

# *Spatial and temporal abilities of proxies used to detect pre-Columbian Indigenous human activity in Amazonian ecosystems*

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1 Title: Spatial and temporal abilities of proxies used to detect pre-Columbian  
2 Indigenous human activity in Amazonian ecosystems

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34

35 **Highlights:**

- 36 • Evidence of pre-Columbian Indigenous human activity is more robust  
37 using a multi-disciplinary approach.
- 38 • Pre-Columbian Indigenous human activity in Amazonia is primarily  
39 reconstructed through analysis of soils, lake sediments, ethnographic  
40 studies, biological collections, and remote sensing data, and each brings a  
41 unique perspective.
- 42 • Each line of evidence used to analyse pre-Columbian Indigenous human  
43 activity has its own potential spatial and temporal resolution and spatial  
44 and temporal limit.

45

46 **Abstract**

47 Humans have been modifying ecosystems since before the Holocene began ca.  
48 12,000 years ago, even in Neotropical regions. The Amazon was once thought to  
49 be ‘pristine’ and only lightly impacted by Indigenous people before European  
50 colonisation in the Americas (e.g., pre-Columbian ); however, multiple lines of

evidence have shown that Indigenous human activities over the past millennia have left ecological legacies on modern ecosystems. We review the various lines of evidence used to reconstruct pre-Columbian Indigenous human activity in Amazonia, and assess the spatial and temporal resolution and limits of each one of them. We suggest that a multi-proxy approach is always preferred, and that lines of evidence that cover overlapping yet discrete spatial and temporal scales can provide a robust and comprehensive assessment of the nuances of pre-Columbian Indigenous human activities in Amazonia, and how they affect modern ecosystems.

**Keywords:**

Archaeology, paleoecology, ethnography, human impacts, plant genetics, biological collections, past human activity

## 65    **Main Text**

### 66    **1. Introduction**

67            Humans are now considered the major driving force in many abiotic and  
68    biotic processes on Earth, and it has been suggested that this has created a  
69    distinct geological era called the Anthropocene (e.g., Crutzen, 2002; Di Marco and  
70    Santini, 2015; Gallardo et al., 2015; Halpern et al., 2008; Lewis and Maslin, 2015;  
71    Pachauri et al., 2015; Vitousek et al., 1997). Humans contributed to the extinction  
72    of Pleistocene megafauna across the Neotropics (Barnosky and Lindsey, 2010;  
73    Rozas-Davila et al., 2021; Rozas-Davila et al., 2016), and have been modifying  
74    landscapes in Neotropical ecosystems for at least the last 12,000 years (Ellis et  
75    al., 2021; Roosevelt, 2013). Forms of human activities, including plant cultivation  
76    and domestication, have also occurred in Neotropical regions for at least 10,000  
77    years (Lombardo et al., 2020; Piperno, 2011; Roberts et al., 2017). Many of these  
78    activities, particularly those that have occurred over the last 2,000 years, likely  
79    left persistent effects, or ecological legacies, on Neotropical ecosystems that are  
80    still visible today (Furquim et al., 2023; Levis et al., 2017; McMichael, 2021;  
81    McMichael et al., 2023; Ross, 2011).

82            Reconstructing human-environment interactions through time is  
83    particularly important in the ca. 6 million km<sup>2</sup> of Amazonian rainforests, which  
84    hold a large proportion of Earth's biodiversity (Olson et al., 2001). The  
85    suggestion that pre-Columbian Indigenous human influence played a large role  
86    in shaping the biodiversity observed in today's ecosystems has generated an  
87    important debate (e.g. Balée, 2010; Clement et al., 2015; Levis et al., 2017;  
88    Piperno et al., 2021; Piperno et al., 2019; Roosevelt, 2013). Most scholars from  
89    various disciplines agree that the pre-Columbian Indigenous Peoples of

90 Amazonia influenced its ecosystems to some degree, but the intensity, cultural  
91 variability, spatial extensiveness, spatial variability, temporal duration and  
92 continuity remain debated (Barlow et al., 2012; Bush et al., 2015; Clement et al.,  
93 2015; Heckenberger et al., 2008; Heckenberger et al., 2003; Levis et al., 2017;  
94 Levis et al., 2012; McMichael et al., 2012a; Piperno et al., 2015). Much of the  
95 controversy results from the overall paucity of data in the region, differences in  
96 the interpretation of the same datasets, and on the ecological heterogeneity of  
97 this vast area. Even the largest ecological datasets within Amazonia reflect  
98 mostly relatively easily accessible areas (McMichael et al., 2017). Addressing  
99 aspects of this debate has important implications for how we conserve and  
100 manage modern ecosystems, as well as for Indigenous sovereignty.

101 Ecologists, paleoecologists, archaeologists, anthropologists,  
102 ethnographers, Indigenous peoples, and local communities can all provide  
103 valuable information about the activities of pre-Columbian Indigenous people  
104 and their influence on Amazonian vegetation and landscapes. These lines of  
105 information, however, come from a variety of sources, are measured at different  
106 spatial and temporal scales, and the capabilities of reconstructing pre-Columbian  
107 human activities vary between them. Integrating different data and knowledge  
108 sources, however, is vital for understanding multifaceted and complex problems,  
109 and to achieve a more reliable and robust assessment of the influence of pre-  
110 Columbian activities on Amazonian ecosystems (Mayle and Iriarte, 2014;  
111 McClenachan et al., 2015; Tengö et al., 2014).

112 A large part of data interpretation is recognizing the advantages and  
113 limitations of the various proxies, methods, and settings used to reconstruct pre-  
114 Columbian Indigenous human activities and their effect on tropical systems.

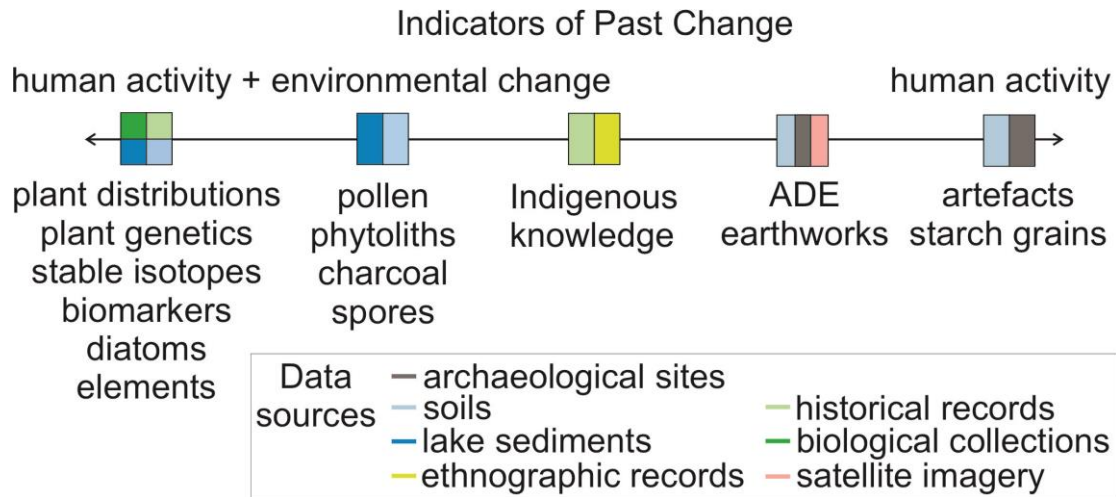
Here we review proxies (i.e., lines of evidence) and settings (i.e., depositional environments or archival material) commonly used to assess pre-Columbian human activities and influences on Amazonian ecosystems, with a specific focus on the spatial and temporal resolution (the potential sampling frequency of the archival material) and spatial and temporal limits (the total amount of space or time potentially captured within the record). We also discuss the detectability and variability of proxies used to assess pre-Columbian Indigenous human activities and their influences on Amazonian ecosystems (Fig. 1). Our goal is to provide a spatio-temporal framework for the various proxies used to assess long-term Indigenous human activity in Amazonian ecosystems so that future work can recognize the advantages and limitations of all of the proxies and integrate them more comprehensively.

## **2. Soils as local-scale archives of pre-Columbian Indigenous human activities and vegetation change**

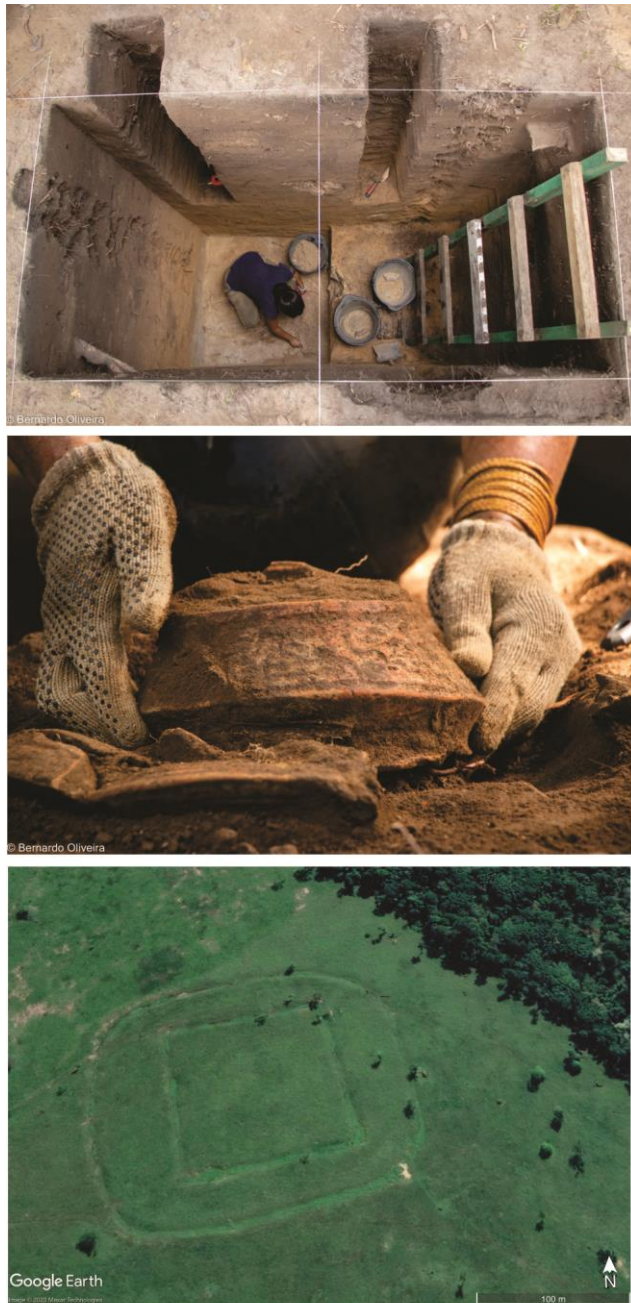
Soils contain a wealth of valuable information and proxies that can be used to reconstruct pre-Columbian human activities and the influence of Indigenous Peoples on the vegetation. Archaeological sites, artifacts, macrofossils, and microfossils that directly document pre-Columbian human activities are all found on, or in, tropical terrestrial soils (Figs. 1-2). Information from archaeological surveys is beginning to be compiled into online databases, including the AmazonArch (Amazonian Archaeological Sites Network), which contains the geographical location and basic archaeological information for over 10,000 sites (Clement et al., 2015; Riris and Arroyo-Kalin, 2019; WinklerPrins and Aldrich, 2010; <https://sites.google.com/view/amazonarch>). The variability



and diversity of archaeological evidence includes sites containing artifacts such as lithics, ceramics, rock paintings, or petroglyphs, earthworks, and anthropogenic soils (called Amazonian Dark Earths, or ADE) (e.g., Neves et al., 2021) (examples of ceramics and earthworks shown in Fig. 2). At some of these sites, pre-Columbian people have modified soil texture, nutrient content, and stable isotopes, leaving persistent legacies of soil properties for thousands of years (Glaser and Birk, 2012; Glaser and Woods, 2004; Lehman et al., 2003).



**Fig. 1:** The array of proxies that can be used to detect pre-Columbian Indigenous human activity in Amazonia, and the source, or archival material from which they are derived. The proxies are shown on a gradient from those which are used specifically to assess human activities (far right) to those which are used to assess both human and non-human processes (far left). ADE = Amazonian Dark Earths.

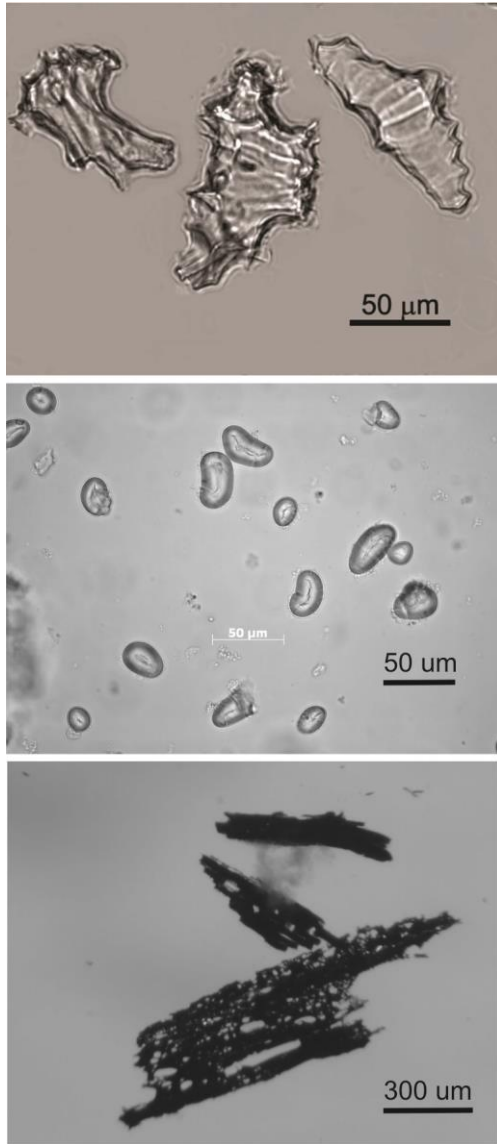


**Fig. 2:** Examples of directly documented evidence of human activity found in Amazonian soils. The top panel shows an archaeological excavation, and the middle panel shows ceramic artifacts that were uncovered during the excavation (Photos: Bernardo Oliveira/Instituto Mamirauá). The bottom panel shows a Google Earth image of earthworks that were uncovered in southwestern Amazonia following deforestation of the landscape.

164           Phytoliths are microscopic bodies of silica that are produced in the cells of  
165 many plant species and often preserved in high quantities in soil archives where  
166 pollen and macrofossils have largely decayed (Piperno, 2006) (example shown in  
167 Fig. 3). Phytolith analysis is commonly performed on samples obtained at  
168 archaeological sites, but also in soils that are not associated with archaeological  
169 settings such as lake sediments and terrestrial soils (Fig. 1). Phytoliths often  
170 reflect localized plant decay, particularly in terrestrial soils, as they do not have  
171 intrinsic dispersal mechanisms that would carry them considerable distances  
172 from the depositional environment as can be the case with pollen. Studies of  
173 phytoliths in surface soils from tropical forests in Panama showed that phytolith  
174 movement, via fire, or surface water transport, can be as little as 25-30 m from  
175 their source area (Piperno, 1988) or up to 100-120 m from their source plant  
176 (Piperno, pers. Comm.; Piperno and McMichael, manuscript in  
177 preparation). Further, lakes with in-flowing streams may contain phytoliths from  
178 considerable distances, and in fluvial forest soils from Brazil, phytolith  
179 movement from areas a substantial distance upstream is indicated (Watling et  
180 al., 2016). Seasonally flooded savannas might be expected to be similar.

181           Phytoliths directly document different types of vegetation, such as  
182 savanna, open forests with bamboo, evergreen, semi-evergreen, and deciduous  
183 forest, and early successional growth typical of human disturbance. Phytoliths  
184 also directly detect some major and now-minor crops and other economic plants,  
185 (e.g., maize [*Zea mays* L], *Cucurbita* spp. [squashes and gourds], manioc [*Manihot*  
186 *esculenta* Cranz], arrowroot [*Maranta arundinacea* L.], and various palm species)  
187 (Carson et al., 2014; Dickau et al., 2013; Lombardo et al., 2020; McMichael et al.,  
188 2012a; McMichael et al., 2012b; Piperno, 2006; Watling et al., 2016; Whitney et

189 al., 2013; Whitney et al., 2014). All palms, grasses, and sedges, and many  
190 arboreal basal angiosperms and eudicotyledons, produce high phytolith  
191 numbers diagnostic to at least the family, and not uncommonly, genus levels  
192 (Huisman et al., 2018; Morcote-Ríos et al., 2016; Morcote-Ríos et al., 2015;  
193 Piperno, 2006; Piperno and McMichael, 2023; Piperno et al., 2019; Witteveen et  
194 al., 2022). The high phytolith production of palms and grasses also means that  
195 their absence in the record actually represents the absence of these taxa in the  
196 vegetation (Piperno et al., 2015). Not all plants produce phytoliths, however, and  
197 some taxa will remain undetectable in reconstructions (Piperno, 2006). This is  
198 the case with some major economically important trees, e.g., *Bertholletia excelsa*  
199 (Brazil nut), *Annona* spp. (soursop, cherimoya), guava (*Psidium guajava* L.).  
200



**Fig. 3:** Microfossils found in soils in Amazonia that can document human activity and environmental changes. The top panel shows phytoliths from the tree *Licania micrantha* Miq. (Chrysobalanceae), which are diagnostic to at least the genus level (Piperno and McMichael, 2023) (Photo: Dolores Piperno). The middle panel shows starch grains of *Phaseolus vulgaris* L. (beans) (Photo: Dolores Piperno). The bottom panel shows charcoal isolated from Amazonian soils (Photo: Crystal McMichael).

211           Starch grains (example shown in Fig. 3) can identify certain cultivars,  
212   such as maize (*Zea mays* L.), squashes (*Cucurbita* spp.), manioc (*Manihot*  
213   *esculenta* Cranz), and other tuber crops (e.g., Pearsall et al., 2004; Piperno, 2006;  
214   Piperno, 2011). Some crops that do not produce phytoliths, such as peanuts  
215   (*Arachis hypogaea* L.) and chili peppers (*Capsicum* spp.), have diagnostic starch  
216   grains (e.g., Dickau et al., 2007; Ezell et al., 2006; Piperno, 2006). Beans,  
217   including *Phaseolus* species, and some palm trees that were used by pre-  
218   Columbian Indigenous Peoples also produce identifiable starch grains (Watling  
219   et al., 2018). The starch grains are usually isolated from ceramics or stone tools  
220   found at archaeological sites (Fig. 1) (Iriarte et al., 2004; Pearsall et al., 2004;  
221   Watling et al., 2018; Young et al., 2023). Macrobotanical remains, often  
222   carbonized, are typically recovered from site sediments and along with the  
223   starch grains and phytoliths, provide empirical evidence for the diets and  
224   lifestyles of pre-contact Indigenous Peoples (e.g., Furquim et al., 2021; Watling et  
225   al., 2018).

226           Macroscopic charcoal fragments (e.g., > 500µm) found in soils, including  
227   at archaeological sites, represent localized past fire events (e.g., Rhodes, 1998;  
228   Whitlock and Larsen, 2002) (example shown in Fig. 3). In the aseasonal forests  
229   with a limited dry season (for instance, those found in northwestern Amazonia),  
230   forest fire almost always starts with human intervention (Fig. 1) (Bush et al.,  
231   2008; Malhi et al., 2008). The presence of charcoal in these aseasonal forests  
232   indicates human activity (Bush et al., 2008), but escaped wildfires in these areas  
233   can also occur during extreme droughts (Flores et al., 2017). In drier areas, i.e.,  
234   the seasonal forests that border savannas in eastern Amazonia, fires are less  
235   dependent on human ignition, but are still more frequent when humans are

present (Alencar et al., 2004; Maezumi et al., 2015; Maezumi et al., 2018b; Nepstad et al., 2004; Power et al., 2016; Ramos-Neto and Pivello, 2000). Paleoeological and archaeological data show that fire frequency across Amazonia was more frequent in the late Holocene, when climate was wetter than the early- to mid-Holocene (Arroyo-Kalin and Riris, 2021; McMichael and Bush, 2019; Nascimento et al., 2022), highlighting that the primary source of ignition was human activity.

Charcoal retains diagnostic morphological features of the plant from which it is derived, and can be used to identify types of plants, e.g., woody versus non-woody taxa (Bodin et al., 2020; Di Pasquale et al., 2008; Orvis et al., 2005). Charcoal morphology can sometimes provide taxonomic identification to the family-level and sometimes genus or species level in tropical ecosystems, and has been used to characterize land use and successional forests at archaeological sites (Bachelet and Scheel-Ybert, 2017; Bodin et al., 2019; Cartwright, 2015; Fernandes Caromano et al., 2013; Goulart et al., 2017; Iriarte et al., 2020; Scheel-Ybert et al., 2014). The chemical properties (i.e., FTIR spectroscopy) of charcoal fragments can also be used to infer burn temperature of the fire events, and can also distinguish plant types (e.g. woody vs grassy material) that were burned (Gosling et al., 2019; Maezumi et al., 2021).

With soil surveys to reconstruct past fire events, replicate soil cores are typically collected from a given site (100m – 200 m radius) (Hammond et al., 2006; McMichael et al., 2012a; McMichael et al., 2012c) to account for the uneven deposition of charcoal that occurs on localized scales after burning of vegetation (e.g. Lynch et al., 2004). Thus, the repeated absence of charcoal from soil cores located in close proximity can be confidently interpreted that the sampled area

truly lacked fire rather than the sampling was unable to detect the fire (McMichael et al., 2015; McMichael et al., 2012a). Replicate soil cores can also indicate whether large tracts of vegetation were burned or repeatedly burned, especially if some of the particles are  $^{14}\text{C}$  AMS dated (Feldpausch et al., 2022; Heijink et al., 2022; McMichael et al., 2012a; Sanford and Horn, 2000; Whitlock and Larsen, 2002). Replicate cores are often also analysed to look at how vegetation change has occurred over relatively small geographic scales or along environmental gradients (e.g., Heijink et al., 2022; McMichael et al., 2012a; McMichael et al., 2012b; Watling et al., 2017).

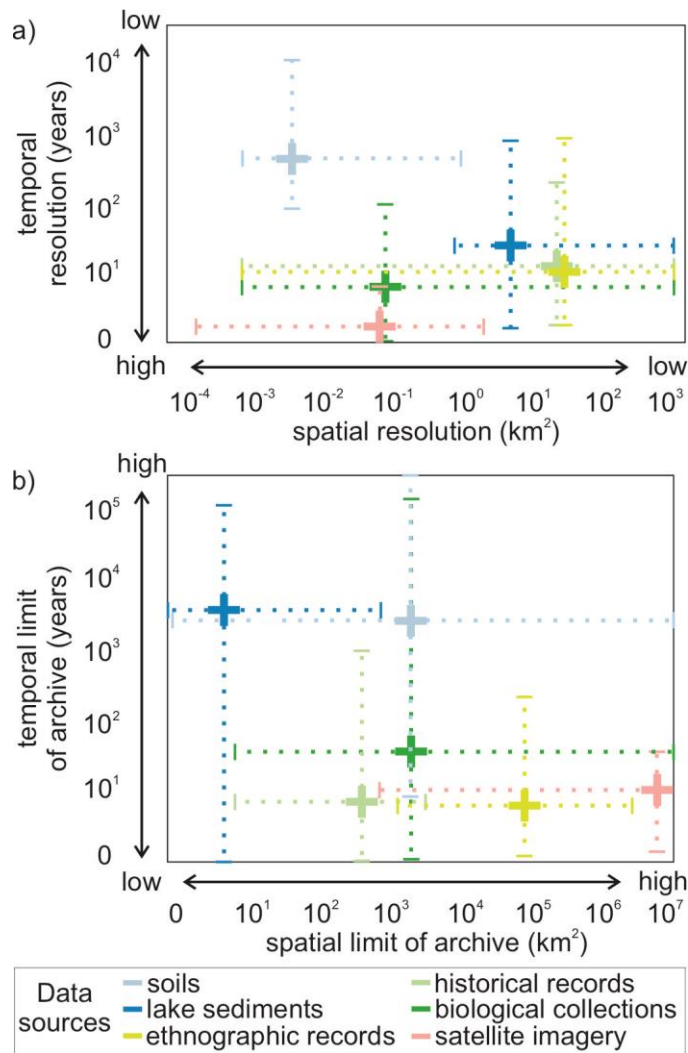
Stable carbon and nitrogen isotopes are commonly used to infer vegetation dynamics (Fig. 1) (de Freitas et al., 2001; Pessenda et al., 1998). Stable carbon isotopes from soils have also been used to infer landscape transformations by pre-Columbian Indigenous Peoples. These are particularly useful for documenting changes or shifts between  $\text{C}_3$  and  $\text{C}_4$  grass assemblages and vegetation changes on pre-Columbian raised fields (Iriarte et al., 2010; McKey et al., 2010; Watling et al., 2017). The analysis of stable carbon and nitrogen isotopes from bone collagen has also been used to reconstruct dietary changes in pre-Columbian Indigenous Peoples (e.g., Colonese et al., 2020; Roosevelt, 1989).

Soils have a very high spatial resolution as multiple samples can be collected within meters of each other (i.e., high potential sampling frequency) and a very high spatial limit because they are found almost everywhere in terrestrial systems (Fig. 4). The temporal limit of soils is also very high; soils capture evidence from modern times to thousands of years ago (Fig. 4). The uppermost 1m of Amazonian soils typically represent the last several thousand



286 years (Piperno, 2016; Piperno et al., 2021). Soils, however, have a low temporal  
287 resolution due to processes such as soil formation, erosion, and bioturbation,  
288 and establishing age-depth relationships is not always possible (e.g., Mayle and  
289 Iriarte, 2014; Sanford and Horn, 2000)(Fig. 4a). Radiocarbon ( $^{14}\text{C}$  AMS) dates  
290 from archaeological sites or soil microfossils usually have a 2-sigma precision of  
291 ca. 100 years (Neves et al., 2004; Piperno, 2016; Schaan et al., 2012; Taylor and  
292 Bar-Yosef, 2016). When multiple dates are obtained from the same core,  
293 however, general trends in fire or vegetation of older to younger within soil  
294 cores can often be established (e.g., Hill et al., 2023; McMichael et al., 2012a;  
295 McMichael et al., 2012c; Piperno et al., 2021).

296



**Fig. 4:** The spatial and temporal characteristics of data sources used to assess past human activities and vegetation change. (a) Each type of archival material color-coded and plotted in relation to its spatial resolution (the highest possible sampling frequency of archival material across space) and temporal resolution (the highest possible sampling frequency of archival material through time). (b) The types of archival materials are plotted in relation to their spatial and temporal limits (the total amount of space or time captured within an archive). Dotted lines indicate the potential range of resolution/limit achieved from each data source. Crosses are centered on the most common resolution/limit achieved by sampling efforts on the data sources in Amazonia.

308

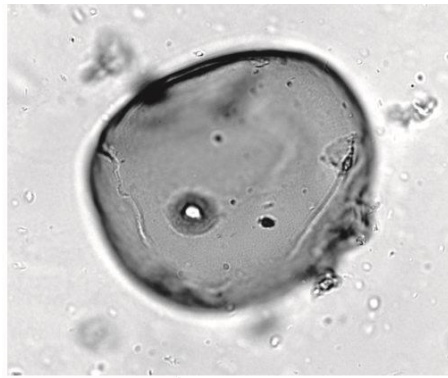
### 309 **3. Lake sediments as local- to regional-scale archives of pre-Columbian**

#### 310 **Indigenous human activity and vegetation change**

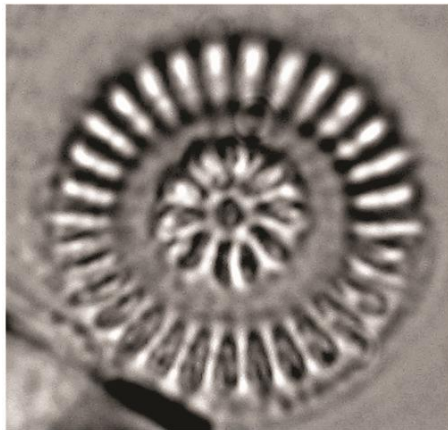
311       Like soils, lake sediments also contain microfossils that can be used to  
312 document pre-Columbian human activities and the resulting legacies on  
313 ecosystems. Lakes sufficiently old for palaeoecological studies are rare across  
314 much of Amazonia, limiting the spatial resolution of palaeo-vegetation  
315 reconstructions (Bush and Silman, 2007), although the temporal resolution can  
316 sometimes be high (Fig. 4). Unlike soils, lake sediments typically have continuous  
317 deposition and thus robust stratigraphic integrity, and age-depth relationships  
318 can be derived that place temporal frameworks on human activities and  
319 environmental change. Most lake sediment records from Amazonia contain  
320 samples analyzed at centennial scale temporal resolution (e.g., every century to  
321 several hundred years)(Nascimento et al., 2022). In rare deep lakes with anoxic  
322 conditions, however, the sediments may retain sub-decadal stratigraphy,  
323 allowing an almost continuous insight into the local dynamics of human activity  
324 and forest recovery (Fig. 4) (Åkesson et al., 2021; Bush et al., 2016; Bush et al.,  
325 2021a). The temporal limit of lake sediment records varies depending on lake  
326 type, local depositional environment and preservation conditions (Fig. 4). Few  
327 sites in Amazonia extend back to the Pleistocene (Mayle et al., 2000; Whitney et  
328 al., 2011), as most non-riverine lakes were formed (and sedimentation began) in  
329 the mid- to late-Holocene periods (ca. 8000-4000 years ago) (e.g. Bush and  
330 McMichael, 2016; Bush et al., 2007; Carson et al., 2014; Nascimento et al., 2022;  
331 Urrego et al., 2013).

Charcoal abundances and their changes within a sedimentary sequence are typically used to infer changes in the amount of biomass burning in the surrounding landscape (e.g. Marlon et al., 2013; Marlon et al., 2016); equated to fire severity (Keeley, 2009). Charcoal particles are deposited into lake sediments from airborne or terrestrial sources, and assessing size classes of charcoal particles is commonly used to distinguish local from regional input (Clark and Royall, 1996; Sanford and Horn, 2000). The relationships between charcoal abundance and biomass burned, and the source area of charcoal particles for Amazonian lakes remain poorly documented and need further exploration.

Organic macrofossils and microfossils (e.g., pollen and spores) that decay in soils typically preserve in lake sediments. Fungal spores can be associated with fire and thus human activity (Fig. 1) (Brugger et al., 2016; Loughlin et al., 2018). Tree and shrub pollen can generally be identified to a more specific taxonomic level than phytoliths, although the inverse is true for herbaceous taxa (especially grasses and sedges) and palm taxa (Piperno, 2006). Over 1000 pollen morphotypes from Amazonia have been identified and catalogued (Bush and Weng, 2007), with up to over 100 pollen types being identified within a single pollen sample (Åkesson et al., 2021). Pollen from domesticated maize (*Zea mays*) (see example in Fig. 5) can be reliably identified because wild *Zea* does not occur in South America, but major crop plants such as manioc (*Manihot esculenta*) and sweet potato (*Ipomoea batatas*) cannot be differentiated from their wild varieties using pollen because the latter are native to South America, and taxonomic distinctions cannot reliably be made (Mayle and Iriarte, 2014; Whitney et al., 2012).



50 um



5 um

**Fig. 5:** Microfossils found in Amazonian lakes that can indicate human activity or environmental change. The top panel shows a pollen grain of *Zea mays* (corn), and the bottom panel shows a diatom, *Discostella steligera* (Cleve & Grun.) Houk & Klee, which can indicate water turbidity or lake level. (Photos: Majoi de Novaes Nascimento).

The spatial representation of pollen and phytolith data depends on site-specific characteristics of the lake. Phytoliths from lake sediment cores have varying source areas that depend on lake size and the presence of in-flowing streams; the assemblages are often mixtures of these source areas (Carson et al., 2014; Mayle and Iriarte, 2014; Piperno, 2006; Plumpton et al., 2020; Whitney et al., 2013; Whitney et al., 2014). Pollen assemblages also reflect a combination of

local and regional inputs, and this varies, not only depending upon lake size and the presence or absence of inflowing streams, but also on the relative proportion of wind-pollinated taxa in the parent vegetation (Bush et al., 2021b; Jacobson and Bradshaw, 1981). In the forest-savanna ecotone regions of Bolivia, where the dominant forest taxa (e.g. Moraceae) have wind-dispersed pollen, large lakes may have a pollen source area of up to 40 km (Whitney et al., 2019). However, in many areas of Amazonia, closed-canopy forests are dominated by insect-pollinated taxa, where very small lakes register much smaller pollen source areas of potentially only 1-2 km<sup>2</sup> (Blaus et al., 2023). Differentiating between local- and regional-scale human land-use and deforestation is possible, however, with pollen analyses from tight clusters of small lakes (Bush et al., 2007) or pairs of small and large lakes (Carson et al., 2014). It is also important, where possible, to pair lake sediments from small lakes with nearby archaeological sites to provide matching spatial resolution and a continuous temporal framework of past land use (Carson et al., 2014; Mayle and Iriarte, 2014; Whitney et al., 2014).

Diatoms are siliceous microalgae that are found in water bodies that provide information about environmental or hydrological conditions (e.g., Battarbee, 1986; Benito et al., 2018) (Fig. 1, for example see Fig. 5). Diatoms are commonly assessed in lake sediment reconstructions, and can indicate changes in hydrology (e.g., lake level recorded by changing proportions of deep *versus* shallow water taxa) or water quality that are related to climate dynamics (Castro et al., 2013; Nascimento et al., 2021) (Fig. 1). Diatoms, however, can also provide information about pre-Columbian human activity in Amazonia (Fig. 1). They have been used to document changes in wetland management (Duncan et al.,

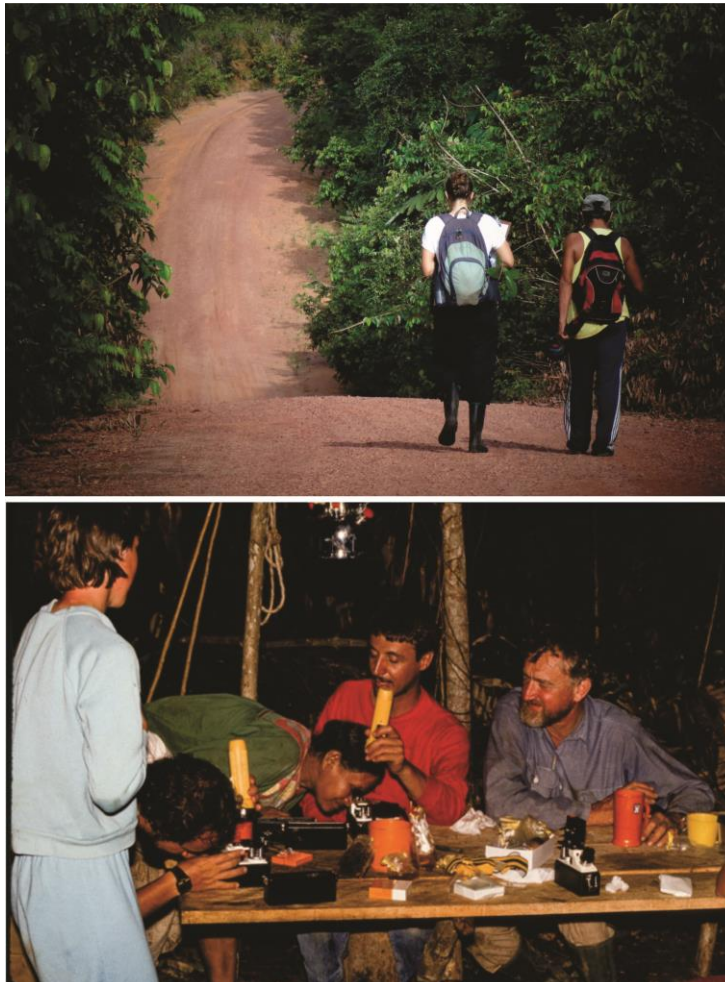
2021), and nutrient status and productivity (Bush et al., 2016). Diatom assemblages can also be used to parse apart climatic and human-induced vegetation changes in lake sediment records (e.g., Bush et al., 2000) (Fig. 1).

Lake sediments also contain stable isotopes and chemical elements that can shed light on pre-Columbian human activity (Fig. 1) (Hodell et al., 2005; Hodell et al., 1995). For example,  $\text{Ca}^{++}$  and  $\text{K}^{+}$  concentrations were used to provide information on lake level changes related to climatic fluctuations alongside human activity (Bush et al., 2000; Sahoo et al., 2019). More recently, micro- X-ray fluorescence (XRF) has become a standard tool in paleolimnology, including multivariate analysis of XRF data (Parsons et al., 2018) or ratios of cation concentrations, such as  $\text{Ca}/\text{Ti}$  (proxy for drought),  $\text{Fe}/\text{Mn}$  (proxy for lake depth) or  $\text{Rb}/\text{Sr}$  (proxy for grain size) (Davies et al., 2015). XRF data have been used to reconstruct human-induced soil runoff and erosion (Åkesson et al., 2019), and to place human activities in a context of environmental change (Aniceto et al., 2014; Maezumi et al., 2018b; Rodríguez-Zorro et al., 2015). Sediment color, which reflects abundances of organic material and clays, can also provide information on environmental rhythms or human-induced change (Bush et al., 2017; Bush et al., 2000; Rodbell et al., 1999).

#### **4. Ethnographic, ethnohistorical and ethnoecological data provide insights into pre-Columbian Indigenous human activity and vegetation change**

Ethnographic, ethnohistorical and ethnoecological studies can be used to assess, document, interpret and obtain insights from Indigenous and local knowledge systems (for example see Fig. 6). Indigenous and local knowledge systems provide valuable information that can be used to interpret pre-

Columbian human activities (Fig. 1) (Cassino et al., 2019), such as: (i) resource use and management, including past and modern distributions of plant resources (Cassino et al., 2019; Levis et al., 2018; Levis et al., 2020); (ii) how lifestyles and resource management systems influence, and are influenced by landscapes (Balée, 2006); (iii) the technological and labour constraints on resource use (Junqueira et al., 2016); and (iv) the population densities that can be sustained in different ecosystems and by different production systems (Heckenberger et al., 2008).



**Fig. 6:** Examples of ethnographic studies and working with Indigenous and local people in Amazonia. Top panel shows researcher Carolina Levis conducting an



ethnoecological study with a local resident of the Tapajós National Forest of Brazil (Photo taken in 2014 by Bernardo Flores). Bottom panel shows researchers Paul Colinvaux (right), Paulo de Oliveira (second from right) and Melanie Reidinger (left) exchanging knowledge of microfossils and Amazonian plants with members of the Siona ethnic group (Photo taken in 1988 by Mark Bush).

Ethnography refers to the in-depth description of everyday life and practice of a given culture or society [Oxford (2016); see also Hammersley and Atkinson (2007) for a broader definition], and ethnohistory combines ethnography with the scrutiny of historical records and other sources of information (Axtell, 1979). Ethnoecology is the study of people's interactions with their environment, often with special attention to current knowledge and practices concerning resource use and management, including the subdisciplines of ethnobotany and ethnozoology (Martin, 2004). The subdiscipline of ethnoarchaeology involves ethnographic studies performed by archaeologists with an explicit focus on material culture (Politis, 2014). Much of the culture and resource management practices of the current inhabitants of Amazonia have been inherited from pre-Columbian populations, but transformed to different extents because of the heterogeneous and discontinuous history of human occupation in the region (Cleary, 2001; Denevan, 2001). For example, European arrival caused a massive die-off of Indigenous populations (Denevan 1992), and many of the remaining groups were fragmented, displaced, or enslaved during European colonization (Dobyns, 1966). Thus, the projection of ethnographic data to past lifestyles and production systems requires caution (McClenachan et al.,

2015). Ethnographic data cannot always be tied to human activity at specific times in the past, but local Indigenous knowledge is crucial to locating ancestral forests, anthropogenic soils and other signs of human activity, especially in remote regions (Franco-Moraes et al., 2019; Kopenawa and Albert, 2023).

Indigenous Peoples are the descendants of native ethnic groups that retain historical and cultural connections with pre-Columbian Indigenous societies, though major social disruptions and upheavals occurred with European colonizations (Cook, 1998; Livi-Bacci, 2016). Most ethnographic and ethnoecological studies were done by non-Indigenous scientists. Recent collaborative studies with contemporary Indigenous people have posited that Amazonian ecosystems have been transformed by an extensive and long-term network of social relations and interconnections between humans (e.g., Heckenberger et al., 2008; Ribeiro et al., 2023). Future research should promote participatory and collaborative approaches, as Indigenous people and their knowledge systems can inspire new ideas to enhance understanding of human activities and vegetation changes (for example see Fig. 6).

Archaeological evidence combined with observation of Indigenous production systems suggests, for example, that pre-Columbian production systems in some regions seem to have been much more based on agroforestry and on the management of forest and aquatic resources compared with colonial systems (Maezumi et al., 2018a; Moraes, 2015; Neves, 2013; Shepard Jr et al., 2020). Ethnographic work on current soil and waste management systems has also been essential to our understanding of the processes that led to the formation of Amazonian Dark Earth (ADE) (Hecht, 2003; Schmidt et al., 2014; Winklerprins, 2009).

482           The variance and scarcity of ethnographic data limits extrapolations of  
483 locally derived information to other regions within Amazonia. For example,  
484 while major crops like maize and manioc were grown in most regions of the  
485 basin, some minor crops, or useful native species, that are culturally or  
486 economically important in a certain region may not be so in others. Instead of a  
487 direct projection of the present into the past, ethnographical research provides  
488 *insights* to further understand ancient Indigenous livelihoods, resource  
489 management strategies and their potential impacts in past and current  
490 landscapes (McClenachan et al., 2015). Ethnographic studies also provide  
491 valuable information on Indigenous resource use and societal practice since  
492 European colonization, and facilitate disentangling the effects of pre- and post-  
493 Colonial human activities in current landscapes (Forline, 2008). Ethnographic  
494 data can be paired with linguistics, as it is known that groups within the same  
495 language families are more likely to share similar resource management  
496 systems, e.g., the Arawak (Eriksen and Danielsen., 2014). Historic distributions of  
497 Indigenous languages (e.g., Eriksen, 2011), may thus facilitate ethnographic  
498 projections across space since European colonization.

499           Historical documents originating during the early colonization of  
500 Amazonia may also provide insight into pre-Columbian Indigenous land use  
501 systems. Francisco Orellana led the first expedition down the Amazon River in  
502 AD 1540, which was recorded by Gaspar de Carvajal (Medina, 1934). Early  
503 colonists moved in and established Jesuit missions later in the AD 1600s in  
504 several regions (Reeve, 1994), and the Amazonian Rubber Boom occurred from  
505 ca. AD 1850-1920 (Hecht and Cockburn, 2010; Weinstein, 1983). All these events

had corresponding historical documents that recorded aspects of Indigenous Peoples and their interactions with the colonists.

The spatial resolution of ethnographic records and historical data has the potential to be very high (Fig. 4). There is also a high potential temporal resolution of both historical and ethnographic records, though available data through time are relatively sparse. The temporal limit of historical documents encompasses only the last several hundred years since European arrival, and the temporal limit of ethnographic records is bound by the memories of local residents, although it can extend much further back in time through knowledge transmission across generations (Fig. 4b). Because of the fragmentation and upheaval of Indigenous populations after European colonization, it remains contentious as to how far back in time ethnography can be extrapolated back. In some cases there is a strong cultural and historical continuity between ancient and contemporary Indigenous Peoples, but in other cases contemporary Indigenous Peoples have inhabited their current lands for only several decades (e.g., the Kichwa People of Andean descent that now inhabit areas of lowland Ecuador).

## **5. Modern datasets provide insight to pre-Columbian Indigenous human activities and vegetation change: Biological collections and remote sensing data**

Biological collection records include plant and animal surveys (inventories), biological or ecological monitoring networks (e.g., Anderson - Teixeira et al., 2015; Malhi et al., 2002; ter Steege et al., 2013), and herbarium or

531 museum specimens (e.g., Feeley and Silman, 2011) (for example see Fig. 7).  
532 These biological collection records are used to assess the presence, absence, and  
533 abundances of plants and animals in modern ecosystems (or those during the  
534 historic period). Although biological records provide valuable information about  
535 past (pre- and post-colonial) activities, they do not directly measure pre-  
536 Columbian Indigenous influences on ecosystems (Fig. 1). Biological records need  
537 linkages with archaeological, paleoecological, genetic, or ethnographic data on  
538 the degree and form of past human activities to infer cause and effect  
539 relationships (e.g., Heijink et al., 2022; Heijink et al., 2020; Levis et al., 2017;  
540 Piperno et al., 2021).



542  
543 Fig. 7: Researcher Carolina Levis measures and identifies a piquiá tree (*Caryocar*  
544 *villosum*) with a local resident of the Tapajós National Forest of Brazil (Photo:  
545 Bernardo Flores).

546

547           Past and current human activities are increasingly recognized as factors  
548 influencing species' distributions (Boivin et al., 2016; Di Marco and Santini, 2015;  
549 Gallardo et al., 2015; Guisan and Thuiller, 2005; Halpern et al., 2008). Humans  
550 have modified the distribution range and abundance of several plant species,  
551 expanding the distribution of useful and domesticated plants more often than  
552 plants without a documented use to humans (Balée 1989, Levis et al. 2017,  
553 Coelho et al. 2021). For instance, manioc (*Manihot esculenta*) was cultivated by  
554 Indigenous people throughout the Holocene (Piperno, 2011). Currently,  
555 domesticated manioc populations are cultivated throughout the tropics, while  
556 the direct ancestor of domesticated manioc (*M. flabellifolia*) is limited to South  
557 America (Olsen and Schaal, 1999). Differences between the natural distribution  
558 and the human-modified distribution of cultivated species, and especially those  
559 with domesticated populations can indicate past human activities. Several palms  
560 and trees that are used for food are abundant in plant assemblages of  
561 archaeological sites (Balée, 1989; Junqueira et al., 2010). Modern plant  
562 inventories that are closer to archaeological sites also tend to have a higher  
563 abundance and diversity of useful and domesticated plants (Levis et al., 2017;  
564 Levis et al., 2012; Thomas et al., 2015).

565           Plant genetic material (DNA) is typically derived from plants but can also  
566 be found in soils and sediments. Genetic material from plant remains can be  
567 linked with past events in human history, such as plant domestication and  
568 migrations (Fig. 1) (e.g. Clement, 1988a; Clement, 1988b; Gutaker and Burbano,  
569 2017; Moreira et al., 2017; Roullier et al., 2013). Genetic studies can also provide  
570 information about species with populations that were domesticated by humans,

because selection and cultivation of desirable phenotypes results in changes in morphology, physiology, and genotype of descendent populations (Emshwiller, 2006; Harlan, 1992; Olsen and Schaal, 2001). The whole set of selected phenotypic changes in a species is termed its domestication syndrome, which can be studied with morphological, chemical, archaeobotanical, and molecular genetic methods (e.g. Emshwiller, 2006; Meyer et al., 2012; Smith, 2006).

Species with populations with some degree of domestication show patterns of morphological variation and genetic diversity and structure across geographical space that result from domestication events, dispersal and subsequent diversification (Meyer and Purugganan, 2013). Economically important domesticates are more likely to show dramatic morphological changes, such as a 2000% increase in fruit size from wild source populations of peach palm (*Bactris gasipaes*) compared with some domesticated populations (Clement, 1988b). Dispersal events are often accompanied by other natural and human selection pressures, resulting in diversification of uses, variation in morphology, chemical composition and physiology (Meyer and Purugganan, 2013), and adaptation to domesticated landscapes (Clement, 1999).

Until very recently, plant geneticists worked exclusively with living plants or samples collected over the last 200-300 years (e.g., Roullier et al., 2013). Over the last decade, new molecular genetic methods have allowed the extraction and analysis of DNA from archaeobotanical remains (Wales et al., 2014). Ancient DNA (aDNA) is increasing the ability to document the genetic history of plants, can differentiate crops from their wild ancestors, or estimate genetic change and migration of domesticated plants or cultivars over time (Freitas et al., 2003; McLachlan and Clark, 2005; Piperno, 2011). It is now even possible to extract

and date aDNA recovered from pollen grains found in lake sediments and historical samples (Gutaker and Burbano, 2017; Parducci et al., 2017). aDNA is denatured very rapidly in tropical lake sediments (due to the hot, wet conditions), though extraction has been successful in the African tropics (Bremond et al., 2017). Geographic representation of aDNA samples is patchy, although many crop plants have been databased.

Biological collection records can be collected from anywhere on Earth, so their potential spatial limit is endless (Fig. 4). To date, however, sampling covers only a small portion of the 6 million km<sup>2</sup> of Amazonia (Carvalho et al., 2023; ter Steege et al., 2013). Ground based surveys of plant and animal distributions and abundances can also have high spatial resolution, with hierarchies of transects or 1-ha plots commonly clustered within a region (e.g., ter Steege et al., 2013). Biological inventories can also be measured at yearly frequencies, and have high temporal resolution, but most repeated censuses only span a few decades (Malhi et al., 2002; Phillips et al., 1994)(Fig. 4).

Ground-based biological collections and satellite imagery are often paired in modern ecological and global change studies. Satellite imagery has also been used to infer soil and vegetation legacies of pre-Columbian land use (Iriarte, 2016; Palace et al., 2017; Thayn et al., 2011). Satellite data from Landsat, Sentinel, and MODIS, typically capture landscape features at 30-m to 1-km spatial resolution (Fig. 4). Landsat has been used to detect legacies of pre-Columbian land use (Heckenberger et al., 2003; Söderström et al., 2016), and MODIS has been used to detect or predict Amazonian Dark Earth (ADE) (Palace et al., 2017; Thayn et al., 2011). This detection is possible because pre-Columbian Indigenous Peoples permanently changed the soil characteristics, which affects



the types of vegetation that can grow on those soils (Junqueira et al., 2011). The differences in modern biomass between ADE and forested non-ADE sites within the same region is also detectable using MODIS satellite imagery (Palace et al., 2017).

Remotely sensed data has low temporal limits (Fig. 4). Satellite images are only available for the last few decades, although aerial images may extend further back in time. However, they have higher spatial limits than biological collections, and usually have Amazonian-wide spatial coverage (Fig. 4).

## **6. Dating data sources and proxies used in assessing pre-Columbian Indigenous human activity and vegetation change**

Different scientific disciplines assessing pre-Columbian Indigenous human activities place differing emphases on dating or age control of their data sources or proxies. In soils, archaeologists and paleoecologists typically use  $^{14}\text{C}$  AMS dating (radiocarbon dating) to obtain ages on specific material(s) of interest. In archaeological surveys, multiple ages are usually derived from specific horizons of interest where artifacts are recovered (e.g., Roosevelt et al., 1996). Sometimes, however, ages of specific horizons are inferred from a known type of pottery or artifact that has been recovered and dated from another location (e.g., McEwan, 2001). Paleoecologists will typically obtain dates from individual charcoal fragments or conglomerations of phytoliths recovered from soil cores or profiles (e.g., Heijink et al., 2022; McMichael et al., 2012a; Piperno et al., 2021; Watling et al., 2017). In both archaeological and paleoecological surveys in soils, repeated dating across sites can help determine the

synchronicity of events across space. Because of soil bioturbation, age-depth models are not applied to soil profiles. General stratigraphic trends, however, are often intact (Piperno et al., 2021; Watling et al., 2017).

Lake sediments typically retain stratigraphic integrity, and age-depth models (e.g., Blaauw and Christen, 2011) are often used to reconstruct a temporally continuous sedimentary sequence. The age-depth models typically require fewer  $^{14}\text{C}$  dates than may be obtained with soil surveys, but the more dates obtained on a sequence, the more confidence can be placed in the model. With lake sediments, specific layers of change or markers of human activity can be directly dated to provide additional confidence for the timing of those events. Additional temporal control can also be placed on the younger sections of lake sediment cores using  $^{210}\text{Pb}$  dating, which can be used on sediments less than 150 years old (e.g., Sanchez-Cabeza and Ruiz-Fernández, 2012). Age-depth models can incorporate mixtures of  $^{210}\text{Pb}$  and  $^{14}\text{C}$  dates (Aquino-López et al., 2018), which can be particularly useful for increasing confidence in the ages of sediments around the period of European Contact.

The other data sources included in this review are not based on laboratory dating of materials or sediments. Historical records have specific ages corresponding to the dates they were produced. It is not possible to place specific dates or ages on Indigenous knowledge, or its interpretation through the studies of ethnography, ethnohistory, or ethnoecology. It is possible, however, to correlate some historical events or personal events, such as the arrival of missionaries in an Indigenous community or abandonment of a village with changes in resource use and management. This information is crucial to

understanding how pre-Columbian people lived, even though specific ages are difficult to obtain.

Modern datasets, including living plants and museum specimens, may have specific dates recorded. Inferring an age when pre-Columbian Indigenous people shaped plant abundances or plant growth patterns (for instance) is possible with the direct dating of living materials using a combination of dendrochronology, radiocarbon analysis, stable isotope analysis and DNA analysis (Caetano-Andrade et al., 2020). Recent advances in genetic methods have ages inferred also from molecular clocks and DNA-based dating method for ancient genomes (Kistler et al., 2020).

## **7. Discussion: Moving forward**

Understanding the interactions of pre-Columbian people and their environments in Amazonia is important for sustainability science, conservation biology and cultural anthropology (Levis et al., 2017; Mayle and Iriarte, 2014; McMichael et al., 2017; Roberts et al., 2017; Szabó and Hédl, 2011; Watling et al., 2017; WinklerPrins and Levis, 2020). Here we have provided a review of the most commonly used sources and proxies for reconstructing pre-Columbian human activity in Amazonia (Fig. 1) and have described the associated advantages and limitations of each by assessing their potential spatial and temporal resolution and limits (Fig. 4). We acknowledge that a comprehensive assessment of all proxies of Indigenous human activity is beyond the scope of this manuscript. For example, proxies such as lipid biomarkers have been used to assess the components of anthropogenic soils (Glaser, 2007). Fecal biomarkers are a newly emerging proxy that is being used to detect past human

activity (Argiriadis et al., 2018; Zocatelli et al., 2017). These proxies have not yet been used in Amazonian systems, though show great promise in other geographic regions. The preservation of biomarkers and sterols in the humid tropics, however, is likely poorer than in other areas.

Assessments of the long-term Indigenous history in Amazonia would be stronger if ‘absence data’ from all lines of evidence were reported or archived in data repositories. Ecological datasets, including plant inventory records, include the presence, *absence*, and abundance of species within a given study area (e.g. Hubbell, 1979; ter Steege et al., 2013), allowing for more robust statistical analyses than presence-only analysis often applied to archaeological datasets (e.g., McMichael et al., 2014a; McMichael et al., 2017). To fully understand the impact of pre-Columbian people in Amazonian landscapes, the reporting of ‘absence data’ (i.e., when there is no evidence of past human activity) is crucial. For example, when identifying earthworks using remotely sensed data, the total area surveyed and total number of earthworks found should be reported so that site densities can be calculated and compared across regions. The entire area sampled and information on the *absence* of ADEs using field-based surveys is also rarely reported, and the varying densities of ADEs across the landscape cannot yet be calculated. The same approach should apply for archaeological surveys, in which generally a wide area is initially surveyed before determining excavation locations. We suggest that efforts to compile and build repositories of archaeological information should develop guidelines and protocols for reporting and documenting absence data.

The ‘absence’ of evidence of human activities from paleoecological proxies, including charcoal, pollen, and phytoliths is reported. However, because

these lines of evidence come from a limited amount of sample material, it is possible that they are present but not detected. Thus, the absence of evidence is not necessarily evidence of absence. This issue is partially overcome with repeated sampling in the case of soil cores (i.e., multiple cores collected and analysed per locality) (e.g., Heijink et al., 2022; McMichael et al., 2015; McMichael et al., 2012a; Piperno et al., 2021), and with continuous sampling and the analyses of multiple cores in a region in the case of lake sediments (e.g., Bush et al., 2007; Carson et al., 2014).

Several predictive models have been developed for various types of archaeological features in Amazonia and for the overall likely distribution of pre-Columbian Indigenous Peoples in the region, which provide targets for future archaeological surveys in the ca. 6 million km<sup>2</sup> of Amazonian forests (McMichael et al., 2014a; McMichael et al., 2014b; McMichael et al., 2017; Souza et al., 2018; Walker et al., 2023). Due to the lack of ‘absence data’, the only approaches available for these predictions are models that require presence-only data (i.e., that do not require absence data). Absence data of all types would help to validate and refine these models on both regional and continental-wide scales, and open doors to an array of additional modelling approaches that could be employed (McMichael et al., 2017). All types of models and other macro-paleoecological and macro-archaeological syntheses would also further benefit by including more precision in the geographic coordinates of localities being studied (i.e., to ca. +/- 200 m spatial resolution), and more precision in the time bins of analysis.

Perhaps the best way to strengthen assessments of past human activity is via an interdisciplinary approach, pairing multiple proxies and multiple types of

745 data sources (Carson et al., 2014; Mayle and Iriarte, 2014; Watling et al., 2017;  
746 Whitney et al., 2014). Without this pairing, it can be difficult to determine  
747 whether the observed pattern is related to human activity or other forcing  
748 mechanisms. Ideally, though not necessarily, those pairings should be spatially  
749 overlapped. For example, Levis et al. (2012) paired plant distribution  
750 information with charcoal recovered from soils beneath them, and found a  
751 higher percentage of useful species in plots that contained higher amounts of  
752 charcoal in the vicinity of archaeological sites. It is also well documented how  
753 phytolith and pollen data complement one another in paleoecological and  
754 archaeological reconstructions (Åkesson et al., 2021; Mayle and Iriarte, 2014;  
755 Piperno, 2006). Phytoliths tend to be more sensitive to detecting cultivation,  
756 forest openings, and some basal angiosperm and eudicotyledon tree taxa,  
757 particularly in wet closed canopy forests (as opposed to the savanna ecotone  
758 regions), whereas pollen can detect changes in tree taxon abundances that  
759 remain undetectable in phytolith analyses (Åkesson et al., 2021; Piperno and  
760 McMichael, 2023). Phytoliths identify basal angiosperm and eudicotyledon taxa  
761 that pollen does not, and the two are highly complementary when they can be  
762 studied together (Piperno and McMichael, 2023). Phytolith analysis has also  
763 been paired with starch grain and stable carbon isotope analysis in  
764 archaeological settings for a more comprehensive view of the diet and lifestyle of  
765 pre-Columbian Indigenous people in Amazonia (Iriarte et al., 2010; McKey et al.,  
766 2010). The pairing of proxies, or using multi-proxy approaches, can also aid in  
767 detectability of past human influences whereas single proxies may lack  
768 detectability of specific lines of evidence.

To move forward, the integration of data needs to occur across proxies, data sources, and consider both spatial and temporal scales (Fig. 4). Either within or between archives, a multi-proxy approach is more sensitive to detecting past human activity than a single-proxy approach, providing more confidence in conclusions on the presence or absence of pre-Columbian Indigenous human activity and the impact that they caused on the landscape (Fig. 1) (Clement et al., 2015; Iriarte, 2016; Mayle and Iriarte, 2014; Piperno, 2006). Recent research has already begun to integrate: (i) lake sediment data with soil survey data from areas within the watershed (McMichael et al., 2012b), (ii) terrestrial soil archives with archaeological sites containing earthworks (Watling et al., 2017), (iii) lake sediment records, even with lakes of different sizes reflecting different source areas, with archaeological sites (Carson et al., 2014; Maezumi et al., 2018b; Whitney et al., 2013), (iv) geospatial patterns of plant distributions with archaeological sites across Amazonia (Levis et al., 2017). As trees in Amazonia can also be directly dated (Brienen and Zuidema, 2006; Chambers et al., 1998; Schöngart et al., 2015), pairing the age of the modern forest with archaeological, paleoecological and historical data could also prove invaluable. In a recent example, Caetano Andrade et al. (2019) integrated dendrochronology and historical data to evaluate Indigenous and traditional management of a Brazil nut (*Bertholletia excelsa*) stand near an archaeological site south of Manaus.

If the advantages and limitations of data used to infer pre-Columbian Indigenous human activity are recognized and acknowledged, particularly regarding spatial and temporal scale (Fig. 4), then disagreement among existing and future datasets may be minimized. We also highlight the potential and

importance of bridging ethnography and ethnoecology with historical records, archaeological data, and paleoecological data. Importantly, but often not considered, the voices and knowledge of Indigenous Peoples should also be integrated into scientific research designs (Trisos et al., 2021). Together, these recommendations can advance the understanding of the complexity and variation of pre-Columbian Indigenous human influences in tropical ecosystems, such as Amazonia.

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