

# *The Hadley circulation in a changing climate*

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# The Hadley Circulation in a changing climate

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The Hadley circulation (HC) is a global-scale atmospheric feature with air descending in the subtropics and ascending in the tropics, which plays a fundamental role in Earth's climate because it transports energy polewards and moisture equatorwards. Theoretically, as a consequence of anthropogenic climate change, the HC is expected to expand polewards, while indications on the HC strength are equivocal, as weakening and strengthening are expected in response to different mechanisms. In fact, there is a general agreement among reanalyses and climate simulations that the HC has significantly widened in the last four decades and it will continue widening in the future, but no consensus on past and future changes of the HC strength. Substantial uncertainties are produced by the effects of natural variability, structural deficiencies in climate models and reanalyses, and the influence of other forcing factors, such as anthropogenic aerosols, black carbon, and stratospheric and tropospheric ozone. The global HC can be decomposed

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**Abbreviations:** AHT: Atmospheric Heat Transport with AHT(0) denoting its value at the equator; AMIP: Atmospheric Model Intercomparison Project; AMOC: Atlantic Meridional Overturning Circulation; BC: Black Carbon; CMIP: Coupled Model Intercomparison Project; DJF, MAM, JJA, SON: trimesters (December-January-February, March-April-May, June-July-August, September-October-November); DSE: Dry Static Energy; ERA5: ECMWF Reanalysis 5; EFE: Energy Flux Equator; ENSO: El-Niño Southern Oscillation; GHG: Green-House Gases; GMS: Gross Moist Stability; HC: Hadley circulation; ITCZ: Inter-Tropical Convergence Zone; LGM: last glacial maximum; MSE: Moist Static Energy; MSF: Meridional Stream Function; NEI: Net Energy Input; NH: Northern Hemisphere; OHT: Ocean Heat Transport; PIcontrol: Preindustrial control PDO: Pacific decadal oscillation; PMIP: Paleoclimate Modelling Intercomparison Project; SH: Southern Hemisphere; SST: Sea Surface Temperature; STC: shallow wind-driven Sub-Tropical overturning Cell; TOA: Top-of-Atmosphere

\* All authors share the content of the article, particularly of the final section. PL has coordinated the review. MS, DF, RD and HG have lead sections 2, 3, 4, and 5, respectively.

18  
19 in three regional HCs, associated with ascending motion  
20 above Equatorial Africa, the Maritime Continent, and Equa-  
21 torial America, which have evolved differently during the  
22 last decades. Climate projections suggest a generalized ex-  
23 pansion in the Southern Hemisphere, but a complex regional  
24 expansion/contraction pattern in the Northern Hemisphere.

#### 25 KEY WORDS

26 Hadley circulation, Climate Change, Monsoons, Inter-Tropical  
27 Convergence Zone, Expansion, Strength, regional Hadley cells

## 28 1 | INTRODUCTION

29 The Hadley circulation (HC) is a global scale atmospheric feature that imports moisture in the tropics and exports  
30 energy and angular momentum from the tropics to the sub-tropics, playing a key role in modulating the regional hy-  
31 drological cycle. The HC consists of two cells, one for each hemisphere, which share an ascending branch in the  
32 tropics (the Inter-Tropical Convergence Zone, ITCZ) and have their descending branches in the subtropics. The as-  
33 cending and descending branches are connected by a flow that in the upper troposphere diverges from the common  
34 central ascending branch, exporting energy away from the tropics and in the lower troposphere converges toward the  
35 ITCZ, importing moisture.

36

37 Variations in the characteristics of the HC are closely associated with those of other global-scale features of the  
38 atmospheric circulation, such as monsoons, the mean position of subtropical high pressure systems, the position of jet  
39 streams, and the position and intensity of storm tracks. Variations of the HC affect the meridional energy and moisture  
40 transport, the tropical and subtropical hydrological cycle and related precipitation regimes at multiple spatial scales  
41 [16, 139, 108, 170, 253]. The ascending motion of moist air in the ITCZ is associated with low level convergence,  
42 heavy precipitation and deep convective systems, and its structural changes affect tropical precipitation maxima and  
43 monsoons [71, 244, 135]. The descending motion is among the mechanisms determining the low precipitation and  
44 arid climates found in the subtropics [29, 193]. Consequently, ecosystems, human settlements, agriculture and water  
45 resources across the tropics and subtropics are potentially affected by variations in the HC, particularly in monsoon  
46 regions and vulnerable semi-arid areas, such as the Mediterranean, the southwestern United States and northern  
47 Mexico, southern Australia, southern Africa, and parts of South America and it is expected to influence the future  
48 evolution of precipitation in many of these semi-arid regions [197, 22, 50].

49

50 Historically, the HC was first detected in surface wind maps in the late seventeenth century by Edmond Halley  
51 [92], who sought to explain the observed surface wind convergence in what is now known as the ITCZ as a result of  
52 solar heating in the tropics. About fifty years later George Hadley [91] applied the concept of momentum conserva-  
53 tion to explain the observed westward surface flow characterizing the subtropical trade winds. Though it took about  
54 two centuries to fully appreciate the relevance of those studies and to complement surface with upper troposphere  
55 observations [100], the structure of the HC and its dynamics have long been in the background of dynamical meteorol-  
56 ogy [144, 145]. Studies addressing the characteristics of the HC during past climate conditions when the spatial and

57 seasonal distribution of the solar forcing was quite different from the present (typically the last glacial maximum, LGM,  
 58 ~21 kyr BP, and the mid-Holocene, ~6 kyr BP) date back to the 1950's. The interest of the scientific community was  
 59 increased in the 1970's, when numerical simulation of the atmospheric circulation became feasible [e.g. 242, 21, 116].  
 60 In the last few decades, the volume of scientific literature dedicated to studies of the HC has become extremely large  
 61 [see 59, 152, for an extensive documentation] and in the 2000's the effect of anthropogenic greenhouse gas (GHG)  
 62 on HC has emerged as a major research topic [192, 188, 110, 185, and subsequent articles cited in this review]. Several  
 63 review papers have been written on the Hadley circulation (e.g. [204, 15, 149, 217, 216]). Though some overlap  
 64 between this review and others is unavoidable, our paper aims to provide a distinct contribution as it focuses on the  
 65 underlying theoretical considerations, it discusses in detail the regional characterization of the HC and it emphasizes  
 66 both HC width and strength.

67

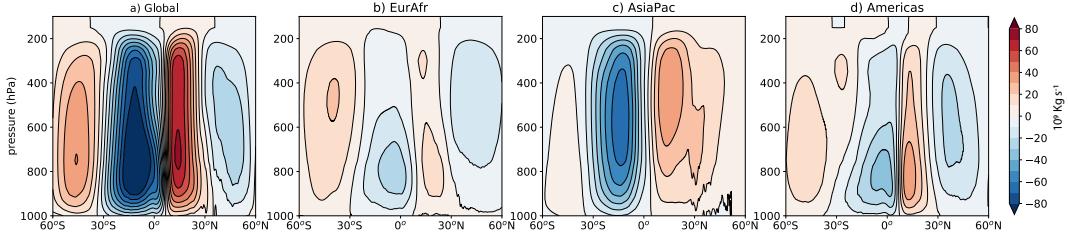
68 The HC is traditionally described using the global Meridional Stream Function  $\Psi(\phi, p)$  [MSF, kg/s 171, 172]:

$$\Psi(\phi, p) = \frac{2\pi R_e \cos \phi}{g} \int_0^p v(\phi, p') dp', \quad (1)$$

69 where  $R_e$  is the Earth's radius,  $\phi$  is latitude,  $g$  is the gravitational acceleration,  $p$  is the atmospheric pressure and  $v$  is  
 70 the zonal mean meridional velocity. The global MSF is used to compute properties such as the strength and position  
 71 of the equatorial ascending branch, the poleward edges of the cells, and the overall HC width. The computation of the  
 72 MSF using winds provided by profile soundings became possible only in the second half of the 20<sup>th</sup> century. After pre-  
 73 liminary attempts in the 1950's, the first reconstructions were completed in the 1960's [175, 232] and by the 1970's  
 74 reconstructions became sufficiently accurate to allow a monthly climatology [171]. In the late 1990's meteorological  
 75 reanalyses became available and provided a surrogate of global observations. Instead of using the MSF, some studies  
 76 have attempted to estimate characteristics and variations of the HC using the surface variables that are affected by it  
 77 and a variety of different metrics. The HC poleward edges have been identified based on thresholds of the outgoing  
 78 long-wave radiation [110, 119, 57, 35, 56, 1, 50], the subtropical absolute precipitation minima [112, 35, 36, 50], the  
 79 zero crossing latitude of the precipitation-evaporation imbalance [147, 119, 57, 52, 1, 56, 217, 50], the zonal-surface-  
 80 wind zero crossing [10] and the subtropical maxima of the mean sea-level pressure [56, 1, 112, 50]. Proxies of pre-  
 81 cipitation are often used for geological time scales, including glacial and interglacial cycles and most of the present  
 82 Holocene epoch [e.g. 157]. However, these variables allow only a partial reconstruction of the full tri-dimensional  
 83 structure of the HC and some of them poorly correlate with each other [241, 53]. Though some metrics, such as the  
 84 mid-latitude eddy-driven jet, the edge of the subtropical dry zones, and the Southern Hemisphere subtropical highs  
 85 exhibit variability and trends consistent with those of the zero crossing latitude of the MSF, others, such as those  
 86 based on the outgoing longwave radiation, the position of the subtropical jet, the break in the tropopause, and the  
 87 Northern Hemisphere subtropical highs appear to behave differently [241]. Therefore, metrics other than those based  
 88 on the MSF are not explicitly considered in this review.

89

90 In the annual mean, the global MSF shows two cells, roughly symmetric with respect to the equator, rotating in  
 91 opposite directions (Fig. 1a). This representation corresponds to the transient condition at the equinoxes, while the  
 92 solstitial condition exhibits one dominant cell extending from the mid-subtropics in the summer hemisphere to the  
 93 edge of the subtropics in the opposite winter hemisphere (Fig. 2, [60]). Furthermore, the HC exhibits pronounced  
 94 regional variability, as trade winds respond to ocean interbasin thermal and moisture flux contrasts [150, 159, 44] and  
 95 atmospheric flow over land exhibits zonal asymmetries due to surface inhomogeneities and local monsoonal circula-  
 96 tions [227, 44]. The global MSF is a smoothed result of the superposition of distinct regional meridional overturning



**FIGURE 1** Meridional stream function MSF over the period 1979-2019: global MSF  $\Psi$  (a) and regional MSF  $\Psi_R$  of the Europe-Africa sector (b, EurAfr=20°W-65°E), the Asia-Pacific sector (c, AsiaPac =65°E-140°W) and the sector of the North and South Americas (c, Americas=140°W-20°W). Contour line interval is  $10^{10} \text{ kg} \cdot \text{s}^{-1}$ . All panels are derived from ERA5 [99].

97 circulations that are the consequence of active convection occurring sporadically in time and space in preferred zones  
 98 [105, 104].

99

100 The decomposition of the global MSF into regional components is based on three conceptual steps. The first step  
 101 is to decompose the horizontal flow at each pressure level  $p$  into divergent and non-divergent components. Second,  
 102 the divergent flow is further decomposed in a zonal and a meridional component, which are associated with zonally  
 103 and meridionally oriented overturnings representing the Walker and the Hadley circulations, respectively. In fact, the  
 104 local MSF  $\psi(\lambda, \phi, p)$  can be computed for each longitude  $\lambda$  using the meridional component of the divergent flow  
 105  $v_d(\lambda, \phi, p)$  and ensuring mass conservation:

$$\psi(\lambda, \phi, p) = \frac{1}{g} \int_0^p v_d(\lambda, \phi, p') dp', \quad (2)$$

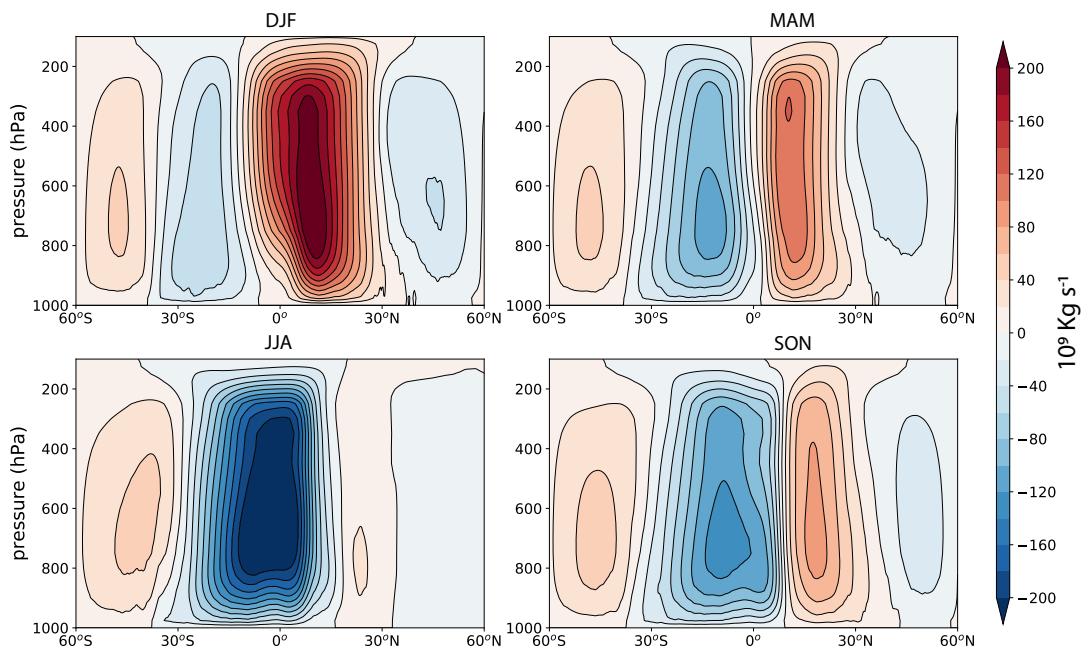
106 which provides a view of the HC whose strength and edges vary continuously with longitude [e.g., 203, 202, 130,  
 107 220, 77, 215, 50]. Specifically,  $\psi(\lambda, \phi, p)$  represents a meridional mass flux density, which can be integrated zonally  
 108 to provide the meridional mass transport across any specified sector. The global MSF can be divided into selected  
 109 regional MSFs  $\Psi_R(\phi, p)$  by computing the regional zonal average  $v_R(\phi, p)$  of  $v_d$  in selected sectors with a longitudinal  
 110 angular extent  $\Delta\lambda_R$  [36, 125, 168, 222]

$$\Psi_R(\phi, p) = \frac{\Delta\lambda_R R_e \cos \phi}{g} \int_0^p v_R(\phi, p') dp'. \quad (3)$$

111 Fig. 1b,c,d shows the resulting regional MSFs for the Europe-Africa, Asia-Pacific, and Americas sectors.

112

113 This review considers the HC focusing on aspects that are relevant for understanding the effects of climate change  
 114 on its characteristics. It does not attempt to review the full body of existing knowledge on the HC, which would  
 115 not be feasible within a single article. Section 2 describes the theoretical understanding of the HC dynamics [e.g.,  
 116 97, 234, 208, 101, 90], strength and width (section 2.1), transient behavior and its connection to monsoons (section  
 117 2.2.3). Section 3 describes the energy budget of the HC, how it relates to the cross equatorial energy transport  
 118 and top-of-atmosphere fluxes. Section 4 describes the HC response to different forcings: GHGs, stratospheric and



**FIGURE 2** Seasonal cycle of the global MSF over the period 1979-2019 derived from ERA5 [99]. Contour line interval is  $2 \cdot 10^{10} \text{ kg} \cdot \text{s}^{-1}$ . Panels represent seasonal means: DJF (December-January-February), MAM (March-April-May), JJA (June-July-August), SON (September-October-November).

119 tropospheric ozone, black carbon, dust and volcanic eruptions and astronomical cycles. Section 5 describes how the  
 120 regional HCs respond to climate change. The status of the knowledge, gaps and present research needs are discussed  
 121 in section 6.

## 122 2 | DYNAMICS OF THE HADLEY CIRCULATION

### 123 2.1 | Scaling of the Hadley Cell

124 A useful starting point for understanding the dynamics of the HC is the subtropical angular-momentum budget, which  
 125 expresses a balance between the advection of angular momentum into the subtropics by the mean circulation and  
 126 the flux of angular momentum out of the subtropics owing to eddies (Fig. 3). An approximate diagnostic for the HC  
 127 strength may be derived by evaluating this budget for the upper branch at the latitude of the center of the HC [e.g.,  
 128 234, 201],

$$\Psi_{\text{MAX}}(1 - \text{Ro}) \simeq \frac{S}{f}. \quad (4)$$

129 Here, the strength of the HC is measured by the MSF maximum  $\Psi_{\text{MAX}}$ , and  $\text{Ro}$  is the Rossby number of the flow, which  
 130 may be expressed approximately as  $\text{Ro} \simeq -\bar{\zeta}/f$ , with  $\bar{\zeta}$  being the zonal mean vorticity evaluated in the upper-branch  
 131 of the HC and  $f$  the Coriolis parameter. The numerator on the right-hand side  $S$  represents net result of the poleward  
 132 transport of angular momentum provided by eddies integrated vertically between the level of the MSF maximum and  
 133 the tropopause, and it represents the influence of transient and zonally-asymmetric motions on the HC. Theories of  
 134 the HC often consider one of two limits: in the axisymmetric limit (section 2.1.1) eddy-momentum fluxes are small  
 135 and  $\text{Ro} \simeq 1$  [198, 97, 195, 66, 67, 25], in the small-Ro limit (section 2.1.2), the zonal mean vorticity is small compared  
 136 to  $f$ , and eddy-momentum fluxes are dominant[e.g., 234, 199].

137

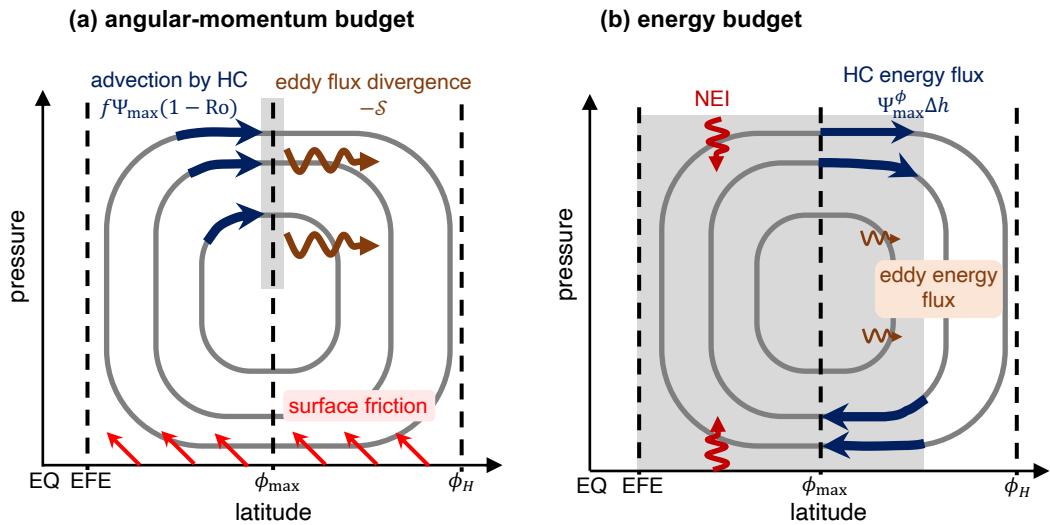
138 The edge of the HC is usually defined by the latitude of the zero of the MSF at a mid-tropospheric level [e.g.,  
 139 500 hPa, 75]. In the axisymmetric limit, this latitude is determined not by the angular momentum balance but by the  
 140 energy budget, under the requirement that the temperature at the cell edge is equal to its corresponding value in  
 141 the “radiative-convective equilibrium” state in the absence of large-scale circulations [97, 140]. In the small-Ro limit,  
 142 the angular-momentum budget requires that this latitude coincides with a switch from eddy angular momentum flux  
 143 divergence to convergence, and the HC edge may be identified as the equatorward margin of wave-activity generation  
 144 by baroclinic eddies [138]. In this limit, eddies play a central role in determining both the width, as well as the strength,  
 145 of the HC [96, 234, 138].

#### 146 2.1.1 | Axisymmetric theory

147 In the axisymmetric case, the atmospheric flow is assumed to have no zonal variations, the right-hand side of Eq. 4 is  
 148 zero, and the existence of the HC (i.e.  $\Psi_{\text{MAX}} \neq 0$ ) requires  $\text{Ro} = 1$ . In this case, Eq. 4 becomes degenerate and gives no  
 149 information about the HC. Nevertheless, the requirement that  $\text{Ro} = 1$  is equivalent to requiring that angular momen-  
 150 tum is conserved along streamlines of the flow, and it provides a strong constraint on the zonal wind distribution.

151

152 Building on the work of Schneider [198], Held and Hou [97] derived a simple model for the equinoctial HC in the  
 153 axisymmetric limit (hereafter H&H model), which was extended to the case of off-equatorial thermal forcing by [140].



**FIGURE 3** (a) Momentum- and (b) energy-budget constraints on the HC strength. Gray lines represent streamlines of the HC and light gray boxes represent the region over which the budget is evaluated. (a) Angular momentum advection by the mean flow (blue arrows) and eddy angular momentum flux (brown arrows) as considered in Eq. 4. (b) Meridional energy flux by the HC (blue arrows), eddy energy flux out of the tropics (brown arrows) and net energy input (NEI) to the tropical atmosphere (red arrows) as considered in Eqs. 7 and 8. EFE denotes the Energy Flux Equator, i.e., the location where the atmospheric energy transport goes to zero and approximate location of the ITCZ.

154 The theory relates the angular momentum conserving wind distribution, through the assumption of thermal wind  
 155 balance and weak surface winds, to the meridional gradient of temperature. Combining this with a simple closure for  
 156 the energy transport by the cell, a complete description of the HC is obtained. The H&H model predicts a finite width  
 157 for the HC that, for Earth-like parameters, is comparable to the observed HC width. Moreover, the model provides  
 158 scalings for the width  $\phi_H$  and strength  $\Psi_{MAX}$  of the HC given by

$$\phi_H \sim \left( \frac{g H \Delta_h}{\Omega^2 R_e^2} \right)^{1/2}, \quad (5)$$

$$\Psi_{MAX} \sim \frac{1}{\tau} \frac{g^{3/2} (H \Delta_h)^{5/2}}{\Omega^3 R_e^2 \Delta_v}. \quad (6)$$

159 Here  $\Omega$  is the Earth's rotation rate and  $\tau$  is a radiative relaxation timescale. Eqs. 5 and 6 involve parameters that  
 160 depend on the climate conditions: the troposphere depth  $H$ , the fractional surface-to-tropopause potential tempera-  
 161 ture  $\Delta_v$ , and a measure of the fractional pole-to-equator potential temperature difference in the "radiative-convective  
 162 equilibrium" solution that would exist in the absence of a circulation,  $\Delta_h$ . The H&H model (Eqs. 5 and 6) predicts that  
 163 the strength and width of the solstitial HC increase with increasing tropospheric depth and radiative-convective equi-  
 164 librium meridional potential temperature gradient, while the strength of the cell decreases with increasing thermal  
 165 stratification.

### 166 2.1.2 | Small Rossby number theory

167 In the small-Ro number limit of Eq. 4, the strength of the Hadley cell is directly related to the eddy angular momentum  
 168 flux and its divergence in the subtropics. This flux divergence occurs primarily as a result of extratropical baroclinic  
 169 eddies that form in the mid-latitudes and propagate into the subtropics, where they reach their critical latitude and  
 170 break, decelerating the mean flow [e.g., 190]. In the small-Ro limit, the HC does not respond directly to the thermal  
 171 driving, rather, its variations are linked to those of the eddy angular momentum flux divergence in the subtropics  
 172 [234, 201].

173 Theories highlighting the effect of eddies on the HC go back to the 1950's when Kuo [131] and Eliassen [65] de-  
 174 veloped a diagnostic equation connecting the meridional overturning to sources of momentum and heat associated  
 175 with eddy motions and diabatic effects. A number of authors have also investigated how eddies influence the equinoc-  
 176 tial HC by comparing the results of axisymmetric and eddy-permitting simulations of the HC using idealized models  
 177 [129, 13, 233, 209, 210, 54]. Such comparisons generally reveal that eddies substantially amplify the strength of the  
 178 equinoctial HC compared to the axisymmetric case, because eddies allow the descending branch to more efficiently  
 179 lose its angular momentum while approaching the boundary layer. According to Eq. 4, this increases the strength of  
 180 the HC. However, this amplification is much weaker if the surface temperature is specified instead of being computed  
 181 by closing the surface energy balance [196, 209]. The strength of the HC has also been found to scale with the magni-  
 182 tude of the divergence of the angular eddy momentum fluxes propagating into the subtropics from the mid-latitudes  
 183 in a suite of simulations with an idealized GCM over a wide range of parameters [234].

185  
 186 Concerning the width of the HC, it has been suggested that the HC terminates at the location where the axisym-  
 187 metric solution would become baroclinically unstable [96]. This argument has been supported by both idealized [138]  
 188 and comprehensive [147] climate change modeling studies, as well as by reanalysis studies of interannual variability

189 [164], which reveal strong relationships between diagnostics associated with extratropical eddies and the width of the  
 190 HC [e.g., 124, 128, 26, 218]. Moreover, it is consistent with the observed narrowing of the HC in response to El-Niño  
 191 [167, 146], which is associated with increased meridional temperature gradients in the subtropics and an equatorward  
 192 displacement of the storm track.

## 193 2.2 | The seasonality of the Hadley circulation and its response to climate change

194 Earth's tropical overturning circulation undergoes an annual cycle where the equinoctial HC, characterized by two  
 195 cells of roughly equal strength and the ITCZ close to the equator, represents a transitional condition between two  
 196 solstitial states, each characterized by a strong cross-equatorial HC cell (which has its descending/ascending branch  
 197 in the winter/summer hemisphere) and a weaker cell in the summer hemisphere [60]. The poleward flow of both  
 198 equinoctial HC cells and the whole solstitial summer cell occur in regions of upper-tropospheric westerlies, through  
 199 which mid-latitude eddies are able to propagate. These cells are, therefore, strongly influenced by eddy transports  
 200 of energy and momentum [234] and are close to the small-Ro regime (section 2.1.2). At the solstices, however, the  
 201 existence of easterlies in the equatorial upper troposphere prevents Rossby waves from propagating into the deep  
 202 tropics, limiting the influence of eddies on the HC upper branch [18, 19, 201] and suggesting that axisymmetric dy-  
 203 namics (section 2.1.1) is more relevant for the cross-equatorial solstitial HC. However, eddies still have important  
 204 effects on the solstitial HC through their effect on the descending branch [23, 24].

205

### 206 2.2.1 | The response of the HC width

207 Motivated by both the axisymmetric theory of H&H and theories based on the onset of baroclinic instability [96], a  
 208 number of studies have investigated how changes in bulk thermodynamic characteristics of the troposphere under  
 209 climate change affect the HC width [e.g., 205, 49]. Under global warming, the tropopause height and stability are  
 210 expected to increase [229], while the meridional temperature gradient in the subtropical atmosphere decreases [2,  
 211 205]. Analysis of different climate conditions using PMIP simulations and RCP8.5 projections indicate that, under  
 212 solstitial conditions, the HC widens as the subtropical static stability and the tropospheric depth increase, while its  
 213 dependence on meridional temperature gradient differs between the hemispheres [49]. This leads to the relatively  
 214 robust result that the HC becomes wider as the climate warms [49, 212].

215 While the above results are qualitatively consistent with the H&H scalings embodied in Eqs. 5 and 6, this should  
 216 not be taken as a conclusive argument supporting them as all involved variables are correlated among themselves  
 217 and very well correlated with global warming [49]. In fact, a growing literature suggests that both in equinoctial and  
 218 solstitial conditions, the HC width is strongly influenced by midlatitude processes [138], scaling with the equatorward-  
 219 most location at which the mean state is baroclinically unstable [96]. For example, [32] analysed the detailed time  
 220 evolution of the HC under abrupt 4xCO<sub>2</sub> forcing to show that variations in the subtropical baroclinicity give the best  
 221 explanation for the simulated shifts in the HC edge. According to this interpretation [e.g., 147, 204, 169], the projected  
 222 widening of the HC is associated with increased static stability, reduced meridional temperature gradients in the  
 223 subtropics, and a poleward shift of the storm track [e.g., 185] as seen under La-Niña condition [146]. However, directly  
 224 relating these changes in the HC width to the influence of eddies or any other external factor remains challenging  
 225 [2]. Diagnostic relationships between eddy-forcing and shifts in the HC should be cautiously used as an evidence of  
 226 causality, and the widening of the HC under warming has instead been argued to be an axisymmetric response, with  
 227 eddies acting as a damping factor to reduce its magnitude [55].

## 228 2.2.2 | The response of the HC strength

229 For the strength of the HC, both axisymmetric and small-Ro dynamics may be important, and the relative importance  
230 depends on the point in the seasonal cycle being considered. The axisymmetric theory suggests that the HC strength-  
231 ens with increasing tropospheric depth and meridional temperature gradient, but it weakens with increasing thermal  
232 stratification. These scalings, based on the H&H model, have been investigated under different climate conditions  
233 using PMIP simulations and RCP8.5 projections [49] showing that the NH solstitial HC strength scales with the tropo-  
234 spheric depth, the fractional pole-to-equator potential temperature difference  $\Delta h$ , and the subtropical near-surface  
235 static stability, while a weak and unclear dependence is present for the SH. However, the complex superposition of  
236 different responses limits the potential for theoretical constraints on the changes in the HC strength that are produced  
237 under future warming scenarios; for example, Chemke and Polvani [30] point out the importance of the direct effect  
238 of CO<sub>2</sub> forcing, independent of surface temperature changes. Complicating matters further, idealized simulations  
239 suggest that the change in equinoctial HC strength with warming may be nonmonotonic, with changes in eddy fluxes,  
240 Rossby number, and ocean heat transport all playing a role [137].

## 241 2.2.3 | The response of the seasonal migration of the ITCZ

242 At the solstices, the winter cell dominates the tropical overturning circulation, shifting the zonal mean precipitation  
243 maximum into the summer hemisphere and transporting energy across the equator to the winter hemisphere (see  
244 section 3). At the same time, monsoon circulations develop over tropical continents, with associated precipitation  
245 providing water for over half the World's population [e.g., 187]. While, historically, monsoon circulations have often  
246 been conceptualized as large-scale land-sea breezes, with the land-sea contrast considered to be central to their be-  
247 havior, more recent studies show that monsoons are the regional expression of the seasonal migration of the ITCZ  
248 at the solstices [see e.g., 18, 76]. In this view, individual regional monsoons are components of the global monsoon  
249 system, and changes in the HC and the global monsoon system are fundamentally coupled [e.g., 80]. Such a view does  
250 not preclude a role for land-sea contrasts in influencing the local behavior of individual monsoons [43].

251

252 The axisymmetric theory shows that a shift of the thermal forcing maximum by a few degrees from the equa-  
253 tor [140] or an isolated, off-equatorial thermal maximum above a low threshold [182] are sufficient to trigger the  
254 transition to the regime dominated by a single (winter) HC. Realistic time-dependent cases [67, 233] and observed  
255 estimates of the zonal mean circulation [60] show that this transition is less pronounced than in the idealized the-  
256 oretical description. Further, feedbacks associated with stationary eddies [207, 81] and surface fluxes [17] have a  
257 potential role in accelerating the transition between regimes, while surface flux feedbacks and cloud-radiative inter-  
258 actions have a role in the timing and in increasing the rapidity of the onset of the Asian monsoon [151]. A number of  
259 recent studies have investigated the sensitivity of the seasonal migration of the ITCZ to the planetary rotation rate  
260 [68, 101, 208, 82, 102]. Under climate change, Seth et al. [206] found a delay in the onset of a number of monsoons,  
261 attributing this to an increased convective springtime barrier in a warmer world (similar to the so-called upped-ante  
262 mechanism [40]). However, detailed understanding of how climate change affects the dynamics of the HC seasonal  
263 cycle remains a work in progress [80].

## 264 3 | LINKS OF THE HADLEY CIRCULATION WITH THE ENERGY BUDGET

265 The energy budget couples the HC to the net input of energy into the tropical atmosphere by radiative and turbulent  
 266 fluxes on the one hand, and eddies on the other hand, providing a complementary constraint on the HC strength  
 267 to the momentum-budget based constraint embodied in Eq. 4 (see Fig. 3). In this section energetic arguments are  
 268 used to provide a framework to understand the HC response to global warming. Indeed, the primary effect of GHG  
 269 emissions is to perturb the energy balance at the top-of-atmosphere (TOA), while the thermodynamics and circulation  
 270 responses work toward re-establishing the energy balance.

### 271 3.1 | Meridional Energy transport by the Hadley circulation

272 Air masses diverging from the ITCZ are drier and cooler than those converging at low level, but they also have  
 273 significantly higher potential energy. As the latter effect dominates (Fig. 4), the moist static energy (MSE, in J/kg,  
 274  $m = C_p T + g Z + L_v q$ , where  $C_p$ ,  $T$ ,  $g$ ,  $Z$ ,  $L_v$  and  $q$  are heat capacity, temperature, gravity, geopotential height, latent  
 275 heat of vaporization and specific humidity, respectively) of air masses aloft is higher than of those at low levels. The  
 276 net effect is that, in the vertical integral, the HC exports energy away from the ITCZ while simultaneously importing  
 277 moisture into the ITCZ. This net meridional energy transport, often described as the atmospheric heat transport  $AHT$ ,  
 278 scales as [95, 45]:

$$279 AHT(\phi) \simeq \Psi_m(\phi) \cdot \Delta \bar{m}(\phi) + T_e(\phi) , \quad (7)$$

280 where  $\phi$  is the latitude,  $\Delta$  denotes the difference between upper and lower branches of the HC, the overbar denotes  
 281 the zonal mean,  $\Psi_m$  is the maximum of the global MSF as a function of latitude (kg/s), and  $T_e$  is the eddy meridional  
 282 heat transport (vertically and zonally integrated). The  $AHT$  is dominated by the overturning term in the Tropics [84]  
 283 where horizontal gradients, and thus  $T_e$  are small (see Fig. 3).  $T_e$  however becomes dominant away from the Equator.  
 284 The total  $AHT$  can be decomposed into dry static energy ( $DSE = C_p T + g Z$ ) and latent heat ( $LH = L_v q$ ) transport,  
 285 which can be obtained (again at the scaling level) as  $AHT_{DSE} \simeq \Psi_m \cdot \Delta \bar{DSE}$  and  $AHT_{latent} \simeq \Psi_m \cdot \Delta \bar{LH}$ , respectively.  
 286 Since  $LH$ , which depends on the humidity  $q$ , decreases with height while the  $DSE$  increases with height (Fig. 4),  
 287  $AHT_{DSE}$  and  $AHT_{latent}$  oppose one another. Using typical values for Earth's atmosphere,  $\Psi_m \simeq 100 \times 10^9$  kg/s and  
 288  $\Delta \bar{m} \simeq 10^4$  J/kg, Eq. 7 gives about 1 PW, typical of the  $AHT$  near the peak of the HC MSF [180, 9, 84]. Observations  
 289 [63] reveal a robust linear relationship between  $\Psi_m(0)$  and  $AHT(0)$  through the seasonal cycle, consistent with a  
 290 constant value of  $\Delta \bar{m} \simeq 1.4 \times 10^4$  J/kg, emphasizing that, close to the equator, the time (seasonal) variations in  $AHT$   
 291 are dominated by changes in the strength of the HC.

292  $AHT$  is related to the Net Energy Input ( $NEI$ ) in the tropical troposphere, which is given by the vertical integral of  
 293 the diabatic heating (see Fig. 3) or, equally, the difference between the net radiative fluxes at the top of the atmosphere  
 294 and net radiative and turbulent fluxes at the surface. In fact, at steady state, the vertically and zonally integrated energy  
 295 budget of the tropical atmosphere is a balance between the rate at which energy is transported away from the ITCZ  
 296 (given by the meridional divergence of  $AHT$ ) and the zonally averaged (positive)  $NEI$ :

$$297 \frac{\partial AHT}{R_e \partial \phi} = 2\pi R_e \cos(\phi) \cdot \overline{NEI} . \quad (8)$$

298

299 Using a two-layer description of the atmosphere, [166] connected the *NEI* to the vertical motion and energy  
 300 stratification showing that:

$$301 \quad NEI \simeq -\frac{\omega_m}{g} \cdot GMS, \quad (9)$$

302 where  $\omega_m$  (Pa/s) is the vertical velocity at a mid-tropospheric level  $p_m$  separating the upper and lower part of the tro-  
 303 posphere. The Gross Moist Stability (*GMS*, J/kg) is the difference between the horizontal flow divergence-weighted  
 304 averaged *MSE* of the upper and lower layers and it can be considered a representation of the transport of *MSE*  
 305 away from the tropics by the divergence of the mean circulation. In fact, eq. 9 is a valid approximation only under the  
 306 assumption that the advection of *MSE* by the mean circulation and its transport by eddies are negligible. Physically,  
 307 Eq. 9 states that, in the deep tropics, where horizontal gradients are weak, ascent ( $\omega < 0$ , a conversion of air parcels  
 308 from low to high *MSE*) requires a diabatic energy source ( $NEI > 0$ ; see Fig. 3, right panel). However, since the flow  
 309 is strongly divergent, *NEI* can be only partially converted in the *MSE* of the upper troposphere, and the concept of  
 310 *GMS* is needed for expressing the relation between *NEI* and convection. Eq. 9 loses its relevance away from the  
 311 equator such that, in the descending branch, the decrease of potential energy and adiabatic warming are balanced by  
 312 a poleward energy export by eddies (Fig. 3).

312

313 The *GMS* concept has been extensively used in the subsequent literature and often been redefined [166, 248,  
 314 191, 41, 117]. Although all its definitions measure the vertical variations of *MSE*, *GMS* has also been interpreted as  
 315 an efficiency of the meridional heat transport by the HC or a measure of the energy export per unit convective mass  
 316 flux [103, 243]. Both  $\Delta m$  and *GMS* are nearly always positive in the tropical atmosphere [248], because energy is  
 317 larger in the upper than in the lower tropical atmosphere, though negative *GMS* can occur depending on the choice  
 318 of definition and inclusion, or not, of advection and transient terms (neglected in Eq. 9).

319

320 Eq. 9 implies a tight link between changes in *GMS* (or  $\Delta m$ ) and changes in vertical velocity:

$$321 \quad \frac{d\omega_m}{\omega_m} = -\left(\frac{dGMS}{GMS} + \frac{dNEI}{NEI}\right) \simeq -\frac{dGMS}{GMS}, \quad (10)$$

322 where the second equality is obtained in the limit of small *NEI* changes. Eq. 10 provides the link between the strength-  
 323 ening of the stratification and weakening of the overall tropical circulation including the HC. Global warming, on one  
 324 hand, increases the water vapor concentration in the lower troposphere, therefore reducing *GMS*, on the other hand,  
 325 warms the upper troposphere and uplifts the tropopause, therefore increasing *GMS*. The increase prevails so that  
 326  $\omega_m$  and the overall tropical circulations weakens (see [39, 41] and references therein). The increase of *GMS* and  
 327 weakening of the circulation, respectively, increases and decreases the energy export out of the tropics (Eq. 7). The  
 328 two effects roughly cancel out, consistently with the assumption of relatively small *NEI* changes in Eq. 10. However,  
 329 *NEI* increases with GHG emissions leading to a small intensification of *AHT* (about 0.25 PW at the peak *AHT* in  
 330 both hemispheres [see 148]).

330

### 331 3.2 | The ITCZ latitude and cross-equatorial energy transport

332 Because both vertical  $MSE$  gradient and MSF are weak at the ITCZ,  $AHT$  is small and changes sign near the ITCZ.  
 333 In practice, the “energy flux equator” (EFE, where  $AHT=0$ , Fig. 3) is close to the ITCZ, although they are not always  
 334 collocated [200, 63], because of small, but non-negligible, eddy fluxes in Eq. 7. In the present-day climate the ITCZ is  
 335 located on average about  $5^{\circ}\text{N}$  and this meridional deviation is most pronounced in the Pacific Ocean [see e.g. 200]. As  
 336 the distribution of incoming solar radiation is nearly symmetric around the equator, it was suggested this asymmetry  
 337 was due to land mass distribution and/or ocean-atmosphere coupling [181]. As detailed below, recent studies, using  
 338 an energy perspective, suggest that the latter effect is the dominant one.

339

340 The latitude of the zero energy transport,  $\delta$ , is obtained by a Taylor expansion [200]:

$$341 AHT(\delta) \simeq AHT(0) + \frac{\partial AHT}{\partial \phi}(0) \cdot \delta = 0, \quad (11)$$

342 which, using Eq. 8, gives:

$$\delta = -\frac{1}{2\pi R_e^2} \cdot \frac{AHT(0)}{\overline{NEI}(0)}. \quad (12)$$

343 Using  $\overline{NEI}(0) = 18 \text{ W m}^{-2}$ , and  $AHT(0) = -0.3 \text{ PW}$  [200, 46], one obtains  $\delta \sim 4$  degree of latitude, which is  
 344 in close agreement with observations. Eq. 12 highlights that the off-equator location of the ITCZ depends on the  
 345 ratio between the energy transport at the equator (dominated by the HC) and the net energy input. It suggests that  
 346 a larger  $\overline{NEI}(0)$  would shift the ITCZ closer to the equator at constant  $AHT(0)$ . However, under climate change,  
 347 both  $\overline{NEI}(0)$  and  $AHT(0)$  are expected to change. Indeed, in  $2\times\text{CO}_2$  experiments with slab ocean from CMIP3  
 348 (Coupled Model Intercomparison Project 3), [73] found northward/southward ITCZ shifts which are well correlated  
 349 with decreased/increased  $AHT(0)$ . This is consistent with Eq. 12 although suggesting that  $AHT(0)$  changes dominate  
 over the  $\overline{NEI}(0)$  changes in these experiments.

### 350 3.3 | Linking the ITCZ position with ocean heat transport, top-of-atmosphere flux and 351 SST

352 The SH receives about 0.4 PW more than the NH, as the TOA, hemispheric  $NEI$  is positive for the SH (+0.2 PW) and  
 353 negative for the NH (-0.2 PW) [156, 141]. This asymmetry is dominated by the outgoing long-wave radiation, presum-  
 354 ably because the NH is slightly warmer than the SH [136]. The short-wave difference between the two hemispheres  
 355 is small as they have similar average incoming solar radiation and similar planetary albedo [ $\sim 0.3$ , see 63]. Current  
 356 best estimates suggest a global ocean heat transport (OHT) of about 0.5 PW northward across the equator [226, 72],  
 357 which is mostly achieved in the Atlantic basin with a transport of  $\sim 0.7$  PW, while the Pacific Ocean is close to neutral  
 358 and the Indian Ocean transports  $\sim 0.2$  PW southward (all these estimates have the significant uncertainties of about  
 359  $\pm 0.2$  PW). The large Atlantic transport is primarily driven by the Atlantic Meridional Overturning Circulation (AMOC)  
 360 associated with deep water formation in the Nordic seas and Labrador sea [69]. In the absence of deep water forma-  
 361 tion in the Pacific and Indian oceans, their transports are dominated by shallow wind-driven sub-tropical overturning  
 362 cells (STCs). The global northward OHT combined with the 0.3 PW southward transport by the HC balances the TOA  
 363 radiation asymmetry and closes the energy budget of both hemispheres (Fig. 4).

364

365 The hemispheric energy balance suggests that the northward position of the ITCZ compensates for the northward  
366 cross-equatorial OHT [156, 74]. This agrees with atmospheric model experiments in which the OHT is prescribed (so-  
367 called Q-flux experiments, see [20] and [200] and references therein), where the ITCZ moves northward/southward  
368 as the (implied) cross-equatorial OHT is increased/decreased. The ITCZ shift is not seen only in the AMOC-dominated  
369 Atlantic sector, but also in the Pacific because of the efficiency of the atmosphere to zonally redistribute the energy  
370 output [122]. Variations of the AMOC strength in past and future climates are, therefore, expected to contribute to  
371 the displacement of the ITCZ compared to present-day (intensification of AMOC would move the ITCZ northwards  
372 and further away from the Equator) [see below 157].

373

374 The mechanisms re-establishing the energy balance and connecting the ITCZ to the OHT variations are likely  
375 provided by the inter-hemispheric SST difference across the equator that is caused by the AMOC OHT warming the  
376 NH and cooling of the SH. A robust linear relationship between ITCZ shifts and changes in interhemispheric tropical  
377 SST difference (20°N - 0 minus 20°S - 0, in K) can be found in observations and climate models (with slopes of 3.3 and  
378 3.7 K/deg of latitude, respectively [63]) and under other climates (although with weaker slopes in the range 1.5 - 2.4  
379 K/deg for last glacial maximum, mid-Holocene, and 2xCO<sub>2</sub>). Such relationships combined with paleo-proxy estimates  
380 of temperature can be used to reconstruct the global ITCZ shifts [157]. Numerical experiments with an imposed cross-  
381 equatorial flux demonstrate that the ITCZ is sensitive to high latitude SST perturbations [e.g. 121], possibly generated  
382 by AMOC changes [see example in 165] and explained by a "rigidity" imparted to the AHT by the weak temperature  
383 gradient in the tropics [200]. In climate model projections, the AHT anomaly is directed from the faster to the slower  
384 warming hemisphere [136].

385

386 The STCs and HC are coupled through the wind stress at the air-sea interface ([95], Fig. 4). If the effect of eddy  
387 momentum fluxes in the atmospheric boundary layer is neglected, the STC and HC should have opposite mass trans-  
388 ports of similar magnitude. However, the STCs are more efficient at exporting heat away from the equator than the  
389 HC because the temperature stratification in the ocean is stronger than in the atmosphere [45]. The result is that  
390 the coupling between the ITCZ shift and the response of the STCs generates a negative feedback that can limit the  
391 excursions of the ITCZ in response to perturbations [85].

392

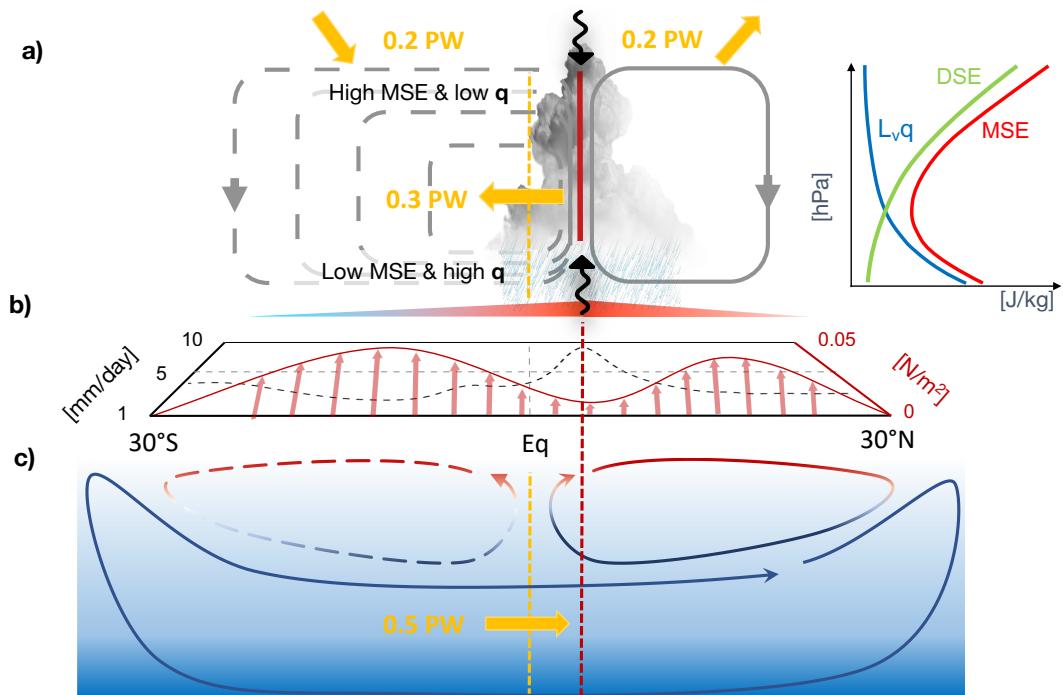
## 393 4 | THE RESPONSES OF THE HADLEY CIRCULATION TO NATURAL AND 394 ANTHROPOGENIC FORCINGS

### 395 4.1 | HC trends in the last decades

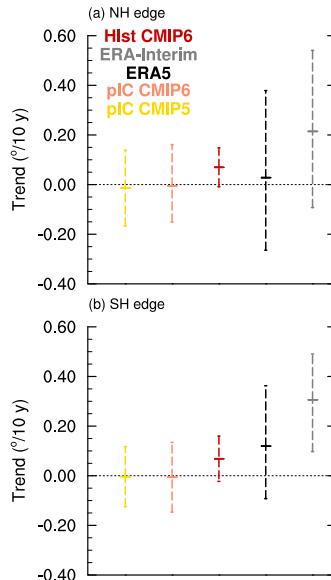
396 For a long time, behaviors of the HC and interpretation of long term trends in reanalyses were considered uncertain  
397 due to the influence of natural variability, which in the late 19<sup>th</sup> and early 20<sup>th</sup> century may have exceeded the changes  
398 that occurred in the recent decades [143], and due to different model physics and data assimilation methods adopted  
399 by reanalyses [167, 48, 56]. In spite of that, there is general agreement among reanalyses that the global HC has sig-  
400 nificantly widened in the last four decades, while changes of the HC strength are still uncertain and data-dependent  
401 [163, 143, 167, 169, 48, 217, 33, 216].

402

403 Fig. 5 compares the HC extension trends in the ERA5 [99] and ERAInterim [58] reanalyses, to those of the CMIP5  
404 and CMIP6 historical "All-forcing" (based on [245]) and preindustrial control (Picontrol) experiments (based on [87]).



**FIGURE 4** Schematic of the Hadley circulation and associated energy transport. a) Annual mean Hadley cell (grey) and typical vertical profiles of Moist Static Energy, Dry Static Energy and Latent heat. b) schematic meridional profile of precipitation (dashed black line) and surface wind stress (solid red line with arrows). c) Overturning circulation in the ocean showing the Subtropical cells and the Atlantic overturning circulation.



**FIGURE 5** Annual trends of the HC edges in the period 1979 - 2014: for ERA5 (black), ERA-Interim (grey) the central line marks the Sen's estimate and whiskers the 95% confidence interval; for the All-forcing historical simulations (red), the CMIP6 (orange) and CMIP5 (yellow) PiControl the central line marks the multi-model mean value and whiskers indicate the range of possible trends (i.e. twice the inter-model standard deviation,  $2\sigma$ ). Positive/negative trends indicate poleward expansion/contraction of the HC. Units are latitude degrees per decade for the edges (based on [245] and [87]).

405 The period 1979-2014 is used to avoid the comparison between model simulations and reanalysis before the large  
 406 increase of satellite data starting in 1979. Though the reanalyses contain structural problems and systematic errors  
 407 [163, 48, 33], there are indications that these issues do not prevent a realistic reconstruction of the past HC evolution.  
 408 Fig. 5 shows that the HC expansion in reanalyses (particularly in ERA5) is within the range of models' "All-forcing"  
 409 experiments [89, 88, 87, 252], with former reanalyses (such as ERAInterim) being just compatible with the largest  
 410 "All-forcing" trends [8, 2, 169, 48, 87]. This comparison suggests that internal variability has a large impact on long  
 411 term trends and that structural problems (in climate models and reanalyses) may limit our ability to assess HC trends  
 412 convincingly [48]. However, by accounting for internal variability and different HC metrics, climate model and reanal-  
 413 ysis results can be reconciled [79, 89, 216, 87]. AMIP simulations show that the value of the trends of HC width  
 414 since the last two decades of the 20th century have been affected by the variability of SST patterns via coupled atmo-  
 415 sphere-ocean dynamics [2, 7, 155, 88]. Modes of variability like ENSO and PDO, particularly the change in the phase  
 416 of the PDO from positive to negative during the late 1990s approximately doubled the rate of tropical expansion from  
 417 that expected from anthropogenic forcing alone [2, 7, 88]. In fact, Fig. 5 shows that trends of magnitude comparable  
 418 to those in the reanalyses are present in the PiControl simulations as a result of the internal variability alone.

419

420 Trends of HC strength and width are caused by the superposition of multiple factors due to internal variability, nat-  
 421 ural and anthropogenic forcings (e.g. GHGs, stratospheric and tropospheric ozone, aerosols, particularly black carbon,  
 422 volcanic eruptions and orbital forcing). Disentangling the individual impacts of natural and anthropogenic forcings on

423 the recent HC evolution is possible by designing single-forcing experiments in an ensemble context. The following  
 424 subsections discuss the response of HC strength and width to different forcings, highlighting the structural difficulties  
 425 and consequent uncertainties in CMIP sensitivity experiments in Fig. 6, where, as in Fig. 5, we have considered the  
 426 period 1979-2014 instead of 1970-2014 originally used in [245]).

427

428 The analysis of the impact of various forcings on the HC strength and width that is provided in the next subsec-  
 429 tions is largely guided by the CMIP experiment prescriptions, i.e. GHG, stratospheric ozone (Strat03), anthropogenic  
 430 aerosols (Aer) and natural forcings (Nat) as shown in Fig. 6, but it also considers literature on the role of black carbon  
 431 (BC), tropospheric ozone and the HC response to orbital forcings. Tab. 1 provides a synthesis of the impacts of the  
 432 considered factors. Results based on dedicated CMIP simulations suggest widening and narrowing of the HC, as a  
 433 consequence of GHG and anthropogenic aerosols increasing, respectively. In Fig. 6 (updated from [245]) these con-  
 434 trasting effects are pronounced on the SH HC, and not evident in the NH HC. However, the simulations of Fig. 6,  
 435 may be not fully adequate for describing the role of some factors, such as black carbon and some species of anthro-  
 436 pogenic aerosols that have a negligible effect on the global HC, but may be important at regional scale (see section  
 437 5.2). Further, the different number of models that were available for the experiments in Fig. 6 (27 for All-forcing, 10  
 438 for GHG, 3 for Stratospheric ozone, 9 for Aerosols, 11 for Natural forcing) may affect the relative magnitude of the  
 439 changes among different experiments, which may not be a function only of the forcings. Moreover, in Fig. 6 individual  
 440 single forcing trends should not be expected to add up to the "All-forcing" scenario trends, because different subsets  
 441 of models have been used for each experiment.

442

443 For sake of clarity, we restrict the literature review to the aforementioned forcings, although stratospheric aerosol  
 444 geo-engineering [37], water vapor [246] and Arctic sea ice loss [31], wildfires [225] and natural dust emissions [12]  
 445 have been proposed to significantly shape the HC too.

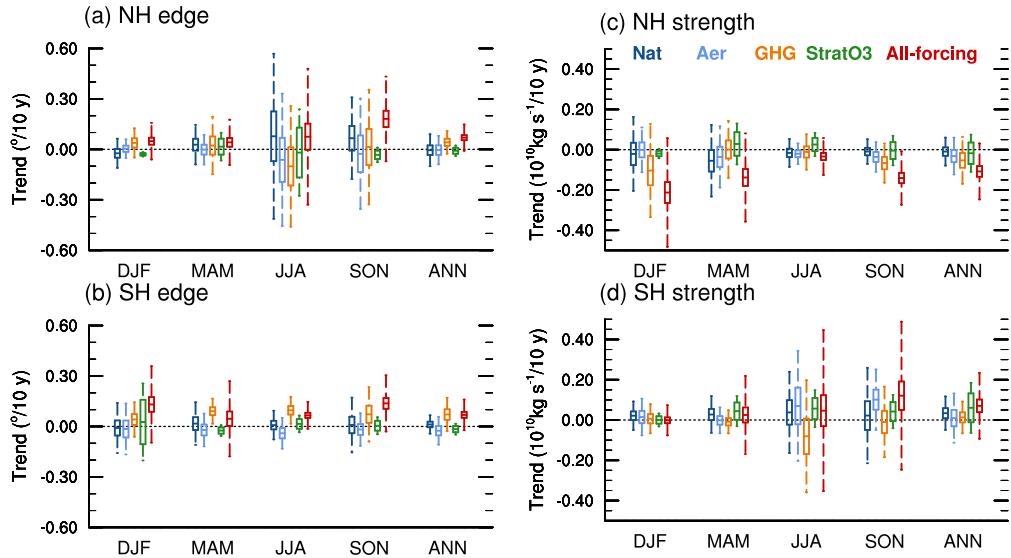
446

## 447 4.2 | CO<sub>2</sub> and other well-mixed GHG

448 The large majority of climate model simulations show that weakening of the HC is the prevalent effect of the GHG-  
 449 induced global warming. In fact, the weakening of the solstitial winter HC is significant in CMIP5 and CMIP6's GHG  
 450 simulations for the period 1979-2014. There is also consensus on the weakening [41, 147, 230, 49] in climate projec-  
 451 tions, though with a large uncertainty on its magnitude, ranging between 0 and 4% K<sup>-1</sup> as a function of the global-mean  
 452 surface air temperature change [147, 49]. The weakening of the HC is qualitatively consistent with the decrease in  
 453 ascent and associated increase in GMS, as predicted by the simplified energy balance Eq. 10 [sec. 3.1, and 41]. Dy-  
 454 namical theories of the HC do not provide a clear interpretation of the effect of GHG-induced warming on the HC  
 455 intensity. For instance, according to the H&H model, on one hand, the decrease of the meridional temperature gra-  
 456 dient and the increase of vertical stratification in the tropics caused by GHG emission weaken the HC. On the other  
 457 hand, the increase of the tropospheric depth strengthens the HC (sec. 2.1.1 and references therein).

458

459 Model simulations show that that GHG increase leads to HC widening. In the CMIP5 and CMIP6 simulations  
 460 considered in Fig. 6, the HC expansion driven by GHGs is larger in the SH than in the NH, being significant in the SH  
 461 in every season, in the NH at the annual scale and in the winter solstitial season. In fact, there is a consensus that  
 462 GHGs have contributed to HC widening since 1980 in idealized simulations [75, 221, 223, 240] and in the different  
 463 generations of CMIPs [147, 83, 53, 49, 89, 217, 130, 224, 245, 87, 32] especially in the SH. The asymmetry among



**FIGURE 6** Trends of the HC edges (left) and strength (right) in the period 1979 - 2014 for ERA5 (black), ERA-Interim (grey) and CMIP6 historical simulations: All-forcing (red), GHG (orange), Stratospheric ozone (StratO3, green), Aerosols (Aer, light blue), and Natural forcing (Nat, blue). Positive trends indicate poleward expansion/strengthening and negative trends contraction/weakening of the HC. Units are latitude degrees per decade for the edges and kg/s per decade for the strength. In color whisker-box (CMIP6), the central line marks the multi-model mean value of the trends. Boxes indicate uncertainties on the multi-model mean trends (i.e. twice the standard error,  $2\sigma/\sqrt{n}$ , where  $\sigma$  is the standard deviation of the trends and  $n$  the number of CMIP6 models that have been analyzed in each set of simulations) while whiskers indicate the range of possible trends (i.e. twice the standard deviation,  $2\sigma$ ). Note that the latter is a slight underestimate of the true range of possible realizations as some, but not all, model trends are obtained through ensemble averaging (adapted from [245]).

464 hemispheres has been highlighted in a set of idealised (quadrupled CO<sub>2</sub>) simulations by [240]), who explained it as a  
 465 result of the smaller sensitivity of the Northern Hemisphere HC to static stability changes. However, seasonal details  
 466 of Fig. 6 differ with respect to other periods in reanalyses and to climate model simulations, which fail to reproduce  
 467 the large values found in reanalysis products [2, 48, 87], as natural variability (see the text at the beginning of 4.1) and  
 468 other factors (see particularly sec. 4.3) need to be taken into account. Further, Fig. 6 is not consistent with the expec-  
 469 tation that the GHG effect on the NH HC expansion is larger in autumn (SON) than in other seasons [240]. As this  
 470 expectation is based on a quadrupled CO<sub>2</sub> concentration [240] and the selection of a different (longer) 1970-2014  
 471 period would produce a large HC NH expansion in SON [245], the magnitude of the GHG forcing and of the SST  
 472 coupling have plausibly a role on this specific seasonal feature. The expansion of the HC is expected in the small-Ro  
 473 limit, consistent with the northward shift of the storm track and the eddy activity changes (sec. 2.1.2), while the H&H  
 474 model provide ambiguous indications, as it suggests on one hand an expansion of the HC under GHG forcing, as tro-  
 475 pospheric depth is expected to increase, and on the other hand a contraction as the meridional temperature gradient  
 476 is expected to decrease (sec. 2.1.1).

477

478 As in the next decades, without successful mitigation actions, GHG forcing will become dominant, weakening  
 479 (particularly in the NH, [30]) and widening (particularly in the SH [240]) of the HC shown in climate projections are  
 480 expected for the future.

### 481 4.3 | Stratospheric ozone

482 Stratospheric ozone depletion has been identified as the primary driver of most of SH tropospheric circulation changes  
 483 in DJF from 1979 until late 1990s [183]. Its immediate effect is to cool the lower-stratospheric polar cap, where  
 484 the persistent low temperatures and strong circumpolar winds, also known as the polar vortex, prevent meridional  
 485 mixing and support the formation of a large Antarctic ozone hole. Stratospheric ozone depletion drives the poleward  
 486 shift of the jet-stream which is intimately related to mid-latitude eddy activity. Therefore, according to the small-Ro  
 487 theory, the HC strength and width must respond to this specific forcing [186]. Dedicated simulations and CMIP5 and  
 488 CMIP6 stratospheric ozone experiments [110, 213, 214, 211, 184, 123, 162, 83, 130, 224, 245] simulate widening  
 489 and modest strengthening of the SH HC in response to this forcing. The relationship between the HC edge and  
 490 stratospheric ozone concentration found in SH is also seen in the NH in a different set dedicated of model experiments  
 491 [109]. The SH HC trends have slowed down since the 2000s and this hiatus has been attributed to the recovery of the  
 492 stratospheric ozone [183, 224, 11]. Therefore, Fig. 6 is not optimal to show the effects of ozone depletion, because the  
 493 considered period (1979-2014) includes a relatively long sub-period during which ozone recovery occurred. Further,  
 494 the shown results may not be fully representative, because the number of simulations in the StratO3 experiment is  
 495 very small. While until the 2000s ozone depletion has contributed to the extension of the SH HC in synergy with  
 496 GHG increase, its subsequent and future recovery acts in the opposite direction and the overall future evolution of  
 497 the HC will depend on the net effect of CO<sub>2</sub> and other anthropogenic emissions [183, 224, 11].

### 498 4.4 | Anthropogenic aerosols, black carbon and tropospheric ozone

499 In the 20<sup>th</sup> century, anthropogenic aerosols (sulfate, black carbon, organic carbon) have exerted a cooling effect, no-  
 500 tably over the industrial areas of the NH, that has partially compensated the GHG-induced warming [27, 28], leading  
 501 to a global climate evolution quite different from what would have resulted from an increase in GHG concentration  
 502 alone [136]. The cooling of the NH relative to the SH drives a decrease in the magnitude of the southward energy

503 transport across the equator and a corresponding southward ITCZ shift [sec. 3.2, and 236, 237, 235, 231] and a decel-  
504 eration of both SH subpolar and subtropical jets [238]. Since, in contrast to GHGs, past aerosol forcing has warmed  
505 the stratosphere and cooled the upper troposphere, it has been suggested that its effect on atmospheric circulation  
506 opposes to that of GHGs [194]. In fact, [6] have shown that in the NH the widening associated with the increase in  
507 GHGs has been partially offset by the increase of anthropogenic aerosols in the past (when comparing to the prein-  
508 dustrial period, i.e. since 1850), while it is expected to be reinforced during the 21<sup>st</sup> century by the aerosol decrease  
509 that will contribute to the poleward shift in the latitude of maximum baroclinicity. However, these estimates strongly  
510 depends on whether models include the aerosol indirect effect (mainly on cloud albedo and lifetime), which are subject  
511 to uncertainties and aerosol forcings may differ from observations [6]. In the SH the zonal mean circulation changes  
512 due to aerosols are not simply opposite to those due to the GHGs [38] and are uncertain [219]. Considering intensity,  
513 strengthening of the tropical circulation driven by aerosol-induced NH cooling is consistent with thermodynamic scal-  
514 ing arguments [98]. Considering the last decades of the 20th century, the CMIP6 simulations [245] summarized in  
515 Fig. 6 confirm former CMIP5 results [224, 6] that aerosol forcing has had only a minor effect on the HC width, while  
516 they show some strengthening of the SH HC that was not present in CMIP5 experiments.

517

518 Tropospheric ozone and BC, resulting from the combustion of fossil fuels and biofuels, are both very effective  
519 warming agents and have been concentrated over the NH industrial regions for several decades of the 20<sup>th</sup> century,  
520 motivating investigation of their combined effects [8]. While tropospheric ozone is not an aerosol itself, its effect can  
521 only be reproduced in CMIP-type simulations with an active photochemical module describing its formation where  
522 aerosols are involved [86]. Unfortunately, only two models satisfying this requirement are included in the CMIP aerosol  
523 experiments shown in Fig. 6 and, further, they share with similar models substantial inaccuracies when compared with  
524 tropospheric aerosol observations [86]. Therefore, the representation of the effect of tropospheric ozone in Fig. 6 is  
525 possibly poor. The combined effect of BC and tropospheric ozone induces heating of the NH lower troposphere and  
526 perturbs the tropical boundary layer moisture, a condition that affects the climate of arid/semi-arid regions [189]. It  
527 also contributes to the observed poleward shift of the jet-stream, thereby relocating the main division between tropi-  
528 cal and temperate air masses [5, 8]. Sensitivity experiments with a model including a detailed aerosol physics suggest  
529 that increases of tropospheric ozone and BC have been the largest contributors to the recent (1979 - 2009) observed  
530 widening of the NH HC, having had an effect larger than that of GHG [8].

531

#### 532 4.5 | Natural forcing: volcanic aerosols and solar irradiance

533 Large volcanic eruptions inject sulfur particles into the lower stratosphere, where they reflect the incoming solar ra-  
534 diation and absorb solar near-infrared and thermal radiation, producing an overall global cooling effect [132]. The  
535 temporary radiative cooling over land (from 1 up to 3 years after the eruption) suppresses clouds, weakens tropical  
536 deep convection and the rising branch of the HC, and causes a contraction of the ITCZ [94, 177, 61, 179, 51]. Effects  
537 persist for at least two summers after volcanic eruptions [154, 114, 115, 62, 51]. The contraction of the tropical belt  
538 and of the HC following major volcanic events is shown by proxy reconstructions over the last 800 years and simula-  
539 tions of the last millennium [154, 42, 142, 4]. Narrowing has been of the order of 0.4-1° in response to Pinatubo-like  
540 eruptions and stronger (up to 1.6°) for events of double this magnitude [4].

541

542 In CMIP protocols, volcanic eruptions are combined with the solar irradiance variability in the natural forcing  
543 experiment (Nat) preventing their disentangling. For the recent decades, CMIP6 Nat simulations do not show any

factor	past decades	projections
GHG	widening and weakening	widening and weakening
Strat O <sub>3</sub>	widening (stronger in the SH)	narrowing (Strat O <sub>3</sub> recovery)
Anthropogenic Aerosols	ITCZ southward shift, uncertain and minor contraction, NH strengthening	depending on aerosol emission
tropospheric O <sub>3</sub>	NH widening (uncertain)	
volcanism	temporary (1-3 years) ITCZ narrowing, uncertain effect on HC width	
ALL	widening, uncertain effect on strength, large role of natural variability	widening (larger in the SH) and weakening (larger in the NH)
glacials	strengthening and narrowing of solstitial circulation	

**TABLE 1** Summary table of the effects of the factors considered in subsections 4.2-4.6

544 significant change of the HC edges (Fig. 6). However, results of CMIP simulations are uncertain, because the overall  
 545 effect of stratospheric volcanic aerosol (and of tropospheric dust in general) strongly depends on the prescribed opti-  
 546 cal properties that can lead to very different, and sometimes opposite, results [161, 3, 251].

547

## 548 4.6 | Orbital forcing and glacial-to-interglacial cycles

549 The modulation of the distribution of insolation by periodic changes of Earth's orbital eccentricity, axial obliquity  
 550 and apsidal precession (with periodicities approximately of 100 kyr, 41 kyr and 23 kyr, respectively) in concert with  
 551 cryosphere and carbon cycle feedbacks [174, 228] drive the Quaternary glacial to interglacial cycles [160, 93, 14].  
 552 The complex interplay between orbital forcing, CO<sub>2</sub> concentration, ice-sheet dynamics and ocean circulation have  
 553 affected the HC strength and width (see sec. 3.2).

554

555 During Quaternary interglacials, proxy and modeling evidence indicate orbitally-induced climate conditions differ-  
 556 ent from present day. During the early-to-mid Holocene (9.5 - 6 kyr BP) and the early Eemian (126 kyr BP) interglacials,  
 557 the NH warming and enhanced interhemispheric insolation and SST gradients in the boreal summer (compared to pre-  
 558 industrial conditions) presumably led to stronger winter solstitial SH HC and NH monsoons, and a northward shift  
 559 of the ITCZ, consistent with the relationships found in PMIP models [see sec. 3.2, and 127, 46, 118]. In the boreal  
 560 winter, models indicate that reduced interhemispheric insolation asymmetries have led to weaker winter solstitial NH  
 561 HC, SH monsoons and equatorward shift of the ITCZ relative to pre-Industrial [47, 118].

562

563 PMIP simulations of LGM (~21 kyr BP) clearly show that the winter solstitial HC was stronger and narrower than  
 564 in pre-industrial conditions [49, 212]. Literature also provides a consensus on a equatorward shift of the ITCZ [239].  
 565 During the glacials and cold episodes, such as the Younger Dryas (12.9 - 11.7 kyr BP) and Heinrich stadials, the complex  
 566 interplay between orbital and CO<sub>2</sub> forcing, and ice-sheets dynamics presumably led to a AMOC slowdown [78, 70].  
 567 Climate model simulations of the Heinrich stadials show decreased northward OHT and an associated equatorward

shift of the ITCZ, weakening of the NH monsoons and a relatively wetter climate in the SH [158]. Similar to other cold periods, reconstructions for the last glacial maximum show a southward shift of the ITCZ [239] and reinforced northeast trade winds [173, 49]. Trade winds proxies of the HC during cold episodes are consistent with the response predicted in section 3.3: cooling the NH relative to the SH shifts the ITCZ equatorward and strengthens the NH trade winds, while it weakens them in the SH [158]. Paleo-proxy and energetic considerations [157] show that the equatorward shift of the ITCZ was likely less than 1° at the LGM [157] suggesting that inferences of large (up to 4°-5°) shifts from a single proxy may reflect localized changes.

## 5 | REGIONALITY OF THE HADLEY CIRCULATION

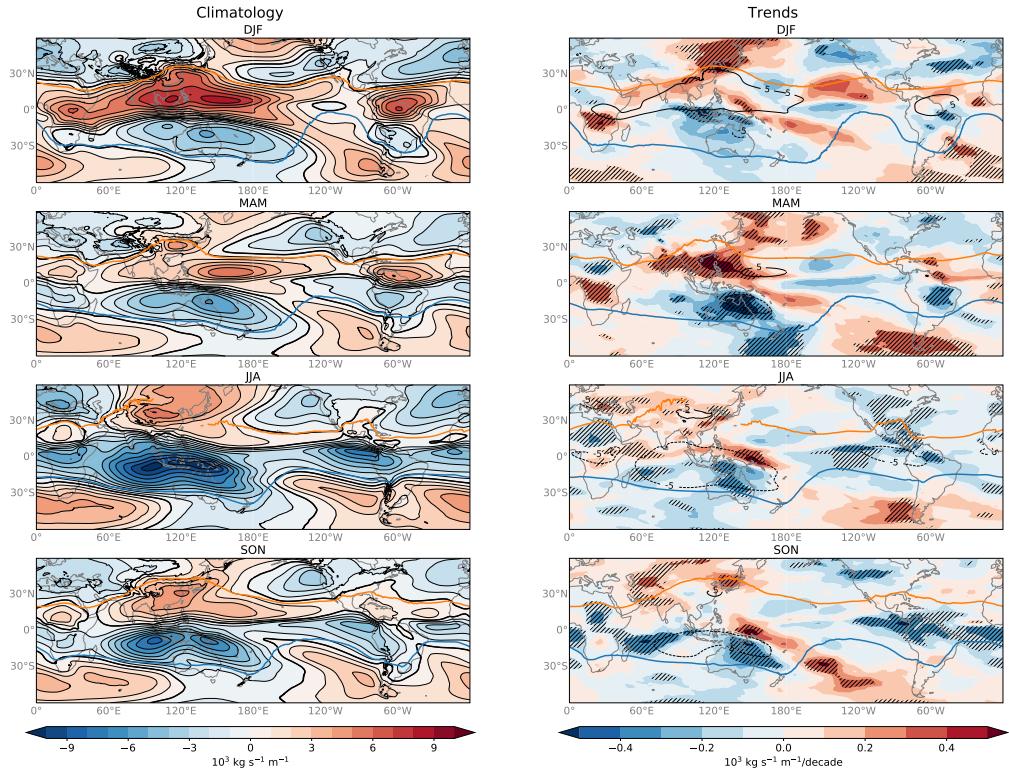
Section 4 highlighted the hemispheric asymmetry as well as regional impacts of different forcings on the HC. This suggests that changes or absence of changes in the global HC due to a specific forcing may result from a combination of additive or competing regional effects. Therefore, the global perspective is likely to miss important regional changes (addressed in this section) that have important environmental effects on precipitation and droughts.

### 5.1 | Characteristics of the regional Hadley circulations

The HC shown in Fig. 2 does not resemble the circulation at any given longitude. Areas of ascent do not spread uniformly around the globe, rather they are strongly localized [202, 168]. The seasonal cycle of the local MSF  $\psi(\lambda, \phi, p)$  at  $p = 500$  hPa (Fig. 7, left panel) shows three centers of minima in the SH and maxima in the NH located near the equator above Africa, the Maritime Continent and America. These minima/maxima in each hemisphere are meridionally separated by the zero contours of the MSF near the equator, which are associated with intense ascent and where the meridional gradient of  $\psi$  is strongest, concomitant with the ITCZ. The regions of intense ascent are zonally separated by a discontinuity near 60°E, 140°W and 20°W and are marked by seasonal and regional variability. The strongest center is located above the Maritime Continent in the solstitial seasons and the weakest above Africa in the equinoctial seasons.

590

Wherever there is a tropical monsoon regime associated with deep convection and rising motion near the equator and descending motion in the subtropics, with equatorward flow near the surface and return flow in the upper troposphere, it is possible to define a regional HC [107, 106, 47]. This motivates a regional perspective of the HC where Eq. 3 is used to define  $\Psi_R(\phi, p)$  for three different regions (AsiaPac, EurAfr, Americas, Fig. 1) characterized by different morphology, land-sea patterns, monsoonal flow and seasonally varying ITCZ [168]. This regionalization is consistent with a recent theoretical framework that describes the HC as the results of active tropical convection occurring over specific zones (Equatorial Africa, Indian Ocean and west Pacific, east Pacific and Equatorial America) and enhanced during certain periods causing meridional circulations on longitudinally confined sectors [107, 106]. All three regional HCs (Fig. 1b-d) exhibit an overturning structure in both hemispheres, but with marked regional variability, which is associated with the different strengths of their respective ITCZ and rising branch (Fig. 7). The AsiaPac HC is the strongest, while the EurAfr HC is the weakest. The width of the cells differs among the three regions especially in the NH where the AsiaPac cell extends far beyond the subtropical latitudes due to the strong Asian summer monsoon [107]. This is consistent with other studies that have described the zonal variations of subtropical margins using different metrics [50, 216]. The results shown in Figs. 7 (left panel) and 8 have been obtained using the most recent ECMWF reanalysis product ERA5 for the period 1979-2020 and confirm that the AsiaPac is stronger and wider than

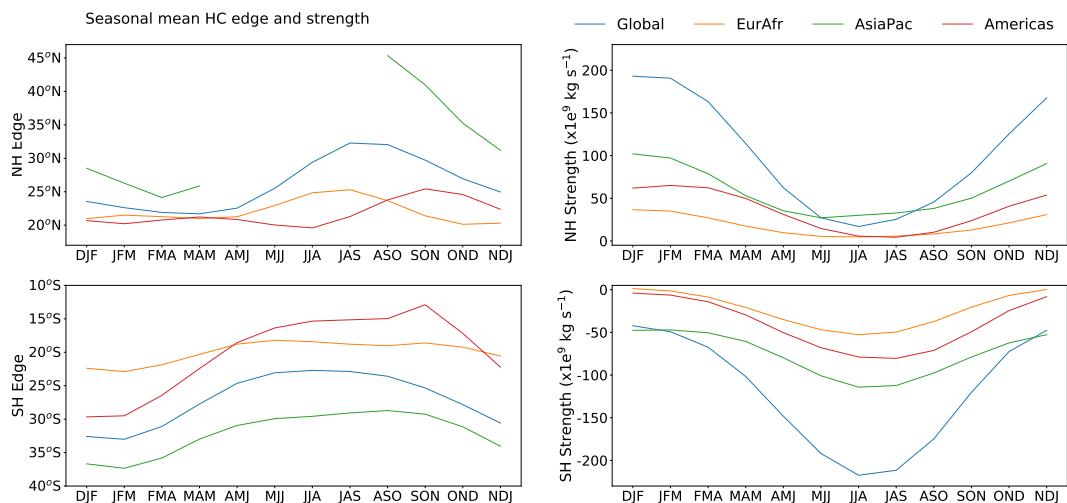


**FIGURE 7** Seasonal cycle of the local MSF at 500hPa (left) and their long term trend (right) derived from the ERA5 divergent meridional wind over the period 1979-2020. In the left column panels the zero-contour is highlighted in black. In the right column panels hatched areas denote values statistically significant to the 95% level and black solid/dashed contours show the  $\pm 5 \times 10^7 \text{ kg s}^{-1}$  MSF levels. In all panels thick orange and blue lines indicate the local edges of the overturning circulation when they can be defined.

606 the other two HCs. The main features and the magnitude of the local MSF in the Asia-Pacific, Europe-Africa, Amer-  
 607 ica sectors and their seasonal variations are similar if a different (e.g., 1970-2014) period or the former ERA-Interim  
 608 reanalysis are adopted and are consistent with analogue published climatologies [215].

609

610 The intensity of the regional HC can be defined as the maximum of  $\Psi_R(\phi, p)$  between the equator and  $30^\circ$  lat-  
 611 itude and its edge as the latitude where  $\Psi_R(\phi, p)$  is decreased to 25% poleward from its maximum value averaged  
 612 between 400 - 700 hPa [168]. Though this threshold is subjective, its value is not critical and reducing it (e.g. to  
 613 10% as in [215]) does not appreciably affect the results. The methodology adopted in Fig. 7 fails to identify the edge  
 614 of the HC NH only in the Asia-Pacific sector in the boreal summer in a zone around the west coast of the Pacific  
 615 Ocean, but, depending on the adopted methodology the definition of the HC edges may fail at several longitudes  
 616 [215]. However, results are generally consistent at the longitudes where the edges are identified by the different  
 617 methods and datasets. The seasonal cycle of the edge and intensity of the regional and global HC are presented in  
 618 Fig. 8. Both the edge and intensity of the regional HCs loosely follow the annual cycle of the global HC. According to  
 619 the maps of the local MSF at 500 hPa (Fig. 7), in the NH between May and August the (summer solstitial) AsiaPac has



**FIGURE 8** Seasonal cycle (3-month running average) of the edge (left, in degrees of latitude) and strength (right, in  $\text{kg s}^{-1}$ ) of the three regional and global HCs for the Northern (top, NH) and Southern (bottom, SH) Hemisphere according to ERA5 and the 1979-2020 period. The regional HCs are Europe-Africa (EurAfr; 20°W-65°E, orange), Asia-Pacific (AsiaPac; 65°E-140°W, green) and Americas (Am 140°W-20°W, red). Missing values in the summer months for AsiaPac NH edge are due to the cell extending to the pole.

620 no well defined northern limit. The occurrence of the maximum (minimum) extension of the Americas NH (SH) HC  
 621 during the autumn (spring) equinoctial conditions might be an artifact caused by the criterion used for defining the  
 622 edges; in this case the HC is weak and its regional extension has an irregular shape. Note that the weighted average  
 623 of the regional edges equals the global edge and the sum of the regional intensities equals the global intensity (Fig. 8).  
 624

## 625 5.2 | Past and projected changes of the regional Hadley circulations under 626 anthropogenic climate change

627 Here, we discuss changes of the HC that are marked by regionality and seasonality [e.g., 202, 50, 215] using the ERA5  
 628 data for the period 1979-2020 to support the discussion<sup>1</sup>.  
 629

630 Fig. 9a shows the trends of the HC poleward edges adopting a 95% significance level. Global expansion is driven  
 631 by the Asia-Pacific HC, which is the unique sector presenting positive values in all seasons and in both hemispheres,  
 632 while in the Europe-Africa and America sectors most values are negative. The statistically significant global expansion  
 633 of the winter solstitial and autumn equinoctial SH HC results from non significant trends that are positive in all sectors  
 634 in winter, but only in the Asia-Pacific HC in autumn. At the annual scale, the statistically significant expansion of the  
 635 SH HC results from the positive trend in the Asia-Pacific sector, only partially reduced by narrowing (statistically non  
 636 significant) in the America sector. There are no statistical significant trends of the NH HC

<sup>1</sup>These data and results have not been previously published.

637 Fig. 9b shows strengthening of the HC, which is more robust in the SH than in the NH. The SH HC strengthening  
638 is driven mostly by the intensification in the Asia-Pacific sector, which is significant in all seasons but summer. In the  
639 NH, weakening of the Europe-Africa and America sectors contrasts the strengthening of the Asia-Pacific sector in all  
640 seasons, leading to a significant weakening of the summer solsticial global NH HC. The agreement with [215] is partial  
641 as their results show HC strengthening in the America sector in all seasons.

642

643 Therefore, Fig. 9 shows that the HC expansion and strengthening in the period 1979-2020 have not been zonally  
644 uniform, but actually contraction and weakening have occurred in many seasons for the Europe-Africa and America  
645 sectors. Further, natural variability prevents identifying statistically significant trends at regional scale in most sectors  
646 and seasons.

647

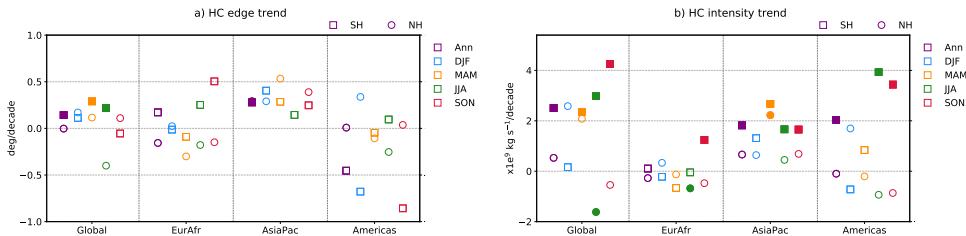
648 Breaking down the HC regional changes of Fig. 9 to longitudinal scale by the analysis of the local  $\psi(\lambda, \phi, p)$ , (Fig. 7,  
649 right panel) allows one to interpret the trends in Fig. 9 in terms of sub-regional features. In most season, the expansion  
650 and strengthening of the AsiaPac SH HC is driven by its behavior over the Maritime Continent. The intensification of  
651 the winter SH HC in the Americas sector is reflected in a significant signal over south America and the East Pacific in  
652 Fig. 7, while the interpretation of the trends over equatorial Africa is less clear. Many features that are present in [215]  
653 are confirmed in Fig. 7 right panel, but in Fig. 7 the intensification of the HC above America and the Indian Ocean in  
654 JJA are weaker and have a different spatial structure than in [215]. Further, [202] shows SH winter solstitial trends  
655 different than in [215] above equatorial America and do not exhibit the significant intensification of the Asia-Pacific  
656 SH HC that is evident in Fig. 7, right panel. These disagreements can be partially explained by the different periods  
657 that have been adopted (1979-2017 for [215], 1979-2009 for [202], 1979-2020 for Fig. 7), as these trends are  
658 strongly affected by natural variability [89, 215], but it is also likely that structural issues can locally cause substantial  
659 differences between re-analyses (namely between ERA-Interim and ERA5).

660

661 Beside land-sea contrast and ocean circulations, a major role in the lack of zonal uniformity of past evolution of  
662 the HC is played by aerosol emissions, whose major sources have moved in the last few decades from North Amer-  
663 ica and Western Europe to East Asia and tropical regions. In the regions of strong convection such as in monsoon  
664 areas and the ITCZ, the BC-induced surface cooling and tropospheric warming could have masked the effect of GHG  
665 forcing, and may have been responsible for regional impacts, such as Sahel drought, Indian monsoon weakening and  
666 meridional shift of the East Asian rainfall pattern observed in the mid-20<sup>th</sup> century. In arid and hyper-arid areas col-  
667 located with the HC descending branch, the overall BC effect could have contributed to expansion of the subtropical  
668 dry zones and local increase of drought intensity [189, 8, 111].

669

670 Dust, which has not been included in CMIP aerosol prescriptions [189], absorbs solar radiation and absorbs/re-  
671 emits thermal infrared radiation [126] exerting a large influence on the energy budget of the dry subtropics, such as  
672 the Saharan and Arabian deserts. Over North Africa, the dust radiative effect strengthens the NH HC, inducing a  
673 slight northward shift of the ITCZ and increased precipitation [12]. However, global climate model simulations show  
674 a contrasting response of the tropical rain belt to dust forcing [247, 133, 249, 250]. The disagreement may arise from  
675 uncertainties associated with different representation of dust optical properties, with large absorption producing a  
676 large negative net radiative effect and a positive impact on the hydrological cycle. On paleo-time scales, dust follows  
677 the long-term oscillations associated with glacial-interglacial cycles. During interglacial humid and more vegetated  
678 periods, such as the mid-Holocene, the HC is wider and stronger [49]. The dust reduction over the "Green Sahara"  
679 strengthens the vegetation-albedo feedback, increasing further the area and intensity of the African monsoon and



**FIGURE 9** ERA5 1979-2020 annual and seasonal trends in the edge (panel a) and strength (panel b) of the zonal mean and regional HCs. Trends in the NH/SH are indicated by open circles/squares, with values statistically significant (at the 95% confidence level) indicated by filled circles/squares. Positive values indicate expansion/strengthening.

680 the local HC [178].

681  
682 CMIP6 projections under the combined SSP3.0-RCP7.0 scenario show a northward shift of the ITCZ over east-  
683 ern Africa and the Indian Ocean and a southward shift in the eastern Pacific and Atlantic Oceans and South America  
684 associated with changes in the divergent atmospheric energy transport by 2100 [153]. These shifts appear to be as-  
685 sociated with meridional SST contrasts; in the Indian Ocean higher SST warming is located in the northern subtropics  
686 while in the eastern Pacific and Atlantic Oceans it is located between 10°S and 5°N. The South Atlantic Convergence  
687 Zone is projected to shift southward in association with the deepening of the south Atlantic subtropical high [176],  
688 while over Africa the ITCZ is projected to shift northward associated with the deepening of the Saharan heat low [64].  
689

690 The multi-model mean local  $\psi(\lambda, \phi, p)$  weakens significantly at most longitudes [215] in an ensemble of simula-  
691 tions of CO<sub>2</sub> quadrupling. However, the widening signature is more complex. In the NH for the winter solstitial HC,  
692 widening is projected over the Middle East and the Western Pacific, while contraction is projected over Southwest  
693 America, North Atlantic and East Asia [see also 47]. The autumn widening of the equinoctial NH HC over east Pacific  
694 and Middle East results in a small NH HC widening. In contrast, SH widening is projected in all four seasons but is  
695 largely driven by widening over the East Pacific where the overturning circulation is weak. In summary, the projected  
696 regional effects of increasing GHG concentration and the trends in reanalyses present large discrepancies, that make  
697 the attribution of regional changes of the HC edges since 1979 to anthropogenic climate change problematic. The  
698 analysis of [50] suggests that the anthropogenic expansion of the tropical belt will emerge within this century only in  
699 few areas of the NH (the Mediterranean/Middle East and, to a lesser extent the Western Pacific).

700

## 701 6 | DISCUSSION AND CONCLUSIONS

702 Theoretical understanding of the HC dynamics generally considers the axisymmetric limit, in which eddies are not con-  
703 sidered, and the eddy-driven small-Ro limit, in which extratropical processes strongly constrain the HC. In Earth's HC,  
704 the relevance of these limits is expected to differ at different points of the seasonal cycle. The axisymmetric scaling  
705 provided by the H&H model [97] represents a theoretical framework for the solstitial winter HC, since its response to  
706 the off-equatorial solar forcing is weakly influenced by eddies. The small-Ro limit [131, 65, 199] represents a theore-  
707 tical framework more suitable than the axisymmetric theory for understanding the HC during equinoctial conditions,

708 when its extension is associated with the activity of the mid-latitude eddies (controlled by the Eady growth rate) and  
709 the position of the storm track. In spite of theoretically different conditions for their validity, both frameworks have  
710 been applied in general to the HC. However, recent studies, which evidence the relevance of mid-latitude processes  
711 (and therefore of the small-Ro limit) both in equinoctial and solstitial conditions, suggest that the axisymmetric theory  
712 is not relevant for the HC width [138, 32].

713  
714 The axisymmetric theory suggests that both HC width and strength increase with the troposphere depth and  
715 radiative equilibrium meridional temperature gradient, and that the strength also decreases with the thermal strati-  
716 fication in the tropics (sect.2.1.1). Small-Ro theory implies that the width is sensitive to changes in baroclinicity in  
717 the subtropics, implying that the latitude of the HC edge shifts consistently with the meridional position of the mid-  
718 latitude storms track, and the HC strength is related to that of the eddy momentum flux divergence in the subtropics,  
719 implying that it varies with the intensity of the mid-latitude eddies (sect.2.1.1).

720  
721 Climate projections, largely driven by the radiative effects of the increasing GHG concentration, show significant  
722 expansion and weakening of the HC, which are consistent with the role of the troposphere depth and of the subtrop-  
723 ical near-surface static stability, respectively [e.g., 147, 120, 224, 134, 49, 87] and/or increased static stability in the  
724 ascending branch with differences among the NH and SH [32, 30]. Since the parameters of the H&H scaling vary with  
725 global warming, the H&H model can be used to support the extension and weakening of the HC with the intensity  
726 of the anthropogenic climate change identified in PMIP3-CMIP5 numerical experiments [49]. The expansion of the  
727 HC is also supported by the small-Ro theoretical limit through the poleward migration of the storm track, increased  
728 static stability, and reduced meridional temperature gradients in the subtropics resulting from anthropogenic global  
729 warming [147, 185, 146, 204, 169]. These are the bases for the expectation of the future expansion and weakening  
730 of the winter HC, but with uncertainties on their magnitude, so that the climate change signal might not emerge from  
731 natural variability in the NH during the 21st century even in a high emission scenario [88].

732  
733 Energy budget considerations suggest that the tropical circulation weakens in response to the increase of gross  
734 moist stability of the tropical troposphere, but strengthens with increasing *NEI*, which are both effects caused by  
735 increasing GHG concentration. Climate models generally favour a decrease in HC strength with warming, although  
736 a strengthening cannot be ruled out either based on models or theoretical considerations, limiting the confidence on  
737 the future weakening of the HC.

738  
739 Historical climate simulations and meteorological reanalyses suggest that an expansion of the HC has occurred in  
740 the recent decades (particularly of the winter solstitial HC). The quantitative agreement among the two sets of data  
741 is poor, with substantially smaller trends in simulations than in reanalyses [e.g., 110, 112, 113, 167, 169, 48, 245],  
742 but they can be reconciled accounting for the large role of natural variability that has approximately doubled the rate  
743 of tropical expansion from that expected from anthropogenic forcing alone [2, 7, 88]. Changes in the HC strength  
744 and their interpretation are uncertain. The HC has been mostly weakening in historical simulations of GHG [245]  
745 but mostly strengthening in reanalyses (as it is shown also the ERA5 data considered in this article) particularly in  
746 the SH. Using sea-level pressure gradients to estimate the change of the HC strength, [34] suggested that the NH  
747 HC has weakened over recent decades, and the weakening is attributable to anthropogenic emissions. The proposed  
748 explanations for these disagreements include structural climate model deficiencies, multidecadal variability [56, 252]  
749 and/or possible artifacts in the reanalyses [48]. In general, there is a growing evidence that properly accounting for  
750 natural variability, climate model and reanalysis results can be reconciled [89, 216, 79, 252] and, though the reanalyses

751 contain structural problems and systematic errors [163, 48, 33], these issues do not prevent a realistic reconstruction  
752 of the past HC evolution.

753

754 Variations of the HC are linked to multiple factors that have played a role in the recent decades. The widening  
755 caused by increasing GHG concentration, has been further amplified by the contribution of the stratospheric ozone  
756 depletion (at least in the SH). The NH cooling produced by anthropogenic aerosols is expected to have exerted an  
757 effect opposite to GHGs that occurred mostly in the NH, but there are large uncertainties in the estimates of their  
758 individual effects. Solar irradiance and volcanic forcing in the 20<sup>th</sup> century have not produced any significant trend of  
759 the HC in climate simulations. In synthesis, there is a consensus among theoretical arguments, reanalyses and climate  
760 model simulations that an expansion of the global HC has occurred in the recent decades, and that increasing GHG  
761 concentration and stratospheric ozone depletion have contributed to it. Consistently with these mechanisms, climate  
762 models project robust future HC expansion in the SH (but not in the NH), and robust future HC weakening in the NH  
763 (but not in the SH) as a result of the GHG increase and the recovery of stratospheric ozone (e.g. [30]).

764

765 Energy budget considerations provide a background for understanding variations of the strength of the *AHT* and  
766 of the shift of the ITCZ, which are relatively recent topics (e.g. they were not highlighted in [59]). The annual mean  
767 location of the ITCZ north of the equator and the associated southward atmospheric heat transport at the equator  
768 are consolidated features across different climates and in recent times. This is caused by the large northward OHT  
769 across the equator overcompensating for different signs of the TOA energy budget of the two hemispheres. Anthro-  
770 pogenic climate change is expected to increase *NEI* in the tropics and, therefore, to simultaneously shift the ITCZ  
771 equatorwards and increase the *AHT*. The magnitude of both effects is uncertain.

772

773 During the last 15 years, regional aspects of the HC have gained relevance as the global perspective has revealed  
774 its limitation for the full understanding of recent, past and future trends of the HC. A measure of this progress could  
775 be the comparison of the discussion in this article with respect to [59], published in 2004, where the importance of  
776 regional features had only begun to emerge. In fact, the global HC concept hides the fact that both ascending and  
777 descending motions are not zonally uniform and are concentrated above the three areas: Equatorial Africa, the Mar-  
778 itime Continent and Equatorial America. While these three regional features, particularly the HC above the maritime  
779 continent, can be clearly identified in all seasons, the HC and an overturning circulation do not exist at all longitudes  
780 and seasons (e.g. in the central Pacific) and the identification of the edges might fail depending on the adopted method.

781

782 The identities of regional HCs and their different behaviors have become clear, also in terms of different past  
783 and future responses, including responses to forcings with regional characterizations (black carbon, volcanic and an-  
784 thropogenic aerosols, tropospheric and stratospheric ozone) and regional responses to globally homogeneous forcing  
785 (anthropogenic GHG). The expansion of the Asia-Pacific circulation in the SH has been the dominant signal in the  
786 last few decades and is expected to play the same role in the future. Further, the OHT variations in the different  
787 ocean basins can determine zonal variations of the ITCZ shift whose sign may change depending on the basin. In fact,  
788 simulations show a future northward shift of the ITCZ over Africa and the Indian Ocean, southward over the Atlantic,  
789 eastern Pacific and Americas. The large differences between the regional responses of the HC to GHG and the trends  
790 observed in reanalyses in the last 40 years, makes the attribution of its recent regional evolution to anthropogenic  
791 climate change problematic [215], and the signal might need several decades to emerge at regional scale, particularly  
792 in the NH [50]. Reducing the existing uncertainties on regional responses of the HC to increasing GHG and other  
793 factors is presently problematic because there are multiple forcing agents present with contrasting effects and likely

794 strong model-dependency of results, which have shown major limitations in reproducing the regional evolution of the  
795 HC in the last decades.

796

797 Though the present theoretical understanding provides useful guidelines, interpretation of past variations (particularly  
798 at the regional scale) is still in part uncertain. CMIP5 and CMIP6 simulations do not capture well HC, ITCZ and  
799 precipitation changes suggesting the need of reducing structural model uncertainties. However, important progress  
800 has been obtained explaining a substantial fraction of recent trends to multidecadal natural variability. A possible way  
801 forward to better connect theory to observation and simulations would be to unify the energetic and momentum-  
802 based perspectives discussed in sections 2 and 3 to a more coherent theory for the HC. Further, an important direction  
803 for future work is to develop theoretical constraints on regional HCs.

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## 813 Competing interests

814 The authors have no competing interests to disclose.

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