

223 Ehrlich cells were received from the cell culture collection of the Kazakh Research  
224 Institute of Oncology and Radiology (Almaty, Kazakhstan). The ascites form of a transplanted  
225 Ehrlich tumor strain was used to study the photothermal effect of AuNPs on cancer cells. The  
226 tumor cells were transplanted intraperitoneally into CD-1 mice. The material was extracted on  
227 the 10th day after transplantation. The concentration of cells was determined to be 100  
228 million/mL. In this experiment, a suspension of biomaterial was prepared from 1 mL suspension  
229 of Ehrlich tumor cells and 9 mL of HBSS buffer solution (Hanks culture medium). Next, 1 mL  
230 of the suspension was aspirated and then mixed with 1 mL of either AuNPs ( $\sim 45$   $\mu$ g/mL) or  
231 AuNRs ( $\sim 179$   $\mu$ g/mL) solutions. The resulting mixture was subsequently irradiated for 60 min  
232 using matrix LED heads operated at  $\lambda = 530$  and 780 nm. The cover glass was placed on the  
233 grid of a Goryaev chamber. Then, 5-10  $\mu$ L of cell suspension was transferred to the edge of the  
234 cover glass in the chamber. Afterwards, microphotographs of the cells were taken at 40 $\times$   
235 magnification using a Nikon Eclipse LV150N microscope (Tokyo, Japan), equipped with a  
236 Motic digital camera, and the cells were counted in Goryaev chamber manually before and after  
237 irradiation. The average number of cells in one square of grids and in one mL of suspension  
238 was calculated, considering the area of the square. The cells were maintained in an incubator at

37 °C and in the dark throughout the experimental period. In order to evaluate the long-term photothermal effect of polymer-coated AuNSs on Ehrlich cancer cells, the cells death was observed over a 40-days period. All measurements were conducted in triplicate and the mean  $\pm$  standard error of the mean (SEM, n = 10) values were calculated and then evaluated statistically.

243

#### 244 2.2.5. *Study of in vivo photothermal effect of AuNSs induced by visible light irradiation*

245 All animal experiments were conducted in compliance with the protocol approved by the  
246 local ethical committee (LEC) at the Kazakh Research Institute of Oncology and Radiology  
247 (Protocol No.5-2021, December 10, 2021).

248 For the *in vivo* experiments, 18 CD-1 male mice of 23–25 g weight and 60 days of age  
249 were selected. Tumor cell transplantation into the animals was accomplished through  
250 subcutaneous injection of  $5 \times 10^6$  tumor cells. The experiments commenced 10 days later when  
251 the tumors reached a size of about 4-5 mm in diameter. However, throughout the experiment,  
252 some mice developed purulence and so the other 3 mice were excluded from the experiment  
253 due to this condition. The mice were divided into 3 groups: Group 1 consisted of 5 control  
254 animals, with no AuNSs solution injected and no exposure to irradiation; Group 2 included 5  
255 animals with AuNSs solution injected, but no irradiation treatment; and Group 3 involved 5  
256 animals with AuNSs solution injected and with irradiation treatment.

257 Prior to commencing each experiment, hair from the surface of the skin covering the  
258 tumor was removed, then intratumoral injection of 50  $\mu$ L of AuNSs-PVP (40 kDa) was  
259 administered. The concentration of AuNSs in the suspension was  $\sim 45 \mu$ g/mL. After 25 min, the  
260 tumor node was subjected to a daily laser exposure for 30 min over a period of 7 days. Taking  
261 into account 10 days of inoculation and 7 days of laser exposure the total experimental time  
262 duration was 17 days. According to the protocols reported in,<sup>[58]</sup> the experiment associated with  
263 an increase in the tumor weight was conducted for 20 days until the animals were sacrificed. A  
264 Polyaron LG-75 helium-neon laser at a wavelength of 633 nm and emissive power of 25 mW  
265 (Kyiv, Ukraine) was used in the experiments. Throughout the experiment, the tumor size was  
266 measured daily using a caliper. Following the internationally recognized guidelines established  
267 by the Council for International Organizations of Medical Sciences (CIOMS) for biomedical  
268 research involving animals, the mice were euthanized prior to surgical removal of tumor tissues.  
269 The tumors were extracted from the peritoneum by dissection with a subsequent weighing on  
270 the ninth day.

271

272

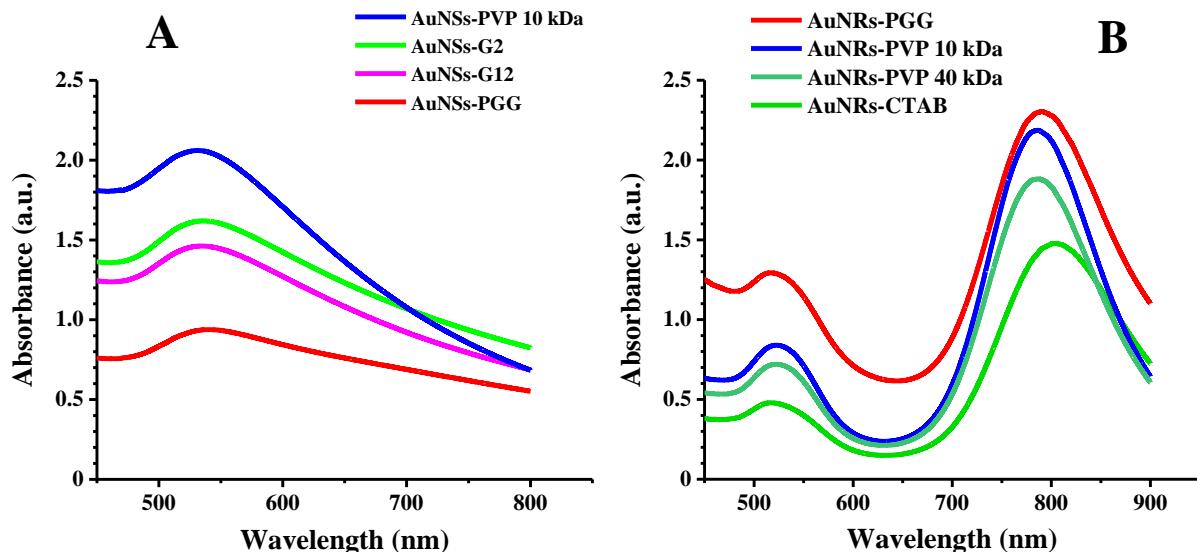
## 273 2.2.6. Statistical analysis

274 The data were presented as mean values  $\pm$  SDs and/or SEMs and assessed for significance  
275 using a one-way and two-way analysis of variance (ANOVA) followed by Bonferroni post hoc  
276 test, where  $p < 0.05$  was considered as the statistical significance difference (GraphPad Prism  
277 software, version 7.0; San Diego, CA, USA).

278  
279 **3. Results and Discussion**280 **3.1. Physicochemical characterization of nanoparticles**

281 Poly(N-vinylpyrrolidone) (PVP) with Mn 10 and 40 kDa, pristine gellan gum (PGG) and  
282 poly(2-ethyl-2-oxazoline)-grafted gellan gums (abbreviated as G2, G3, G12) were used as  
283 polymeric stabilizing agents of the AuNSs and AuNRs. It was expected that the surface  
284 modification of AuNPs with polymers can facilitate their cellular uptake. This enhancement is  
285 attributed to the affinity of both natural and synthetic polymers to cellular membranes.<sup>[59,60]</sup>

286 UV/Vis-spectroscopy is an effective method for confirming the formation and  
287 stabilization of aqueous dispersions of AuNSs and AuNRs. In general, AuNSs possess a single  
288 absorption band in the visible (500–550 nm) spectral region, which is known as a surface  
289 plasmon resonance (SPR). AuNRs exhibit two major absorption bands corresponding to the  
290 transverse and longitudinal SPR bands in the visible ( $\sim 520$  nm) and the near-infrared regions,  
291 respectively.<sup>[57,61]</sup> The SPR spectrum is dependent on both the size and shape of gold  
292 nanoparticles. In this study, the adsorption spectra of polymer-coated AuNSs and AuNRs  
293 dispersions were recorded (Figure 1). The spectra confirmed a distinctive SPR band for AuNSs  
294 in the visible ( $\sim 530$  nm) region (Figure 1A), while two characteristic surface plasmon bands  
295 corresponding to the transverse ( $\sim 520$  nm, a weaker band in the visible region) and longitudinal  
296 ( $\sim 780$  nm in NIR region) bands were observed for gold nanorods (Figure 1B).<sup>[59,61,62]</sup>



297

298 Figure 1. Representative surface plasmon resonance (SPR) absorption bands of spherical (A)  
 299 and rod-shaped gold nanoparticles (B) stabilized using different amounts of polymers and a  
 300 surfactant. Pristine gellan gum (PGG, 0.5%); G2 and G12 are poly(2-ethyl-2-oxazoline)-grafted  
 301 gellan gums with different grafting densities (each at 2%); poly(*N*-vinylpyrrolidone) (PVP)  
 302 with Mn 10 and 40 kDa (4%), and cetyltrimethylammonium bromide (CTAB, 0.2 M). All  
 303 spectra were recorded at 25 °C.

304

305 Controlling the size of AuNPs is a critical factor in the synthesis of colloidal gold and  
 306 their application in PTT. Many studies have demonstrated that the interaction between gold  
 307 nanoparticles and polymers significantly influences the size, stability, and size distributions of  
 308 the particles.<sup>[63,64]</sup> Producing monodisperse polymer-coated AuNPs with smaller sizes in  
 309 aqueous media can lead to improved biocompatibility, reduced cytotoxicity, and enhanced  
 catalytic properties.

310

311 The average hydrodynamic size and zeta potential values of the spherical and rod-shaped  
 312 AuNPs synthesized and stabilized with optimally selected concentrations of synthetic and  
 313 natural polymers are summarized in Table 1 and Table 2, respectively. During the dialysis, the  
 314 pH of aqueous solution of AuNPs decreased from 12 to 8 confirming that most of the low-  
 315 molecular-weight impurities are washed out. The mean diameter and zeta potential values of  
 316 AuNSs were measured by DLS before and after dialysis against deionized water. It was  
 317 observed that after dialysis, the size of AuNSs decreased by 2-3 times (Table 1). Overall, most  
 318 of the spherical gold nanoparticles were polydisperse. The size distributions (before and after  
 319 dialysis) of spherical gold nanoparticles stabilized with different polymers determined with  
 DLS are shown in Figure S2.

320

Table 1. Size and zeta potential values of polymer-protected AuNSs determined by DLS.

Type of polymer	Concentration of polymer (% w/v)	Mean diameter (nm)			Zeta potential (mV)	
		Before dialysis	PDI	After dialysis	PDI	Before dialysis
PVP 10 kDa	4.0	42 ± 2	0.353	15 ± 1	0.309	-13 ± 2
PVP 40 kDa	4.0	47 ± 2	0.225	16 ± 2	0.238	-7 ± 2
PGG	0.5	88 ± 2	0.300	37 ± 2	0.415	-32 ± 2
G12	2.0	38 ± 1	0.513	13 ± 1	0.795	-21 ± 2
G3	2.0	22 ± 1	0.587	17 ± 1	0.671	-20 ± 2
G2	2.0	39 ± 1	0.496	11 ± 1	0.496	-18 ± 2

321 G2; G3 and G12 – poly(2-ethyl-2-oxazoline)-grafted gellan gums with different grafting densities; PDI –  
 322 polydispersity index; PGG – pristine gellan gum; PVP – poly(*N*-vinylpyrrolidone). Data are expressed as mean ±  
 323 standard deviation values (n = 3).

324 In order to estimate the nanoparticles surface charge, the zeta potential values of the  
 325 different AuNPs were measured. It appears that the zeta potential values of AuNPs significantly  
 326 decreased following the dialysis, resulting in a further reduction of negative charges. The  
 327 average zeta potential values of AuNSs were between -42 and -10 mV depending on the  
 328 polymers used and dialysis (Table 1).

329 AuNRs stabilized using PGG displayed a negative zeta potential (-30 ± 3 mV) value due  
 330 to the presence of carboxylic groups in the macromolecular chains of the polysaccharide (Table  
 331 2). Initially, the surface of AuNRs stabilized using PVP 10 and 40 kDa was positively charged,  
 332 perhaps due to the presence of some excessive counterions of CTAB; however, after dialysis,  
 333 AuNR's zeta potential decreased down to -7 and -6 mV.

334 Table 2. Aspect ratio (measured using TEM) and zeta potential values of AuNRs synthesized  
 335 and stabilized using PGG, G2 and PVPs.

Type of polymer	Concentration of polymer (% w/v)	Average length (nm)	Average width (nm)	Aspect ratio	ζ-potential (mV)
CTAB	n/a	42 ± 4	14 ± 2	3 ± 1	54 ± 11
PGG	0.5	34 ± 3	9 ± 2	4 ± 1	-30 ± 3
G2	2.0	53 ± 5	18 ± 3	3 ± 1	-17 ± 2

PVP 10 kDa	4.0	44 ± 13	15 ± 3	3 ± 1	-7 ± 1
PVP 40 kDa	4.0	55 ± 2	16 ± 1	4 ± 1	-6 ± 2

336 CTAB – cetyltrimethylammonium bromide; PGG – pristine gellan gum; PVP – poly(*N*-vinylpyrrolidone); n/a –  
337 not applicable. Data are presented as mean ± standard deviation (n = 3).

338 The TEM images (Figure 2) further confirmed the DLS results regarding the size of  
339 AuNPs, and both AuNSs and AuNRs were uniformly distributed. For instance, AuNSs in all  
340 batches displayed particles with sizes less than 40 nm in diameter. AuNSs stabilized with G2  
341 (GG-*g*-PEtOx) demonstrated even smaller size with 10 ± 1 nm. The aspect ratio, i.e.  
342 length/width, for the gold nanorods stabilized using PVP 10 kDa was ~3–4 in average (44 ± 13  
343 nm lengthwise by 15 ± 3 nm in width) and the microphotographs are displayed in Figure 2 (also  
344 see Figure S3).

345

### 346 3.1.1. Study of photothermal effects of AuNPs

347 The photothermal effect (*i.e.* light-to-heat conversion) of AuNSs was studied on porcine  
348 stomach tissues as model of skin. The temperature change in tissue samples was measured with  
349 an IR thermometer upon irradiation using a 530 nm visible light laser. Visible light was chosen  
350 for the current study to avoid unwanted heat stimulation, as it is generally not strongly absorbed  
351 by the bulk tissue (Figure 1A). Figure 3 shows the temperature changes in porcine stomach  
352 tissue samples with and without injection of polymer-stabilized AuNSs dispersion as a function  
353 of irradiation time. The highest temperature recorded was 43.5 ± 0.2 °C for AuNSs–PVP 40  
354 kDa dispersion without tissue sample when exposed to irradiation for 60 min. For the tissue  
355 sample containing AuNSs–PVP 40 kDa; PGG and G2 (GG-*g*-PEtOx), the maximum  
356 temperature reached up to 41.1 ± 0.2; 41.0 ± 0.2 and 40.7 ± 0.2 °C, respectively, whereas the  
357 tissue sample without AuNSs was heated up to 39.0 ± 0.2 °C during 60 min of irradiation. These  
358 results clearly indicate that photothermal heating was induced by AuNSs in the tissue samples  
359 when exposed to the visible light source.

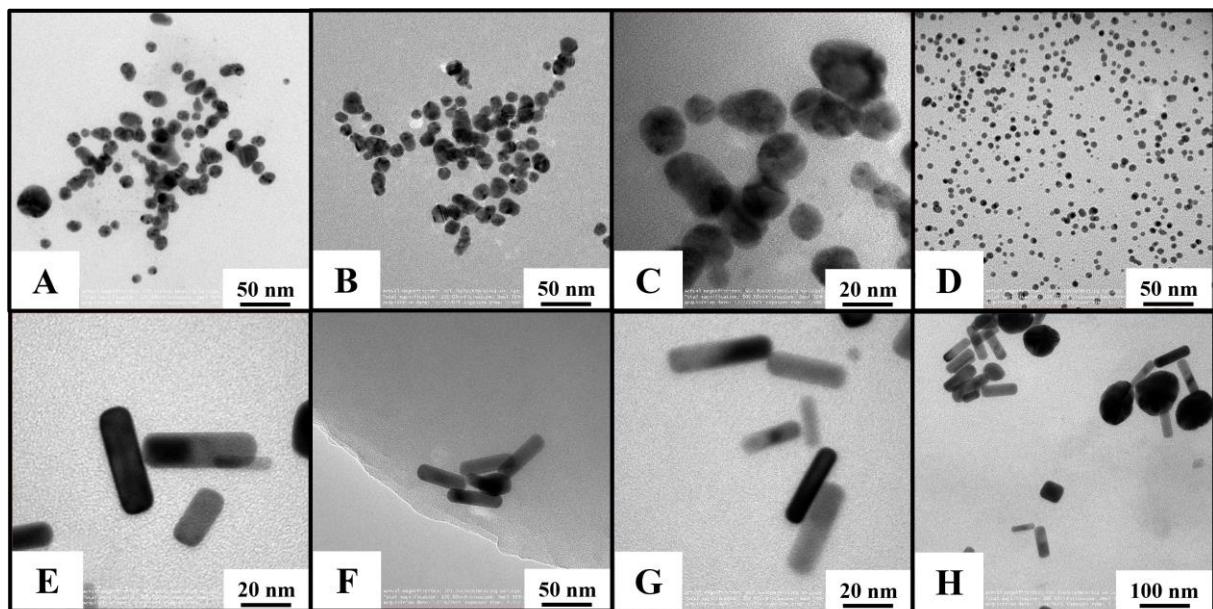
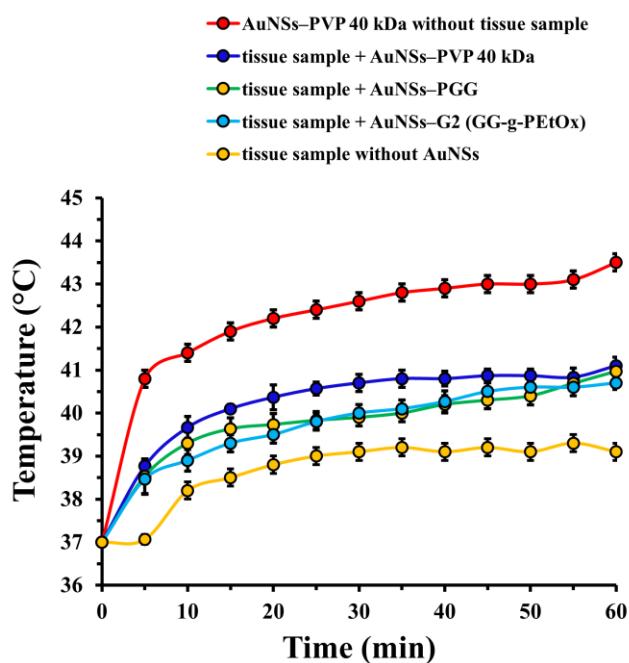
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Figure 2. TEM images of AuNSs and AuNRs stabilized using poly(N-vinylpyrrolidone) with Mn 10 kDa (A and E, respectively); poly(N-vinylpyrrolidone) with Mn 40 kDa (B and F, respectively); pristine gellan gum (C and G, respectively); G2 – poly(2-ethyl-2-oxazoline)-grafted gellan gum (D, for spherical gold NPs) and cetyltrimethylammonium bromide without polymer (H, for gold nanorods AuNRs).



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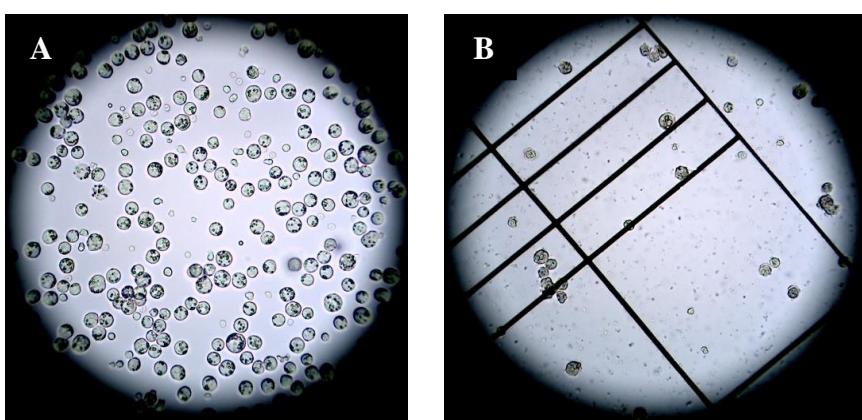
Figure 3. Time-dependent heating curves for AuNSs upon irradiation using a 530 nm visible light source. AuNSs – gold nanospheres; PGG – pristine gellan gum; G2 – poly(2-ethyl-2-oxazoline)-grafted gellan gum; PVP 40 kDa – poly(N-vinylpyrrolidone) with Mn 40 kDa.

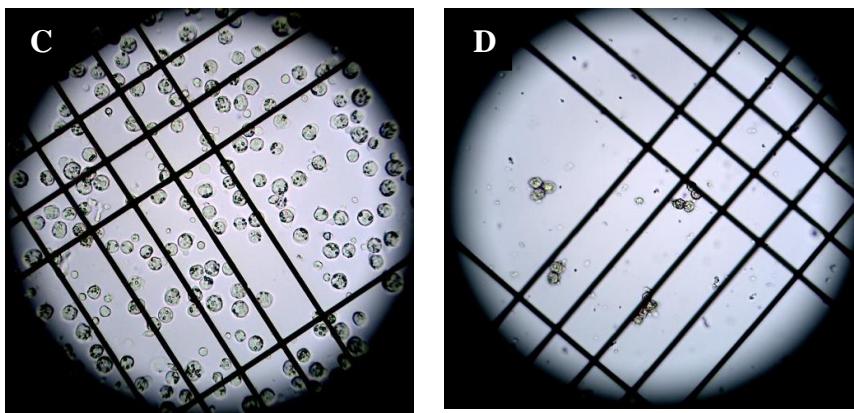
370 As seen from Figure 3, aqueous dispersion of AuNSs stabilized by PVP 40 kDa without tissue  
371 sample shows higher heating temperature upon irradiation compared to tissue-containing  
372 samples at identical conditions. Exact explanation of this phenomenon is complicated because  
373 the light-to-heat conversion depends on many parameters, in particular incident laser power,  
374 light wavelength, irradiation time, concentration, size and shape of AuNPs.<sup>[65]</sup> In our mind  
375 overall increase in the temperature may be due to a collective heating effect of many  
376 nanoparticles within the excitation volume as mentioned by authors.<sup>[66]</sup> Our results are  
377 consistent with data of authors<sup>[67]</sup> indicating that the cancerous tissues can be overheated above  
378 the physiological level (typically 39–45 °C). In our case the heating reaches up to  $\approx$  40–43°C  
379 ( $\Delta T \approx 3$ –6 °C). The temperature profiles of colloidal AuNPs solutions (not stabilized by  
380 polymers) with different particle sizes were studied.<sup>[68]</sup> The temperature of the AuNPs solution  
381 increased exponentially upon laser illumination ( $\lambda = 532$  nm), reached the equilibrium after  $\sim$   
382 1200 s, and then returned to the ambient value after discontinuing irradiation. Upon irradiation  
383 the system is averagely heated up to 31.5 °C ( $\Delta T \approx 6.5$  °C). Our observations are in good  
384 agreement with these results. The photothermal properties of gold nanoparticles, in addition to  
385 laser power, light wavelength, irradiation time, concentration, size and shape, depend on various  
386 experimental factors, such as stirring, data recording and analysis, and the effective mass of the  
387 system. Moreover, in presence of natural tissue, other molecules adsorb 530 nm light (e.g.  
388 hemoglobin and myoglobin), which makes the irradiation less effective. However, 530 nm light  
389 corresponds to the maximum of SPR and makes research on the photothermal phenomenon  
390 more effective. Whereas the 530 nm light is the most appropriate for laboratory tests and basic  
391 studies, it is less suitable for work with natural tissues. Short wavelengths are often adsorbed  
392 by natural molecules, e.g. hemoglobin and myoglobin have absorbance maxima about this  
393 value. This decreases effectiveness of the photothermal treatment and one has to work with  
394 longer wavelengths. In our case we used 780 nm light, which guaranteed that the studies  
395 photothermal effect originates from the light absorbance.

### 396 3.1.2. Efficacy of PTT treatment in Ehrlich cancer cells

397 Ehrlich cancer cells were used to investigate the efficacy of AuNPs-based PTT treatment  
398 under the visible light irradiation. Ehrlich tumor is a well-established murine tumor model  
399 frequently employed in many cancer researches, both solid and ascitic forms. Classified as a  
400 carcinoma, it originates in the epithelial tissue of the skin or lining of internal organs. This  
401 tumor was initially derived from a spontaneous mammary adenocarcinoma in a mouse and has  
402 since been propagated in various strains of mice. Ehrlich tumor cells exhibit rapid proliferation

403 and are characterized by their ability to induce ascites fluid accumulation in the peritoneal  
404 cavity when injected intraperitoneally. This tumor model is commonly employed to study tumor  
405 biology, tumor immunology, anti-cancer drug screening, and evaluating therapeutic  
406 interventions. Such attributes as high growth rate, good reproducibility, metastatic potential,  
407 and relative stability in morphological and biological characteristics were the reason to use  
408 Ehrlich cancer cells in this study.<sup>[69-73]</sup> In order to assess the potential effect of bio-nano  
409 interactions, *in vitro* experiments were performed using an HBSS buffer solution. First, Ehrlich  
410 cancer cells were dispersed in a buffer solution at a ratio of 1:9. Then, 1 mL of the suspension  
411 of extracted Ehrlich cancer cells was mixed with 1 mL of either polymer-stabilized AuNPs or  
412 AuNRs for 5 min. The suspension was irradiated with visible (530 nm) and NIR (780 nm) laser  
413 lights for 60 min at an intensity of 10 and 90 mW, respectively. Microphotographs of the cells  
414 were then acquired from randomly selected 10 points in a Goryaev chamber and Ehrlich tumor  
415 cells in the visible area of the microscope were counted (Figure 4). Interestingly, the number of  
416 cells was considerably reduced in the presence of AuNSs stabilized with PVP 40 kDa even  
417 without irradiation after 40 days of examination (Figure 4B). An explanation of this  
418 phenomenon requires further experiments. No significant cell damage was observed in control  
419 experiments without AuNSs, indicating that the membranes were intact and that the cells have  
420 not been affected very much during 60 min of laser irradiation. However, due to an increase in  
421 the temperature of the medium up to 39 °C when the samples were irradiated with either a  
422 visible or NIR light source for 60 min and further inspection during the experimental period,  
423 there was some reduction in the number of cells recorded in 40 days. As such there was no  
424 statistically significant difference between the numbers recorded in control samples with and  
425 without irradiation.





426

427 Figure 4. Microphotographs of a suspension of Ehrlich cancer cells irradiated with visible (530  
428 nm) light. (A): before irradiation and without AuNSs; (B): in the presence of AuNSs–PVP 40  
429 kDa with no irradiation (in 40 days). After irradiation and in 40 days: without AuNSs (C), in  
430 the presence of AuNSs–PVP 40 kDa (D). PVP 40 kDa – poly(*N*-vinylpyrrolidone) with Mn 40  
431 kDa.

432 Irradiating cancer cells using visible light at 530 nm in the presence of spherical AuNPs  
433 stabilized with polymers and without yielded good results. Figure 5 displays the reduction in  
434 the number of cancer cells counted from microphotographs taken from randomly selected 10  
435 points (numerical values are shown in Table S1). For instance, the number of cancer cells  
436 counted after 30 – 40 days decreased by 10 – 40 times in comparison with the control samples  
437 ( $p < 0.0001$ ). It should be noted that the number of cells in the presence of AuNSs stabilized  
438 with polymers was reduced even without irradiation. Probably the gold nanoparticles retard the  
439 growth of cancer cells, however, irradiation enhances this process. The true mechanism of this  
440 phenomenon is not well understood and will be clarified in subsequent experiments.

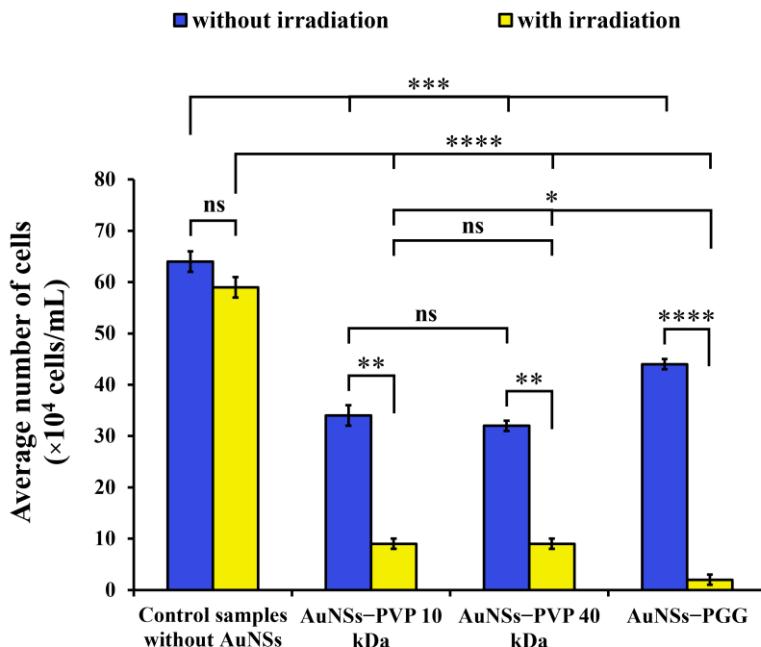
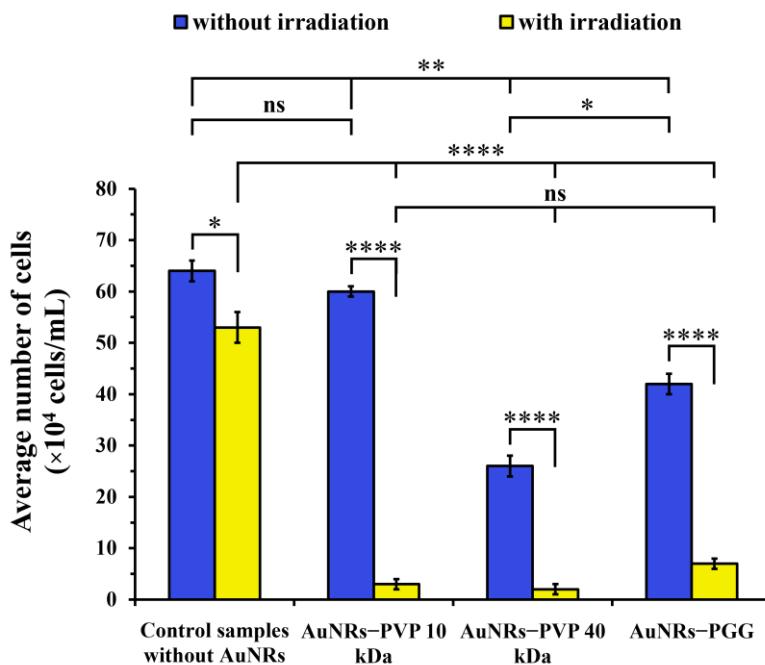


Figure 5. Number of cancer cells in control samples and in the presence of polymers-stabilized AuNSs when exposed to the visible light source at 530 nm and without irradiation. The results are presented after 40 days of examination. Data are expressed as mean  $\pm$  SEM of triplicate with  $n = 10$  measurements in each. Statistically significant differences are given as: \*\*\*\* =  $p < 0.0001$ ; \*\*\* =  $p < 0.001$ ; \*\* =  $p < 0.01$ ; \* =  $p < 0.05$ ; ns denotes no significance. AuNSs – spherical gold nanoparticles; PGG – pristine gellan gum; PVP 10 kDa and 40 kDa – poly(*N*-vinylpyrrolidone) with Mn 10 and 40 kDa, respectively.

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Experimental results showing the effects of irradiation on the cancer cells using NIR light at 780 nm in the presence of AuNRs stabilized with polymers and control samples are illustrated in Figure 6 (numerical values are presented in Table S2). The results are presented after 40 days of examination. The number of damaged cancer cells following irradiation increased markedly after 30-40 days of inspection, particularly, there was a statistically significant difference between the numbers recorded for polymer-stabilized AuNRs and control samples without gold nanorods ( $p < 0.0001$ ). At the same time, no statistically significant difference was observed between the samples of AuNRs stabilized with polymers followed by irradiation and in 40 days of observation, demonstrating a similar PTT effect. Numerous studies have shown that gold nanorods with an appropriate dimension ( $28 \times 8$  nm) are the most effective for PTT compared to other types of gold nanoparticles, such as nanospheres and nanoshells.<sup>[9,56]</sup> Despite the AuNRs having slightly larger dimensions in size, as reported in the present work (Table 2),

461 overall, polymer-coated AuNRs demonstrated good efficacy in PTT treatment *in vitro* and  
 462 showed a comparable PTT effect as to spherical AuNPs.



463  
 464 Figure 6. Number of cancer cells in control samples and in the presence of polymer-stabilized  
 465 AuNRs when exposed to NIR light irradiation at 780 nm and without irradiation. The results  
 466 are presented after 40 days of examination. Data are expressed as mean  $\pm$  SEM of triplicate  
 467 with  $n = 10$  measurements in each. Statistically significant differences are shown as: \*\*\* =  $p$   
 468  $< 0.0001$ ; \*\* =  $p < 0.01$ ; \* =  $p < 0.05$ ; ns denotes no significance. AuNRs – gold nanorods;  
 469 PGG – pristine gellan gum; PVP 10 kDa and 40 kDa – poly(*N*-vinylpyrrolidone) with Mn 10  
 470 and 40 kDa, respectively.

471 In our experiments the photothermal conversion efficiency of AuNPs irradiated with 530  
 472 and 780 nm laser sources were not evaluated. As seen from Figure 1, for polymer-stabilized  
 473 AuNSs and AuNRs the adsorption maximums are in the range of  $535 \pm 5$  nm and close to  $\approx 800$   
 474 nm. The physiotherapeutic Lasmik® laser apparatus used for irradiation had only two laser  
 475 sources with a wavelength of 530 and 780 nm. In case of AuNSs the absorption band at  $\lambda \approx$   
 476  $535 \pm 5$  nm more or less corresponds to laser light at 530 nm. But the adsorption peaks of AuNRs  
 477 at  $\lambda \approx 800$  nm considerably deviates from the laser light at 780 nm. Probably this is the reason  
 478 of less efficiency AuNRs in light-to-heat conversion compared to AuNSs. The photothermal  
 479 conversion efficiency of different shapes of AuNPs under laser irradiation has been reported.<sup>[74-  
 480 77]</sup> In particular the photothermal conversion efficiency of gold nanospheres (AuNSs) and gold

481 nanorods (AuNRs) was evaluated at different irradiation intensities of near-infrared (NIR)  
 482 broadband (754-816 nm) and NIR laser (808 nm) irradiation.<sup>[78]</sup> It was shown that the  
 483 photothermal conversion efficiency of AuNSs and AuNRs is comparable. But the spherical gold  
 484 nanoparticles might be preferable for hyperthermia applications with a higher accumulation rate  
 485 within the tumor sites.

486 *3.1.3. In vivo study of the photothermal effect of AuNPs*

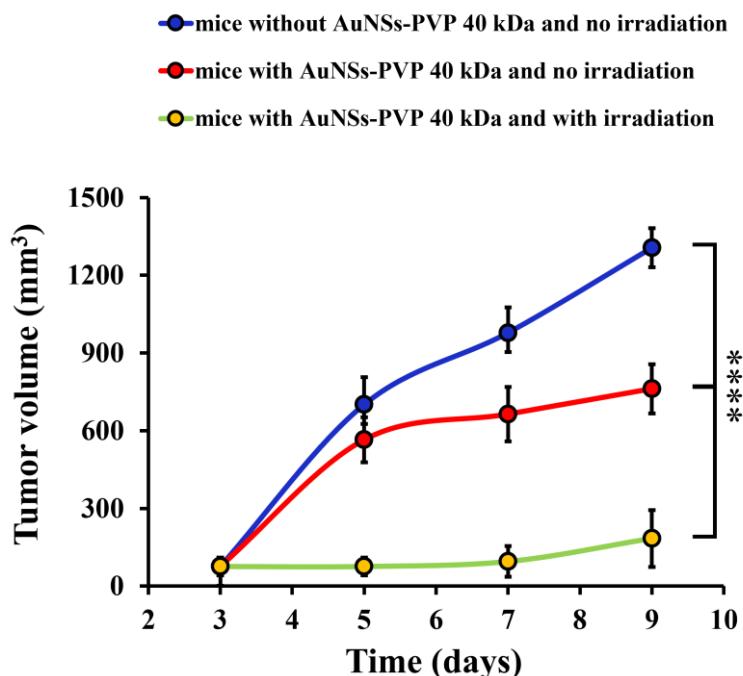
487 As the *in vitro* experiments demonstrated successful photothermal destruction of cancer  
 488 cells in the presence of polymer-stabilized AuNSs under irradiation with visible light,  
 489 subsequent *in vivo* studies were conducted to evaluate the therapeutic efficacy of this approach  
 490 further. Tumor development was induced by injecting cancer cells into the left flanks of CD-1  
 491 mice subcutaneously (see Figure S4A), after which the tumors were allowed to grow for a  
 492 period of 10 days. This is consistent with the results of authors<sup>[72]</sup> because the death of the  
 493 animal with Ehrlich ascites carcinoma occurs between 10 and 14 days after cell inoculation  
 494 depending on (I) the concentration of inoculated cells, (II) the amount of fluid in the peritoneal  
 495 cavity, which causes abdominal pressure and compression of the organs, and (III) the number  
 496 of passages, in which repeated transplantation increases malignancy and tumor proliferation.  
 497 Before each exposure, the linear dimensions of the tumors in animals were measured using a  
 498 caliper (see Figure S4B). The volumes of the tumors were then calculated using the following  
 499 equation:

$$V = \frac{4}{3} \pi R^3 \quad (1)$$

500 where R is the radius of the tumor, and  $\pi$  is a value equal to 3.14.

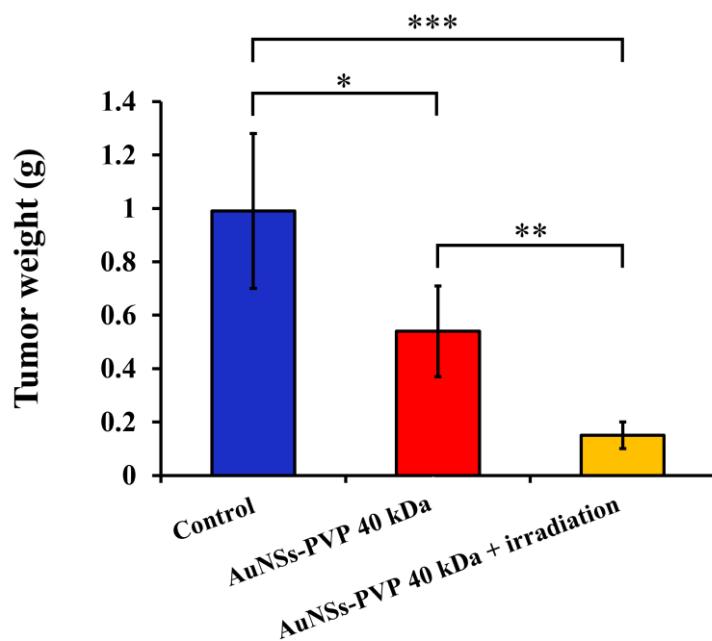
501 Laser irradiation of tumor-bearing mice injected with AuNSs stabilized with PVP 40 kDa  
 502 demonstrated a remarkable PTT effect (Figure 7). This result shows that further tumor growth  
 503 is successfully inhibited by AuNSs–PVP injection in combination with a visible light laser  
 504 irradiation.

505 Three days following the last exposure (see Figure S4C), the animals were humanely  
 506 sacrificed, and the tumors were removed and weighed (Figure 8 and Figure S4D). The average  
 507 tumor weight in the control group was  $1.00 \pm 0.30$  g; in Group 2 with AuNSs–PVP 40 kDa it  
 508 was  $0.54 \pm 0.20$  g; in Group 3 with AuNSs–PVP 40 kDa and irradiation it was  $0.15 \pm 0.04$  g.  
 509 Figure 8 shows the comparison between tumor weight in different groups of animals measured  
 510 when the animals were sacrificed.



511

512 Figure 7. Tumor growth in each treatment group as monitored for 9 days. Data are expressed as  
 513 mean  $\pm$  SD values ( $n = 5$ ). Statistically significant difference is represented as \*\*\* =  $p <$   
 514 0.0001. PVP 40 kDa – poly(*N*-vinylpyrrolidone) with Mn 40 kDa.



515

516 Figure 8. Tumor weight in different groups of animals measured when the animals were  
 517 sacrificed. Data are produced as mean  $\pm$  SD ( $n = 5$ ). Statistically significant differences are  
 518 represented as: \*\*\* =  $p < 0.001$ ; \*\* =  $p < 0.01$ ; \* =  $p < 0.05$ . PVP 40 kDa – poly(*N*-  
 519 vinylpyrrolidone) with Mn 40 kDa.

520 The tumor growth inhibition coefficient (TGIC) was calculated using the following equation:

$$TGIC = \frac{V_k - V_0}{V_k} \times 100\% \quad (2)$$

521 where,  $V_k$  is the volume (or weight) of the tumor in the control group of animals, and  $V_0$  is the  
522 volume (or weight) of the tumor in each experimental group of animals.

523 The comparison of the sizes of tumors from different groups of mice was performed and  
524 the results are summarized in Table 3. The visual inspection of tumor sizes is depicted in Figure  
525 S5. Samples with AuNSs–PVP 40 kDa and without irradiation exhibited a reduced tumor  
526 growth after 3 days by  $19 \pm 10\%$ , which was an effect that gradually increased after 7 days,  
527 reaching  $42 \pm 5\%$ . Samples with AuNSs–PVP 40 kDa and with irradiation showed a greater  
528 effect with up to  $90 \pm 6\%$  reduction in the tumor size after 7 days. In 9 days TGIC calculated  
529 using the tumor weight in samples with AuNSs–PVP 40 kDa and without irradiation was  $43 \pm$   
530  $23\%$  and in samples with AuNSs–PVP 40 kDa plus irradiation reached  $85 \pm 3\%$ . Based on these  
531 results, it can be concluded that the spherical AuNSs stabilized using PVP 40 kDa could be  
532 considered as suitable candidates to inhibit Ehrlich tumor growth and could potentially be used  
533 in PTT treatment.

534 Table 3. Inhibition of tumor growth with intratumoral injection of AuNSs–PVP (40 kDa) before  
535 and after irradiation at  $\lambda = 633$  nm.

Samples	Inhibition (%)			
	Tumor volume		Tumor weight	
	3 days	5 days	7 days	9 days
AuNSs–PVP 40 kDa without irradiation	$19 \pm 10$	$32 \pm 12$	$42 \pm 5$	$43 \pm 23$
AuNSs–PVP 40 kDa with irradiation	$86 \pm 8$	$90 \pm 4$	$90 \pm 6$	$85 \pm 3$

536 PVP 40 kDa – poly(*N*-vinylpyrrolidone) with  $M_n$  40 kDa.

#### 537 4. Conclusion

538 Spherical and rod-like gold nanoparticles protected with poly(*N*-vinylpyrrolidone),  
539 pristine gellan gum, and poly(2-ethyl-2-oxazoline)-grafted gellan gum were prepared and  
540 characterized in this study. The gold nanoparticles exhibited the presence of characteristic

541 surface plasmon resonance (SPR) bands. The nanoparticles were analyzed for their applicability  
542 as photothermal therapy (PTT) agents with respect to Ehrlich cancer cells when exposed to the  
543 visible light source. Following the dialysis, the average hydrodynamic size of AuNPs reduced  
544 by approximately 2-3 times and the zeta potential decreased by  $\sim$ 1.5-2 times, indicating that the  
545 AuNPs are suitable for PTT. Experiments with *ex vivo* porcine stomach tissues containing  
546 AuNSs were performed to determine the photothermal effect of nanoparticles when exposed to  
547 the visible light source. In the course of laser irradiation of the tissue at 530 nm, the highest  
548 temperature recorded was  $43 \pm 0.5$  °C for AuNSs stabilized using PVP 40 kDa. *In vitro*  
549 experiments demonstrated a similar PTT effect for Ehrlich cancer cells containing polymer-  
550 protected AuNPs upon irradiation both at 530 and 780 nm. After 40 days of examination, the  
551 number of Ehrlich cancer cells decreased by 10-40 times in comparison with the control  
552 samples. *In vivo* experiments on mice revealed that injection of AuNSs–PVP 40 kDa followed  
553 by irradiation with visible light considerably decreased the size of tumors, indicating that  
554 polymer-stabilized gold nanoparticles could potentially be used in the PTT treatment of Ehrlich  
555 tumors. The AuNPs developed and stabilized with polymers in this work might potentially be  
556 considered as a platform for the PTT treatment of not only Ehrlich tumors, but also other type  
557 of carcinoma. In near perspectives our study may be related to light-to-heat conversion  
558 efficiency evaluation of AuNSs and AuNRs, toxicological experiments, study the  
559 mucoadhesive properties polymer-protected AuNPs to improve the adhesion to cancer cell,  
560 modification the surface of AuNPs with poly(ethyleneglycol) to enhance the cellular uptake,  
561 conjugation of AuNPs with the anti-cancer drug doxorubicin *etc*. In future perspectives the  
562 “green” synthesis using the natural substances (for instance, bacterium, fungi, and plants) may  
563 contribute to reducing and stabilizing agents for the synthesis of AuNPs and enhance their  
564 medical properties such as anti-microbial and anti-cancer activity.

## 565 Supporting Information

566 The following supporting information can be downloaded at: [www.mdpi.com/xxx/s1](http://www.mdpi.com/xxx/s1).  
567 Figure S1: A Lazmik® laser apparatus and the process of tissue irradiation after injection of the  
568 polymer-stabilized gold nanoparticles within an incubator; Figure S2: Size distributions (before  
569 and after dialysis) of spherical gold nanoparticles stabilized with different polymers determined  
570 using DLS; Figure S3: TEM images of polymer-stabilized spherical gold NPs and rod-like gold  
571 NPs; Table S1 and Table S2: Number of cancer cells counted in control samples and in the  
572 presence of polymer-stabilized AuNSs and AuNRs followed by irradiation with visible light  
573 (530 nm) and NIR light (780 nm) sources, respectively, and results are presented during 40 days

574 of examination; Figure S4: The process of injection of colloidal AuNSs into mice, measuring  
575 the tumor size, irradiating the tumor with a light source, and tumor removal from an animal;  
576 Figure S5: Visual comparison of the sizes of tumor samples removed from different groups of  
577 mice.

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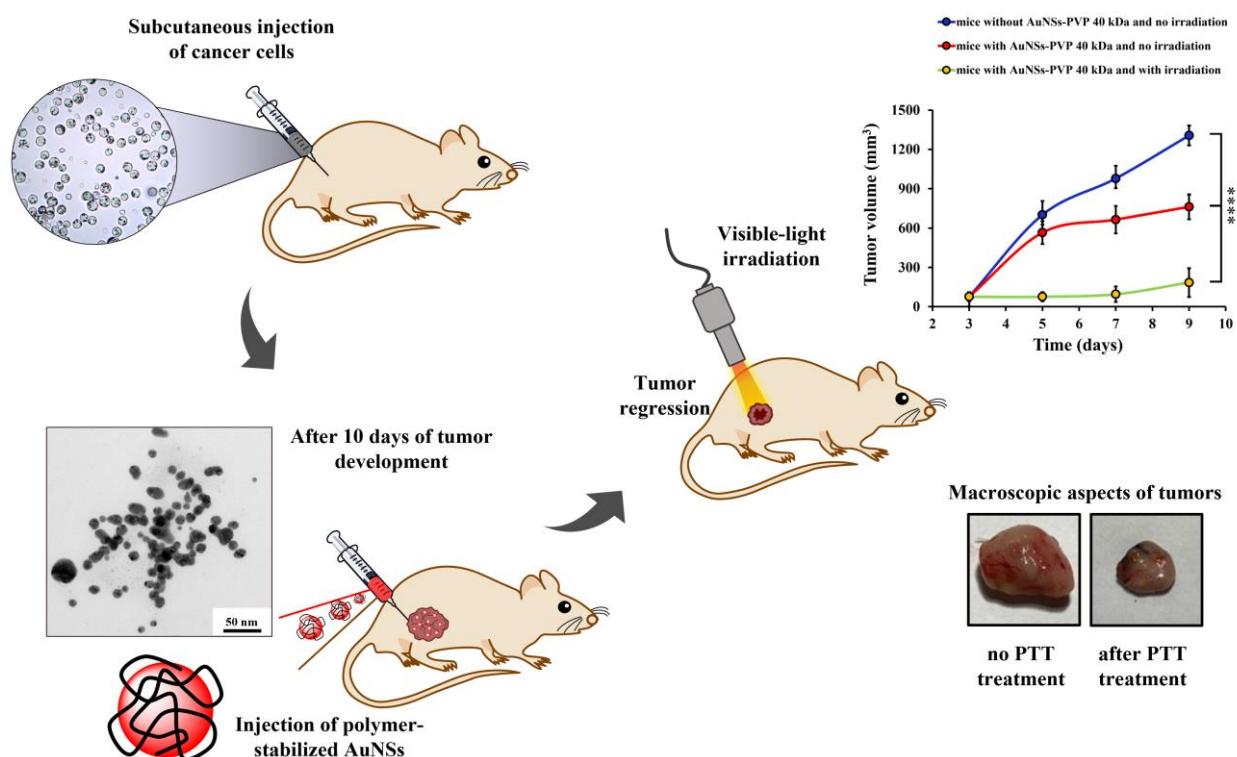
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The spherical (AuNSs) and rod-like gold nanoparticles (AuNRs) are stabilized by poly(N-vinylpyrrolidone), pristine gellan gum, and poly(2-ethyl-2-oxazoline)-grafted gellan gum. Polymer-protected AuNSs and AuNRs suppress the growth of Ehrlich cancer cells by 10-40 times compared to the control. *In vivo* experiments demonstrate a significant decrease in tumor size of mice after injection of AuNSs-PVP 40 kDa and irradiation with visible light.

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**Polymer-protected gold nanoparticles for photothermal treatment of Ehrlich adenocarcinoma: in vitro and in vivo studies**



## Supporting Information

**Polymer-protected gold nanoparticles for photothermal treatment of Ehrlich adenocarcinoma: in vitro and in vivo studies**

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Irradiation of polymer-coated gold nanoparticles was carried out using a physiotherapeutic laser apparatus Lazmik® (Moscow, Russia) (Figure S1A). Experiments were carried out within an incubator that maintained appropriate temperature and humidity (Figure S1B).

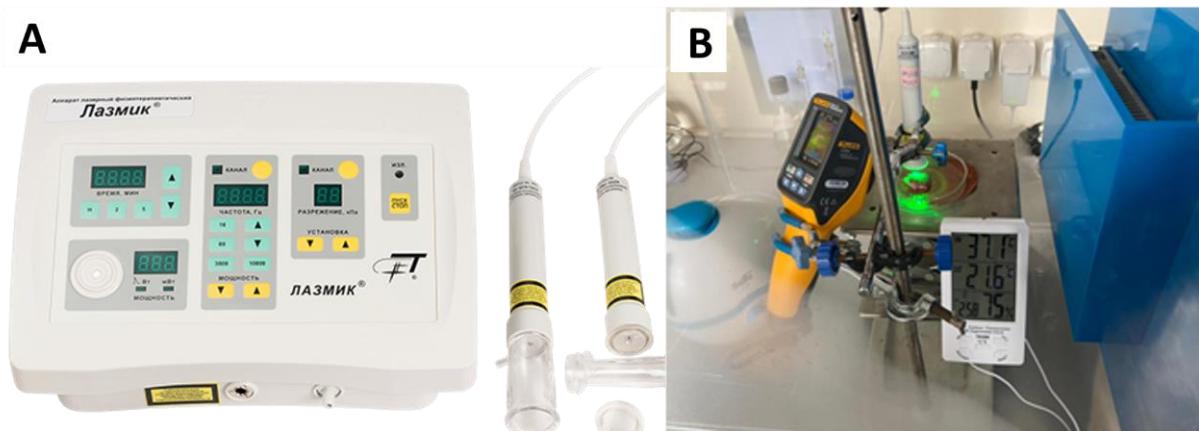


Figure S1. A Lazmik® laser apparatus (A) and the process of tissue irradiation after injection of the polymer-stabilized gold nanoparticles within an incubator (B).

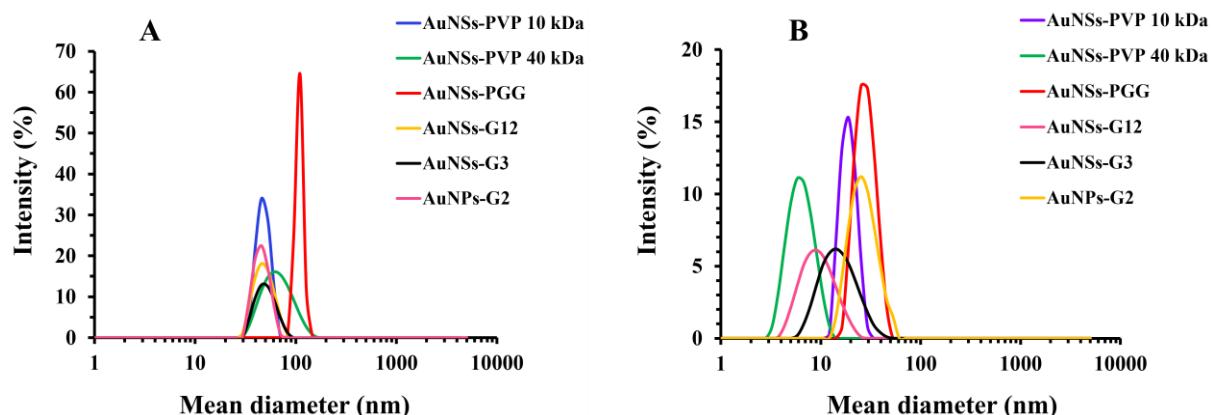


Figure S2. Size distributions of spherical gold nanoparticles stabilized with different polymers as determined by DLS; before (A) and after dialysis (B).

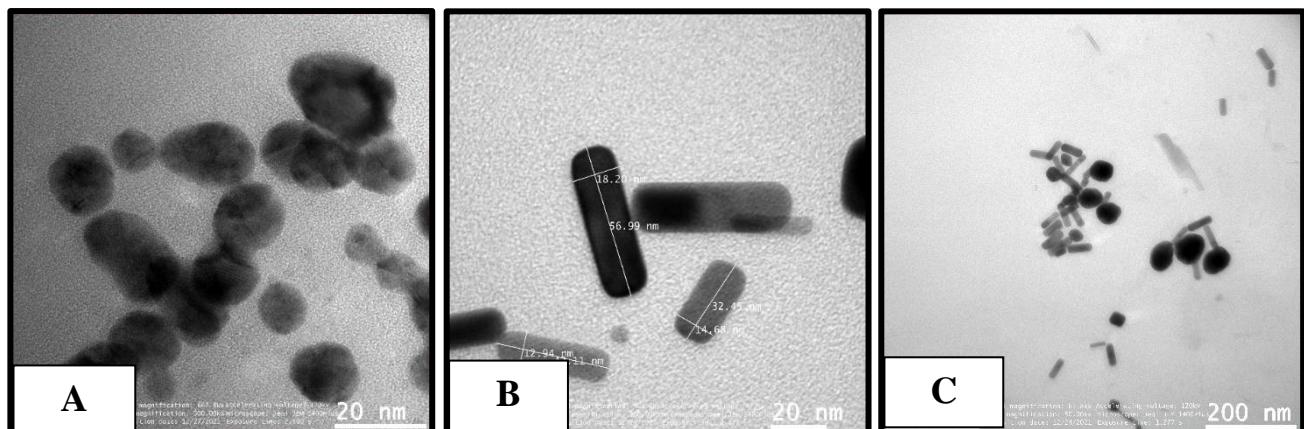


Figure S3. TEM images of spherical gold NPs stabilized using pristine gellan gum (A); rod-like gold NPs stabilized using PVP 10 kDa (B) and G2 – poly(2-ethyl-2-oxazoline)-grafted gellan gum (C).

Table S1. Number of cancer cells counted in control samples and in the presence of AuNSs stabilized using PVP 10 and 40 kDa and PGG followed by irradiation with a visible light source at 530 nm and results are presented during 40 days of examination.

Days	Control samples without AuNSs		AuNSs–PVP 10 kDa		AuNSs–PVP 40 kDa		AuNSs–PGG	
	without	with	without	with	without	with	without	with
	irradiation	irradiation	irradiation	irradiation	irradiation	irradiation	irradiation	irradiation
0	202 ± 1	202 ± 1	120 ± 1	98 ± 1	79 ± 1	96 ± 2	77 ± 1	112 ± 1
1	190 ± 2	196 ± 1	92 ± 1	96 ± 1	71 ± 1	92 ± 1	77 ± 1	77 ± 1
5	158 ± 2	173 ± 1	71 ± 1	6 ± 1	65 ± 1	29 ± 1	65 ± 1	7 ± 1
10	131 ± 1	164 ± 1	62 ± 1	9 ± 1	62 ± 1	20 ± 2	60 ± 1	5 ± 1
15	130 ± 1	153 ± 1	55 ± 2	8 ± 1	50 ± 1	19 ± 1	56 ± 1	9 ± 1
20	76 ± 1	131 ± 1	47 ± 1	14 ± 2	46 ± 1	19 ± 1	48 ± 1	7 ± 1
30	94 ± 1	140 ± 1	36 ± 1	19 ± 1	33 ± 1	7 ± 1	46 ± 1	5 ± 1
40	64 ± 2	59 ± 2	34 ± 2	9 ± 1	32 ± 1	9 ± 1	44 ± 1	2 ± 1

Data are expressed as mean ± SEM (n = 10) of triplicate.

Table S2. Number of cancer cells counted in control samples and in the presence of AuNRs stabilized using PVP 10 and 40 kDa and PGG followed by irradiation with a near-infrared (NIR) light source at 780 nm and results are presented during 40 days of examination.

Days	Control samples without AuNRs		AuNRs–PVP 10 kDa		AuNRs–PVP 40 kDa		AuNRs–PGG	
	without	with	without	with	without	with	without	with
	irradiation	irradiation	irradiation	irradiation	irradiation	irradiation	irradiation	irradiation
0	202 ± 1	202 ± 1	107 ± 3	118 ± 4	97 ± 4	107 ± 3	92 ± 4	87 ± 5
1	190 ± 2	191 ± 1	89 ± 6	93 ± 4	90 ± 6	95 ± 2	80 ± 3	53 ± 1
5	158 ± 2	161 ± 1	79 ± 3	59 ± 3	71 ± 3	53 ± 2	75 ± 2	49 ± 1
10	131 ± 1	157 ± 1	73 ± 3	50 ± 1	68 ± 2	28 ± 2	60 ± 2	32 ± 1
15	130 ± 1	123 ± 1	73 ± 2	29 ± 1	68 ± 3	20 ± 2	56 ± 1	24 ± 2
20	76 ± 1	101 ± 1	68 ± 2	15 ± 1	44 ± 4	8 ± 1	56 ± 1	11 ± 1
30	94 ± 1	43 ± 3	62 ± 2	4 ± 1	42 ± 3	3 ± 1	54 ± 1	8 ± 1
40	64 ± 2	53 ± 3	60 ± 1	3 ± 1	26 ± 2	2 ± 1	42 ± 2	7 ± 1

Data are expressed as mean ± SEM (n = 10) of triplicate.

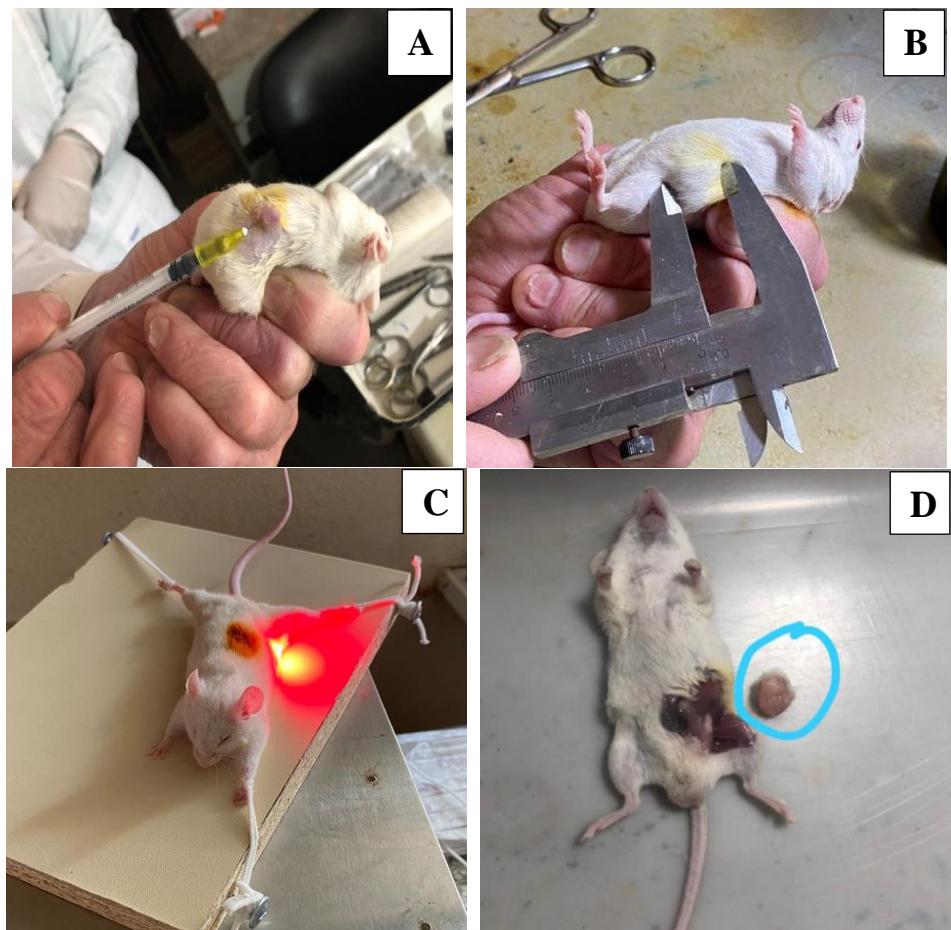


Figure S4. Injection of AuNSs–PVP 40 kDa into the left flanks of mice (A); measuring the tumor size using a caliper (B); irradiating the tumor using a laser light source at  $\lambda = 633$  nm (C); tumor removal by dissecting the peritoneum (D).



Figure S5. Visual comparison of the sizes of tumor samples removed from different groups of mice. Row 1: Tumor samples taken from the Control group, without injection of AuNSs–PVP 40 kDa and no irradiation; Row 2: Tumor samples taken after injection of AuNSs–PVP 40 kDa and no irradiation; Row 3: Tumor samples taken after injection of AuNSs–PVP 40 kDa and irradiation with  $\lambda = 633$  nm light source.